

UNITED STATES DEPARTMENT OF ENERGY

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ENERGY EFFICIENCY AND RENEWABLE ENERGY
BUILDING TECHNOLOGY PROGRAM

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NOTICE OF PROPOSED RULEMAKING PUBLIC MEETING
FOR ALTERNATIVE EFFICIENCY
DETERMINATION METHODS

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TUESDAY
JUNE 5, 2012

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The Public Meeting convened, in
Room 8E-089, Department of Energy, Forrestal
Building, 1000 Independence Avenue SW,
Washington, D.C., at 9:00 a.m., Ashley
Armstrong, Department of Energy, Building
Technology Program, presiding.

PRESENT

ASHLEY ARMSTRONG, DOE, EERE, BTP, Facilitator
KARIM AMRANE, PhD, Air-Conditioning, Heating,
and Refrigeration Institute

LAURA BARHYDT, DOE, Office of the General
Counsel

ALEX BOESENBERG, National Electrical
Manufacturers Association

DEBRA BRUNK, Navigant Consulting

DAVID CASE, DOE, Office of General Counsel

ADAM CHRISTENSEN, PhD, Appliance Standards
Awareness Project

ROGER H. DAUGHERTY, PhD, Baldor Electric

PAUL L. DOPPEL, Mitsubishi Electric

MARK FLY, AAON, Inc.

MIKE GARST, Lennox International

HELMUTH GLATT, Nidec Motor Corporation

CHARLES HON, True Manufacturing Company

JILL HOOTMAN, Trane

JEFF KLEISS, Lochinvar, LLC

REBECCA LEGETT, Navigant Consulting

HARMON S. LEWIS, American Panel

DICK LORD, Carrier Corporation

KAREN B. MEYERS, Rheem Manufacturing Company

MASSOUD NESHAN, Southern Store Fixtures, Inc

DOUG RAWALD, DOE, Office of General Counsel

CARL ROBERTS, Zero Zone Inc.

HARVEY SACHS, PhD, American Council for an
Energy-Efficient Economy

FRANK STANONIK, Air-Conditioning, Heating, and
Refrigeration Institute

MIKE STRAUB, Heatcraft Refrigeration Products

COREY TUCKER, Navigant Consulting

JAMES VerSHAW, Ingersoll Rand

ROBERT WILKINS, Danfoss

C-O-N-T-E-N-T-S

Call to Order	5
Ashley Armstrong	
Department of Energy	
Introductions	6
Opening Remarks, Agenda Review	10
Ashley Armstrong	
Department of Energy	
Karim Amrane	11
AHRI	
Harmon Lewis	12
American Panel	
Massoud Neshan	13
Southern Store Fixtures	
Mark Fly	14
AAON	
Alex Boesenber	15
NEMA	
Mike Garst	16
Lennox International	
Dick Lord	16
Carrier	
Harvey Sachs	17
ACEEE	
Carl Roberts	18
Zero Zone	
Paul Doppel	18
Mitsubishi	
Craig Messmer	19
Unico	

C-O-N-T-E-N-T-S (CONTINUED)

Opening Remarks (Continued)	
Roger Daugherty	20
Baldor Electric	
Rulemaking Overview	23, 28, 51
and	
Definitions and Scope of Coverage	
Ashley Armstrong	
Department of Energy	
Questions and Comments	24, 29, 52
Substantiation Requirements	79, 101
Ashley Armstrong	
Department of Energy	
Questions and Comments	79, 103
Number of Testing Rounds	165
Ashley Armstrong	
Department of Energy	
Questions and Comments	
DOE Validation	181, 183, 229
Ashley Armstrong	233, 236
Department of Energy	
Questions and Comments	182, 184, 231
	233, 236

1
2
3
4
5
6
7
8
9
10
11
12
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P-R-O-C-E-E-D-I-N-G-S

9:01 a.m.

MS. ARMSTRONG: Good morning,
everyone.

My name is Ashley Armstrong, and I
would like to welcome you to our public
meeting to discuss the proposed rule on
alternative efficiency determination methods.

I would like to welcome everyone
that came in person on such short notice, as
well as all those attending by webinar on the
phone.

We are going to try something new
this time to open up the webinar line, so that
they can communicate with the people in the
room as well. So, for those of you on the
line, if you want to talk, please just raise
your hand. There is a way you can do it from
the webinar and then wait to be called on, and
we will unmute your line and you can speak
freely to the rest of us in the room. So, you
can participate in the meeting itself.

1 Before we start, we are going to
2 go around the room and do introductions.
3 Please say your full name as well as your
4 company affiliation for the record. I ask
5 that each time you speak you do that as well,
6 and speak clearly into the microphone.

7 So, with that --

8 MS. BARHYDT: I am Laura Barhydt.
9 I am with the U.S. Department of Energy,
10 Office of General Counsel.

11 MS. ARMSTRONG: Ashley Armstrong,
12 Department of Energy.

13 MR. GARST: Mike Garst, Lennox
14 International.

15 MR. AMRANE: Karim Amrane, Air
16 Conditioning, Heating, and Refrigeration
17 Institute.

18 MR. SACHS: Harvey Sachs, American
19 Council for an Energy Efficient Economy.

20 MR. VerSHAW: Jim VerShaw,
21 Ingersoll Rand, Trane Residential.

22 MS. HOOTMAN: Jill Hootman, Trane,

1 Ingersoll Rand.

2 MR. LEWIS: Harmon Lewis, American
3 Panel.

4 MR. WILKINS: Robert Wilkins,
5 Danfoss.

6 MR. FLY: Mark Fly, AAON
7 Incorporated.

8 MR. BOESENBERG: Alex Boesenberg,
9 National Electrical Manufacturers Association.

10 MR. GLATT: Helmuth Glatt, Nidec
11 Motor Corporation.

12 MR. ROBERTS: Carl Roberts, Zero
13 Zone.

14 MR. HON: Charlie Hon, True
15 Manufacturing.

16 MR. LORD: Dick Lord, Carrier.

17 MS. ARMSTRONG: And so, I also ask
18 the people in the back if you can make your
19 way to the sides, where there are microphones,
20 and introduce yourself with your name as well
21 and your company affiliation for the record,
22 please.

1 MR. NESHAN: I am Massoud Neshan,
2 Southern Store Fixtures.

3 MR. ROY: Aniruddh Roy, AHRI.

4 MR. STANONIK: Frank Stanonik,
5 AHRI.

6 MR. STRAUB: Mike Straub,
7 Heatcraft Refrigeration.

8 MR. CHRISTENSEN: Adam
9 Christensen, Appliance Standards Awareness
10 Project.

11 MR. DAUGHERTY: Roger Daugherty,
12 Baldor Electric, member of the ABB Group.

13 MR. RANSOM: David Ransom,
14 McDermott, Will and Emery for Goodman.

15 MR. HOLT: John Holt, National
16 Rural Electric Cooperative Association.

17 MS. REAMER: Laura Reamer with
18 Regal-Beloit Corporation.

19 MR. NOE: Gary Noe with Regal-
20 Beloit.

21 MS. LEGETT: Rebecca Legett,
22 Navigant Consulting.

1 MR. HOYT: Bill Hoyt, National
2 Electrical Manufacturers Association.

3 MS. BRUNK: Debra Brunk, Navigant
4 Consulting.

5 MS. TUCKER: Corey Tucker,
6 Navigant Consulting.

7 MR. CASE: David Case, DOE, Office
8 of General Counsel.

9 MR. RAWALD: Doug Rawald,
10 Department of Energy, General Counsel.

11 MS. ARMSTRONG: All right. So,
12 the purpose of this public meeting is to
13 really gather feedback on the Department's
14 proposal, understand where there may be
15 issues, questions, or concerns. So, we really
16 encourage participation.

17 With that, we have a brief
18 presentation, but feel free to chime in
19 whenever you would like.

20 As you can tell, I am the
21 moderator for today as well as the presenter.

22 So, I am going to present from here, just to

1 make life easier, a little bit.

2 So, if you need to hold sidebar
3 conversations, please go outside. Bathrooms
4 are to the left. Coffee shop, all the way at
5 the bottom.

6 Just let us know when you want to
7 speak. Some of the ground rules: if you are
8 not speaking, I ask that you put your
9 microphone off because, that way, it minimizes
10 the feedback that we get from the microphones
11 all around the room and the webinar.

12 Here is a brief agenda review
13 before I open up. This is just what we plan
14 to talk about today.

15 Okay. So, the purpose of today's
16 meeting, as I said, is to really present the
17 notice, some of the key items in the proposal
18 as they relate to AEDMs, to provide a forum
19 for public discussion, encourage you guys to
20 submit all kinds of data as well as comments
21 on the Notice of Proposed Rulemaking, to help
22 better inform the Department's final rule, and

1 just to allow a forum for discussion.

2 So, at this time, I am going to go
3 ahead and welcome and turn the floor over to
4 opening remarks. Please say your name and
5 your company affiliation for the record, and
6 we will go around the room, as well as I will
7 open up the webinar lines if anybody wants to
8 make comments at the outset of the meeting.

9 Anybody? Sure, go ahead, Karim.

10 MR. AMRANE: My name is Karim
11 Amrane with the AHRI. Of course, we would
12 like to thank DOE for issuing this proposed
13 rule. It is well overdue. It is very
14 important for the manufacturers for air
15 conditioning, heating, water heating
16 equipment, refrigeration equipment as well.

17 I would like to raise an issue
18 that has not been addressed in the NOPR and
19 which has to do with the effective date by
20 which manufacturers of commercial equipment
21 will have to comply with the certification
22 requirements to the Department of Energy.

1 As you all know, that date has
2 been set as January 1st, 2013. Honestly, even
3 if this is completed tomorrow, there is no way
4 that the manufacturers can comply with this
5 effective date. Many manufacturers don't have
6 AEDMs. We don't know yet what the
7 requirements of the AEDM would be. Of course,
8 we have the NOPR in front of us, but still
9 that rule has to be finalized. So, there is
10 no way in four months or six months that
11 manufacturers are going to be ready by January
12 1st, 2013.

13 So, AHRI would like to officially
14 request that the effective date of compliance
15 with certification reports to DOE be postponed
16 by at least 18 months from the date this AEDM
17 rulemaking is finalized.

18 Thank you.

19 MS. ARMSTRONG: Sure.

20 MR. LEWIS: Harmon Lewis with
21 American Panel.

22 I would like to second that

1 motion.

2 MS. ARMSTRONG: Thank you.

3 Anybody else want to make opening
4 remarks at this time before we go into the
5 presentation? Sure.

6 MR. NESHAN: This is Massoud
7 Neshan with Southern Store Fixtures.

8 And thank you for setting up this
9 meeting.

10 We manufacture commercial
11 refrigerated equipment. We have been waiting
12 for over a year for a definition or
13 clarification from DOE to tell us what is the
14 definition of a basic model, since everything
15 is based on a basic model, and we have still
16 yet to hear a response from DOE. We are
17 talking about methodology to put into place;
18 whereas, we do not know what is the definition
19 of basic model. And that is extremely
20 critical for us for the purpose of testing or
21 modeling these basic models.

22 MS. ARMSTRONG: Okay. Thank you.

1 Anybody else? Please feel free.

2 MR. FLY: Yes, Mark Fly with AAON.

3 Just following up on that, the
4 basic model definition is very important,
5 especially with my company, but I think to
6 everybody in this room. For example, our
7 complete model string has about 100
8 characters, and each one of those characters
9 has 25 options underneath it. So, the
10 combinations and permutations of all these
11 options can create thousands or millions or
12 trillions of different models, depending on
13 how you wanted to define a basic model. So,
14 we need some clear definition on what that is.

15 Many of these options will only
16 minorly affect the energy. It may be a
17 different kind of filter, which might affect
18 some of the fan energy in a minor way. Or it
19 might have an economizer or it might have a
20 heat recovery device that isn't really covered
21 in the testing standard, but will impose a
22 static pressure drop on the fan and cause an

1 energy increase.

2 So, if we are going to define a
3 basic model by any change in energy
4 consumption, that is going to generate so many
5 basic models that none of us can deal with it.

6 MS. ARMSTRONG: Sure.

7 MR. BOESENBERG: Alex Boesenberg,
8 NEMA.

9 Like everybody else, I want to
10 thank the Department for having this meeting
11 today and for the draft. I am looking forward
12 to see how the webinar audio goes. Thank you
13 for trying that. We have had trouble in the
14 past and appreciate the efforts that DOE has
15 made, then, to repair that.

16 As to the short notice, we will
17 thank you in advance for giving us at least 30
18 days next time, not just to afford time in
19 schedules, but because of the expense of
20 airfare and hotels. Some people could not be
21 here today due to a 250 percent difference in
22 the price of a ticket bought on short notice.

1 Thank you.

2 MR. GARST: And just to add to the
3 basic model issue, there is also product
4 class, and I think we need some clarification
5 on what a product class is.

6 MS. ARMSTRONG: Anyone else before
7 we move to the presentation itself? Sure.

8 MR. LORD: Yes, Dick Lord with
9 Carrier.

10 One of the things that we have
11 kind of hinted at, but really haven't
12 addressed is there are products that really
13 aren't designed to run at standard rating
14 conditions. I will give you a good example.
15 You can put an energy recovery wheel on a
16 rooftop and actually save significant energy,
17 but when you rate it at the standard rating
18 point, it is actually going to show a little
19 lower efficiency, which really aren't
20 addressed by a lot of these procedures. So,
21 we have got to figure out how to do those and
22 how to handle them.

1 MS. ARMSTRONG: Go ahead.

2 MR. SACHS: Harvey Sachs, ACEEE.

3 The new concern I would express is
4 how the information we are using for this will
5 play with other programs that will yield much
6 more information on performance for use by
7 designers, modelers, and others, such as the
8 recently-announced AHRI initiative for release
9 of supplemental information across product
10 classes.

11 And it would seem very worthwhile
12 for us to all be thinking about how the AEDM
13 and programs like this evolve together rather
14 than leading to duplicative effort without
15 giving any additional help to anyone who needs
16 to use the information.

17 Thank you.

18 One additional note. Harvey
19 Sachs. I am not saying AHRI is right.

20 Thanks.

21 Laughter.)

22 MS. ARMSTRONG: Does anybody else

1 wish to make opening remarks at this time?

2 Sure.

3 MR. ROBERTS: Carl Roberts, Zero
4 Zone.

5 We do appreciate this move. It is
6 a big step in the right direction. I just
7 wanted to mention or keep in mind that the 95
8 percent confidence interval one-tailed T-value
9 wasn't taken into account at the time the
10 standard energy levels were set. So, what
11 this is doing is raising the bar.

12 Thank you.

13 MS. ARMSTRONG: Anyone else? Last
14 call. Sure.

15 MR. DOPPEL: Paul Doppel with
16 Mitsubishi.

17 I also want to support Karim's
18 suggestion that we have 18 months before
19 implementation effective date.

20 MS. ARMSTRONG: Thank you.

21 All right. Moving along, so what
22 are AEDMs? They are basically computer

1 simulations, mathematical tools, modeling,
2 engineering simulations that are used to
3 predict the performance of non-tested basic
4 models. Use of AEDMs allows manufacturers to
5 rate and certify their performance of their
6 equipment without actual testing, once the
7 simulated energy use or efficiency results are
8 derived, as well as we believe it may reduce
9 testing burden because there is only a subset
10 of the whole model offering that would have to
11 be tested.

12 So, Craig Messmer also would like
13 to say something at this point.

14 MR. MESSMER: Good morning,
15 everybody. Sorry I couldn't be there. Thank
16 you, Ashley.

17 This is Craig Messmer with Unico.

18 We are classified as an ICM, and
19 we noticed that the ARM has seemingly been
20 deleted from the regulations. We don't really
21 have a problem with that. We are wondering
22 why the ARMs for specific products, especially

1 ICMs has been removed. We thought the AEDMs
2 were primarily for other products than
3 commercial. So, anyway, that is more of a
4 question, but very much of a concern.

5 Thank you.

6 MS. ARMSTRONG: Okay. Thank you.

7 We will get to answering, I think,
8 some of those in a little bit. But, as they
9 come up, if you have additional questions,
10 Craig, just let us know.

11 So, just to set the stage with
12 some background -- go ahead.

13 MR. DAUGHERTY: Roger Daugherty,
14 Baldor Electric.

15 Back on your definition of AEDM, I
16 also notice that inside the NOPR you stated
17 that you referred to an AEDM since it could be
18 used to simulate testing under DOE test
19 conditions. Is that some other type of AEDM
20 other than that which you have defined that
21 can also be used? And what is the intent of
22 that meaning? That is on page 32041 in The

1 Federal Register publication.

2 MS. ARMSTRONG: Okay. So, I am
3 not quite sure exactly what you are referring
4 to. I have 41 open here, and I am happy to
5 take this conversation a bit offline.

6 But the definition generally in
7 the back, in the actual regulatory text just
8 is a general definition and describes any type
9 of calculation or algorithm, engineering
10 algorithm, that predicts the efficiency as
11 measured by the descriptor in DOE's
12 regulation. So, it doesn't specifically talk
13 about, at least in the regulatory text, it
14 doesn't specifically talk about test procedure
15 conditions.

16 That being said, if you had an
17 AEDM that would simulate use over a wide
18 variety of conditions, I mean, it doesn't
19 preclude that, if that is what you are asking.

20 MR. DAUGHERTY: Roger Daugherty.

21 I guess what I am trying to get at
22 is I understand what my AEDM and its method of

1 calculating losses in electric motors, in
2 small electric motors, to determine
3 efficiency. But I was trying to figure out
4 why DOE seems to be relating it to the way
5 that motor would be tested; whereas, that
6 doesn't take into account that I am using an
7 IEEE 112 test method to determine losses. It
8 is totally different. So, I was just trying
9 to get clarification on what you mean by this,
10 or if it is not intended to be stated the way
11 it is in the NOPR.

12 MS. ARMSTRONG: This is Ashley
13 from DOE.

14 And other manufacturers in the
15 room may speak up as they wish. It is meant
16 to predict the efficiency that you would also
17 get from testing. That being said, if you
18 implement an engineering equation or an
19 algorithm, obviously, that may be different
20 than the actual testing. However, it is meant
21 to get results that are comparable to those
22 that you would get under the actual DOE test

1 method.

2 Does that make sense? Does that
3 help? Okay. Thank you.

4 Okay. So, just to set the stage
5 with some background, currently, DOE's
6 regulations permit the use of the AEDMs for
7 commercial HVAC equipment, commercial water
8 heating equipment, distribution transformers,
9 as well as electric motors. In addition, as
10 noted earlier in the presentation,
11 manufacturers of central air conditioners and
12 heat pumps are allowed to use ARMs to rate
13 their equipment currently.

14 So, DOE issued a Request for
15 Information a while back, a little over a year
16 ago, about other types of equipment that may
17 be similar that could benefit from the use of
18 AEDM regulations as well as what procedural
19 changes the Department could consider for
20 their AEDM regulations, including tolerances.

21 We sought comment on a variety of
22 issues. Some of those topics are, then,

1 addressed in this proposed rule.

2 All right. The proposal. So,
3 just on its face, naming conventions, DOE is
4 proposing to marry the terms "ARM" and "AEDM"
5 and just use one single naming convention
6 across the board for simulation methods. We
7 are proposing to use the term AEDM. So,
8 therefore, to Craig's point earlier, we are
9 proposing to get rid of the term "ARM,"
10 although we are proposing to allow the use of
11 simulation methods still for central air
12 conditioners and heat pumps, just to clarify
13 that. Okay?

14 MR. AMRANE: I guess I have a
15 question. Are you now requiring the old ARM
16 to be consistent with the requirements that we
17 have in this proposed rule?

18 MS. ARMSTRONG: Yes.

19 MR. AMRANE: Okay. So,
20 manufacturers that have ARMs today don't have
21 to revalidate their ARMs based on this
22 proposed rule?

1 MS. ARMSTRONG: Upon the
2 compliance date of the new provisions, the
3 ARMs in use to date would have to meet the
4 provisions as they are written here.

5 MR. AMRANE: Okay. Then, we have
6 a problem as well because those residential
7 central ACs have to comply today with the DOE
8 requirements. And today they are not using
9 exactly what is proposed in this rule. So,
10 are you going to provide any time for those
11 manufacturers to have time to comply with the
12 new one?

13 MS. ARMSTRONG: Sure. DOE would
14 consider a compliance date. It would be very
15 helpful if we could hear what a necessary
16 timeframe might be and why.

17 MR. AMRANE: Well, the time
18 necessary to revalidate, to develop a new
19 AEDM.

20 (Laughter.)

21 MS. ARMSTRONG: This is Ashley.

22 So, I think we understand why. It

1 is a matter of what that magnitude should be,
2 the number.

3 MS. MEYERS: Ashley, this is Karen
4 with Rheem.

5 So, just so I understand, we have
6 now expanded the scope of this rule to include
7 all residential air conditioning and heat pump
8 systems? Is that what we were saying here?

9 MS. ARMSTRONG: Correct.

10 MS. MEYERS: Wow.

11 MS. ARMSTRONG: Yes?

12 MR. LORD: Just to kind of
13 reconfirm what I think you told Karim,
14 basically, we have an ARM that is all
15 qualified today. We are going to have to get
16 all new units, retest all those units --

17 MS. ARMSTRONG: Go ahead, finish.

18 (Laughter.)

19 MR. LORD: That's enough. You are
20 getting my drift.

21 MS. ARMSTRONG: Okay. So, the
22 answer is possibly. So, it depends on what

1 your ARM is based on. If you have an ARM
2 today that meets the criteria as written --
3 so, you have tested a unit from each product
4 class. You have tested no less than five
5 basic models, one of the lowest capacity, one
6 of a capacity in the highest 25 percent, if
7 you have basically done that and you have
8 tested ones that are compliant with the
9 current standards and current test procedures,
10 then you are good to go, I mean assuming that
11 these get adopted and the tolerances as well,
12 assuming these get adopted as final as they
13 are proposed.

14 But that being said, if not, and
15 you need to make minor tweaks, then you are
16 going to need to make minor tweaks. It might
17 require more than minor tweaks.

18 And to Karim's point, if it does
19 require major changes, we are interested in
20 knowing what a reasonable compliance date
21 should be for the Department to consider.

22 MR. LORD: So, maybe to just

1 restate it, say we had three units that were
2 already tested that we could use.

3 MS. ARMSTRONG: Uh-huh.

4 MR. LORD: And we just show that
5 data, maybe add two more units?

6 MS. ARMSTRONG: Yes. So, that is
7 a great segue to this next slide.

8 DOE is proposing no preapproval.
9 You don't even have to show that data. You
10 just maintain the records. And, yes, if you
11 had three, you would just test two more,
12 assuming that that is the minimum set of
13 criteria that need to be met. But, yes, you
14 would be fine.

15 So, DOE is not proposing to add a
16 preapproval process for AEDMs. This is
17 currently, for those that have AEDMs, this is
18 currently how the regulations go for AEDMs
19 now. For ARMs, it is a change. We would not
20 require any kind of notification to the
21 Department. You would just, when you certify
22 your products, you would have to state that

1 you used an AEDM to rate those untested
2 combinations.

3 MR. STANONIK: Frank Stanonik with
4 AHRI.

5 Ashley, residential AC
6 manufacturers have used ARMs for 10, 15, 20
7 years. Is there anything procedurally that
8 prohibits DOE from essentially grandfathering
9 those methods and just saying that, without
10 meeting the letter of whatever the AEDM
11 criteria come out to be -- there is a long
12 history of testing and compliance. Can't they
13 just be grandfathered?

14 MS. BARHYDT: This is Laura
15 Barhydt at DOE.

16 This isn't exactly in answer to
17 your question, but I will say that we are
18 concerned that some of the ARMs currently in
19 use were granted, were approved a very long
20 time ago. And we are concerned about the
21 validity of the test data that they are based
22 on.

1 And so, part of the idea here is
2 to get everybody onto a level footing where
3 the ratings are all being based on a similar
4 methodology to make sure that everyone's
5 ratings are in accordance with the current
6 standards and test procedure.

7 MR. SACHS: Harvey Sachs, ACEEE.

8 I am not asking this judgmentally,
9 but my inference from this slide No. 12 is
10 that the AEDM is fundamentally a simulation of
11 equipment performance, and the Department will
12 treat this as a black box for which the
13 manufacturer confirms or asserts compliance
14 with test data. But the underlying algorithms
15 will not be seen by the DOE, the public,
16 competitors, or anyone else.

17 MS. ARMSTRONG: Yes, that is
18 correct. That is the proposal as written.

19 MR. SACHS: Thank you, I think.

20 MS. ARMSTRONG: I mean, that being
21 said, I will say that part of the proposal is
22 that records be maintained and, upon request

1 from the Department, all of that information
2 would be made available to the Department, if
3 we had a reason to request such information.

4 MR. SACHS: Harvey Sachs again.

5 My concern, Ashley, is that this
6 works fine with long-established, legitimate
7 manufacturers, but I think that in some other
8 industries we have seen this kind of thing
9 used as a loophole generator to do, shall we
10 say, a shady batch of code, and when finally
11 challenged, just drop the certification of the
12 non-complying models, climb into a hole, or go
13 bankrupt.

14 So, my concern is whether this
15 will actually lead to a level playing field
16 among all the manufacturers without imposing
17 even greater burdens to entry than we have
18 now. I don't know the answer, but I think it
19 is a question that does matter.

20 MR. GLATT: Helmuth Glatt, Nidec
21 Motor Corporation.

22 We are kind of on the opposite

1 side of that. We love our AEDM, and we don't
2 want to share the source code of it with
3 anybody. So, treating it as a black box needs
4 to be highly emphasized at this point.

5 So, by underlying records, that
6 definition is basically correlation between
7 test data and the AEDM output?

8 MS. ARMSTRONG: Yes, I would say
9 we are going to get to part of that. But,
10 yes, I mean, it is any test data used to
11 substantiate your AEDM, any subsequent
12 verification that you may do just on your own,
13 anything that supports how you came about with
14 your AEDM. All those records you would
15 maintain. And then, if we ever got into a
16 situation, I think, where we needed to discuss
17 those records with you, we could talk about
18 more details as to what exactly the Department
19 wanted to see and stuff like that.

20 But I would maintain it all in
21 terms of what you have rated with your AEDM,
22 what you used to substantiate your AEDM, all

1 the testing underlying that, et cetera.

2 MR. VerSHAW: Jim VerShaw,
3 Ingersoll Rand.

4 Two questions. The first one is,
5 when looking at residential ARMs, today we
6 have to have testing on the basic model with
7 the highest sales volume combination. When
8 you go to an AEDM under the definition, do we
9 no longer have to do that testing? We just
10 simulate everything?

11 MS. ARMSTRONG: So, simulate
12 everything is not quite right. You do have to
13 do some testing. There is a different subset
14 of testing that has to be done. It is not
15 necessarily the highest sales volume
16 combination for each basic model.

17 MR. VerSHAW: You had to do so
18 much testing to substantiate the AEDM. Once
19 that is substantiated, then, are you
20 eliminating the requirement to have the
21 highest sales volume test combinations tested?

22 MS. ARMSTRONG: Yes.

1 MR. VerSHAW: Okay.

2 MS. ARMSTRONG: Are you advocating
3 that we retain it?

4 MR. VerSHAW: No, I am just trying
5 to get clarification. I haven't thought it
6 all the way through yet.

7 The second question is, with this
8 setup where you don't prequalify/preapprove
9 simulation methods, and now I see you
10 eliminate the need for a lot of testing
11 ongoing, are you planning on setting up a much
12 more robust or aggressive enforcement plan to
13 do a lot of testing by DOE outside of maybe
14 other industry groups? Or is that driving
15 that? Or if you did preapproval, would that
16 reduce the need to do that ongoing testing by
17 DOE? And maybe I am looking at spending and
18 cost and duplicate programs and everything.
19 It raises some questions in that area.

20 MS. ARMSTRONG: I don't think
21 there is any specific intention to increase
22 testing or decrease testing in terms of

1 verification and enforcement one way or the
2 other that is driving these proposals.

3 I think the majority of the
4 comments that we got in response to the RFI
5 kind of pushed for the balance between getting
6 approval quicker for ARMs and AEDMs generally
7 versus, you know, manufacturers assuming the
8 responsibility to make sure their AEDMs and
9 ARMs are in accordance with our regulations
10 and maintaining that data and DOE getting it
11 upon request.

12 I mean, as you can tell, we are
13 expanding -- it is on the next slide -- but we
14 are expanding the scope of ARMs and AEDMs
15 quite a bit here. So, to say that we were
16 going to, then, preapprove all of them would
17 be quite an increase, not only in DOE
18 reviewing them, but the information that
19 manufacturers would have to submit.

20 So, we were more concerned, I
21 think, with the comments that were worried
22 about the delay in getting an approved

1 simulation. We have also proposed more
2 reoccurring means by which testing has to
3 occur. If the models used to substantiate
4 aren't tested with the new test procedures
5 each time they are amended or don't meet new
6 standards, they also have to be
7 resubstantiated. So, those types of things.

8 MR. VerSHAW: All right.

9 MS. ARMSTRONG: Okay. So, we had
10 a question come in on the line. It said,
11 "What would the basic model definition be for
12 mixed systems and ICMS?" This is for Craig
13 Messmer.

14 So, I mean, I think for the
15 context for the AEDMs, and I realize that the
16 basic model definition and the testing and the
17 certification is a little mixed, but I don't
18 think the basic model definition changes with
19 respect to the AEDM itself. It is just the
20 AEDM allows for a wider applicability of
21 simulating the results of each combination
22 that may be a basic model rather than actually

1 testing it.

2 MR. FLY: Yes, Ashley, kind of
3 related to the point, and I think this is an
4 error -- I hope it is an error -- but in The
5 Federal Register, on 32055, toward the bottom
6 of the last column, it would be 429.7(C)(2),
7 you stated that the "test of at least one unit
8 of each basic model to which the AEDM is
9 applied in accordance with the applicable
10 provisions". I am hoping that it was supposed
11 to have been one unit of each class, not each
12 basic model, because that kind of infers that
13 we are going to have to test every basic model
14 to validate our AEDM, which would kind of
15 negate the whole idea of an AEDM.

16 MS. ARMSTRONG: You're correct, it
17 is product class. Sorry. Thank you. That is
18 a good one.

19 MR. LORD: Ashley, along with
20 that, it would be good to put a definition
21 someplace on what a product class is.

22 MS. ARMSTRONG: Yes, that is one

1 of the issues for which we sought additional
2 comment. We will get to that, as to what type
3 of information or what direction you all may
4 need as to what exactly a product class is as
5 it may relate, since you are testing one basic
6 model from each product class with certain
7 characteristics, correct.

8 MR. AMRANE: If I may, Karim
9 Amrane.

10 I guess there are certain
11 products, like commercial refrigeration, where
12 you have maybe over 20 product classes today.

13 So, you are going to be asking manufacturers
14 of commercial refrigeration to test for all
15 those product classes? I mean, I think that
16 you need to maybe look at product-by-product
17 category and see in this particular case, and
18 see what the burden is going to be.

19 MS. ARMSTRONG: So, as drafted,
20 that is the intention, that the manufacturers
21 of commercial refrigeration equipment, even if
22 they have upwards of 30 equipment classes,

1 would have to test one from each.

2 That being said, if there is a
3 way, if there are models that may be similar
4 or if there is a way to pare those down, or if
5 you have specific ideas of how that could be
6 different, or maybe that is reasonable because
7 it is only 30 models versus 300, or something,
8 I don't know, you know, please feel free to
9 speak freely. There are different rating
10 conditions or different configurations. So, I
11 encourage you to please speak up to that.

12 MR. NESHAN: Massoud Neshan,
13 Southern Store Fixtures.

14 In a way, you have already defined
15 those classes in the different energy level
16 that is allowed for each category or each of
17 equipment. I don't know why you want to go
18 beyond that, since you have defined it. You
19 have been working on that for the past five-
20 six years, to define those classes, and now
21 you are talking about additional ones? And
22 now, also, not only you have defined these

1 classes, but one thing you haven't defined is
2 the basic model. It still is an issue with
3 not defining basic model.

4 MS. ARMSTRONG: Okay. One second.

5 Just to your point, the equipment classes are
6 going to be the same as the ones that are
7 defined by the standards. So, for commercial
8 refrigeration, the ones that are common that
9 you know about, that are in the standard
10 rulemaking -- there are 30-some of them, I
11 believe -- those are the same ones we are
12 talking about here. It is less obvious for
13 some of the other products that we are talking
14 about here, specifically for the ASHRAE table
15 that make things a little more complicated as
16 to what an equipment class actually is.

17 But let me go over here just for
18 commercial refrigeration, and then I am going
19 to go back there.

20 MR. HON: Charlie Hon, True
21 Manufacturing.

22 Unfortunately, the classifications

1 have secondary nuances built into them to make
2 them totally different, and you will end up
3 with well in excess of 100 models in some of
4 our manufacturing facilities. So, that is
5 really a large amount of testing that we have
6 already taken most of it and it is done. So,
7 now we are redefining it again way late in the
8 game, because these models should have been
9 tested a year ago to meet the standard which
10 was in effect.

11 MS. ARMSTRONG: So, can I ask you
12 a question? Do you advocate retaining the
13 requirements to test each equipment class,
14 test at least one basic model from each
15 equipment class?

16 MR. HON: We should have already
17 done that years ago.

18 MS. ARMSTRONG: So, your point of
19 view would be that manufacturers should
20 already have that data? They could just use
21 that data, then, for the AEDM?

22 MR. HON: Yes.

1 MS. ARMSTRONG: But it is not an
2 increase in testing burden?

3 MR. HON: It is not an increase in
4 testing burden, but it gives an advantage to
5 those who haven't done their homework and are
6 not up-to-date. Since we have no reporting
7 requirements, they are still not reported;
8 those who have cheated on the standard are
9 going to get a payback on their lack of
10 effort.

11 MS. ARMSTRONG: Okay. Thank you.

12 MR. KLEISS: Jeff Kleiss,
13 Lochinvar and A.O. Smith.

14 The term "product class" may be
15 something that is well-known, I guess, within
16 the ARMs, but as boilers and water heaters, I
17 am not familiar with that term. Could you
18 please define or characterize what that means
19 or represents?

20 MS. ARMSTRONG: So, I don't think
21 we have a specific definition, and perhaps
22 that is something we need to consider. If you

1 look in the standards tables, there are
2 specific different energy conservation
3 standards for different product losses. So, I
4 will give you an example.

5 For water heaters, it is electric
6 storage versus gas storage versus oil storage
7 versus electric instantaneous versus gas
8 instantaneous. So, those are the five product
9 classes for water heaters.

10 MR. KLEISS: Okay.

11 MS. ARMSTRONG: So, they are
12 pretty aggregate levels. Usually, they are
13 either defined by capacity-related features or
14 fuel types or any other attributes that affect
15 the energy performance. We usually define
16 them through our standards rulemaking
17 processes.

18 So, if you look in our standards
19 tables, that speaks to our product classes,
20 but I hear the need and we kind of foresaw the
21 need to, yes. Okay.

22 Sure.

1 MR. FLY: I just want to clarify
2 that just a little bit. So, are you saying
3 that a product class is any group of equipment
4 for which a minimum federal standard exists, a
5 unique minimum federal standard exists?

6 MS. ARMSTRONG: Yes.

7 MS. HOOTMAN: Jill Hootman, Trane.

8 So, to further that explanation,
9 the ASHRAE table would be for commercial HVAC
10 below 65,000 btu's, 65 to 135, air-cooled,
11 obviously --

12 MS. ARMSTRONG: Correct.

13 MS. HOOTMAN: One thirty-five to
14 240, 240 to 63 tons.

15 MS. ARMSTRONG: Correct.

16 MS. HOOTMAN: Is that correct?

17 MS. ARMSTRONG: That is correct.

18 And then, depending on the type of heating --

19 MS. HOOTMAN: Right.

20 MS. ARMSTRONG: -- the .2
21 difference.

22 MS. HOOTMAN: Right.

1 MS. ARMSTRONG: And then, ACs and
2 heat pumps are different.

3 MS. HOOTMAN: Right. And then,
4 the water-cooled --

5 MS. ARMSTRONG: Air-cooled, water-
6 cooled, evap, yes.

7 MS. HOOTMAN: And then, 65,000 and
8 below, single-phase and three-phase?

9 MS. ARMSTRONG: Correct, because
10 one is residential; one is in commercial.

11 MS. HOOTMAN: Okay.

12 MS. ARMSTRONG: Yes, ma'am.

13 MS. HOOTMAN: Thanks.

14 MR. DAUGHERTY: Roger Daugherty,
15 Baldor Electric.

16 You are getting me a little bit
17 confused here between basic models, product
18 classes, and now you are using a term called
19 "equipment classes". We have been using basic
20 models for electric motors since the final
21 rule was published in 1999. It has been very
22 workable. It has been a very good definition

1 of basic model, and it has been used to
2 substantiate our AEDMs with a well-defined
3 process of doing so in Part 431.

4 However, if you switch over to
5 product classes, then during the rulemaking
6 process for small electric motors recently,
7 for the eight power ratings of those motors,
8 you came up with 72 product classes.

9 Then, during the present
10 rulemaking that is going on right now with
11 respect to electric motors, then you broke
12 those and you said that there were basically
13 four product classes, and then you introduced
14 something called 10 representative product
15 classes.

16 However, if you went back to the
17 same type of definition that you used in the
18 small electric motor rulemaking, that comes to
19 roughly 24,014 product classes for electric
20 motors. The test time to do that exceeds 185
21 years of testing to cover those product
22 classes.

1 So, our problem with electric
2 motors and small electric motors there has
3 never been a clear definition of what a
4 product class is. If you look at electric
5 motors, all the electric motors are polyphase
6 squirrel-cage induction motors. No
7 difference, whether it is a 1 horsepower or
8 500 horsepower, whatever; they are all basic,
9 you might say they are basically the same.
10 They are just a different size.

11 If that was a product class, you
12 would be telling me I test one motor from 1 to
13 500 horsepower out of that product class. Our
14 present requirement is to test five different
15 designs because of the definition of basic
16 model. And that is why I say basic model for
17 electric motors and small electric motors is a
18 very workable definition, and we would not
19 like to see a change from that over the
20 product classes.

21 MS. ARMSTRONG: Okay.

22 MR. LORD: Yes, just to follow up,

1 you kind of quickly said on rooftops and
2 packaged units that the two-tenths for other
3 heat would be another product class?

4 MS. ARMSTRONG: Confirmed, yes.
5 It is a different level, right?

6 MR. LORD: But it is very
7 mathematically-predictable.

8 MS. ARMSTRONG: So, that could be
9 a comment.

10 MR. LORD: Yes, we can provide a
11 comment. We will.

12 (Laughter.)

13 MR. KLEISS: Jeff Kleiss with
14 Lochinvar and A.O. Smith again.

15 This goes back to the scope of
16 coverage.

17 MS. ARMSTRONG: Uh-huh.

18 MR. KLEISS: Currently,
19 residential air conditioning, heat pump units
20 are covered by AEDMs and ARMs. Has it been
21 considered adding those to residential water
22 heaters, residential boilers? If not, is

1 there a reason why not?

2 MS. ARMSTRONG: Okay. So, I am
3 going to table that for just one second.

4 MR. KLEISS: Okay.

5 MS. ARMSTRONG: And I will go
6 back.

7 So, any other comments before we
8 move on to scope of applicability on
9 preapproval? Just whether the Department
10 should or shouldn't review and preapprove
11 AEDMs before they are allowed use. Is there
12 general support for preapproval? Is there
13 general support for no preapproval?

14 MR. GARST: I would say -- Mike
15 Garst with Lennox -- I think we would support
16 no preapproval. The only concern we would
17 have is if someone new comes in the market,
18 that DOE has some way to at least do some
19 assessment testing, so that they don't get in
20 the market a long time, if they have got a
21 problem.

22 MR. LORD: Yes, Dick Lord,

1 Carrier.

2 Yes, we support no preapproval,
3 and you stated it somewhat in the document, is
4 define exactly what has to be documented. So,
5 it is very clear to a newcomer what he has to
6 do.

7 MR. ROBERTS: Carl Roberts, Zero
8 Zone.

9 Eliminating preapproval is a good
10 and necessary proposal to make compliance
11 practical.

12 MS. ARMSTRONG: Anyone else?

13 MR. AMRANE: Karim Amrane, AHRI.

14 I think we would support that as
15 well. And I am not even sure the DOE has the
16 capabilities of approving all the AEDMs.

17 MS. ARMSTRONG: You have so little
18 faith in me.

19 (Laughter.)

20 MR. VerSHAW: Ingersoll Rand can
21 support no preapproval.

22 MS. ARMSTRONG: Okay. Thank you.

1 Okay. Back to the topic of
2 applicability, so we have proposed it to
3 expand the use of AEDMs to other types. This
4 includes commercial refrigeration equipment,
5 automatic icemakers, small electric motors,
6 beverage vending machines, walk-in cooler and
7 freezer (refrigeration systems only), and
8 then, continue the use for commercial HVAC and
9 water heating equipment, distribution
10 transformers, electric motors, including small
11 electric motors, as well as CACs, central air
12 conditioners and heat pumps.

13 So, to answer your question in the
14 back about did we consider expanding to other
15 types of residential equipment, you know, when
16 we issued the RFI and received comments, the
17 Department mainly got comments about the need
18 for AEDMs to rate custom-built, low-volume-
19 type equipment. And I guess from the
20 Department's perspective, and we would like to
21 hear comments on that, as to how residential
22 water heaters and residential maybe boilers

1 fall into that custom-built, made-to-order
2 type of classification or characterization, if
3 we should consider, and why we should
4 consider.

5 You guys are already testing and
6 rating and certifying your equipment now. So,
7 I ask what you do now to get the ratings. Do
8 you test currently all those?

9 So, I will open the floor at this
10 point.

11 MR. AMRANE: Karim Amrane, AHRI.

12 We did submit comments to DOE
13 asking, did you expand the AEDM coverage to
14 other residential products? Yes,
15 manufacturers today do test. But, again, we
16 are talking about reducing the burden of
17 testing. So, an AEDM would be helpful to
18 those manufacturers as well.

19 MS. ARMSTRONG: Can you turn your
20 microphone on?

21 MR. STANONIK: Yes, I got it.

22 MS. ARMSTRONG: Thanks.

1 MR. STANONIK: Frank Stanonik,
2 AHRI.

3 Ashley, one specific point that
4 actually has kind of gotten lost here in the
5 NOPR is that, in the case of residential
6 boilers, one subclass of products has
7 something akin to an AEDM and the other
8 doesn't. And specifically, the rule has
9 always allowed cast-iron sectionals to do
10 something like an AEDM.

11 And we would certainly suggest
12 that, at least in the case of residential
13 boilers, make it equal for all boilers and
14 allow them all to have that option. In the
15 case of boilers, you are dealing with a market
16 that on an annual basis is probably in the
17 200,000s. Maybe in a good year it was
18 300,000, but a large number of models.

19 We can talk water heaters; it is
20 totally different. You are talking about a
21 market of 9-10 million units. In the case of
22 boilers, you don't have that magnitude of

1 sales. And yet, you have a
2 disproportionately-large number of models.
3 And so, while they may not be made to order,
4 they certainly don't have anywhere near, let's
5 say, the number of sales per specific model.
6 And so, it is kind of a unique situation for
7 residential boilers.

8 MS. ARMSTRONG: Go ahead, Harvey.

9 MR. SACHS: Harvey Sachs, ACEEE.

10 Ashley, the concern with the
11 contrast between real production-line products
12 and things with a large degree of
13 customization is an important one. I am glad
14 to see it reflected.

15 On the other hand, if an AEDM's
16 underlying algorithm is robust and sound, then
17 even for things which we think of as large
18 production volumes, the opportunity to use it
19 reduces the burden of innovation. It makes it
20 more likely that a manufacturer might respond
21 to a potential market, for example, for a very
22 small central air conditioner for a very well-

1 insulated house.

2 And here, we have that balance
3 between not knowing how the AEDM will
4 extrapolate versus wanting to encourage that
5 kind of innovation. I think it is a pretty
6 serious question, but we should not
7 automatically exclude new products from
8 classes that we typically think of as being
9 large-scale production.

10 MS. ARMSTRONG: I mean, this is
11 definitely something we have sought comment
12 on, including the proposed scope of
13 applicability. So, if there is a wider net
14 that needs to be considered, we welcome
15 comments on that. I think the majority of the
16 comments that we have received so far have
17 surrounded the idea of the low-volume custom
18 order. That being said, we are open.

19 And Frank, to your point about
20 boilers, I mean, there is no change for the
21 existing test procedure linear interpolation
22 for the certain types of boilers, obviously.

1 That is inherent within the test procedure,
2 and that is the same as we have in certain
3 types of -- other types of commercial
4 applications also have inherent extrapolation
5 or estimation-type methods. Those still
6 remain intact, even with this rule.

7 Okay. So, we have a bunch of
8 questions here. One question is, what is the
9 difference between an equipment class and a
10 product class? And I apologize. That is
11 probably myself mixing up the terms.

12 We use covered product for
13 residential products, covered equipment for
14 commercial products, product class for
15 residential, equipment class for commercial.
16 The terms are synonymous in their meanings.
17 One is just the residential market, and one is
18 just the commercial market. It is just a DOE
19 terminology thing. So, I apologize for
20 causing confusion there.

21 Okay. So, this one is just a
22 comment, not a question.

1 "Under the current mixed/matched
2 AC/heat pump split system ARM rating rules for
3 ICMs, the ICM using an ARM must simulate its
4 rating based on the matched system's highest
5 sales volume tested combination rating. For
6 each matched system, basic model may under
7 your proposal no longer need to be tested. It
8 is wise to be doing a computer simulation on
9 someone else's computer simulation" -- I am
10 not sure exactly what the last one is, but
11 that is what it says.

12 So, Mr. Craig has a question
13 regarding, "Why are AEDMs not available for
14 walk-in cooler enclosures?" If you mean walk-
15 in coolers, the whole box, our test method
16 recently promulgated for walk-in coolers and
17 freezers is a test method based on components.

18 There is a different test for the panel.
19 There is a different test for the
20 refrigeration system. There is a different
21 test for the doors.

22 So, what we have tried to do here

1 is allow the simulation, and we explained why
2 we didn't allow it for other components in the
3 actual NOPR, but allow the simulation for the
4 refrigeration system, which we feel was the
5 most necessary.

6 Any other comments or questions on
7 applicability at this point? Please.

8 MR. DAUGHERTY: Roger Daugherty,
9 Baldor Electric.

10 With regard to the small electric
11 motors, the AEDM was actually added to Part
12 431 by the final rule of July 7th, 2009. That
13 final rule added the sampling procedure to
14 select your basic models, to substantiate the
15 AEDM, and how you compare it against test data
16 to substantiate the AEDM.

17 One problem is that this NOPR
18 deletes that AEDM from Part 431 and does not
19 add any information to Part 429 covering small
20 electric motors. So, now we are left with we
21 had an AEDM, now it has gone away. Yet, in
22 429.70, you do apply requirements for the AEDM

1 on tolerances and average tolerances, but you
2 will find there is no section that describes
3 how to substantiate the AEDM for small
4 electric motors, since you have eliminated it
5 from Part 431.

6 I might also note that I assume it
7 is an oversight, but throughout the NOPR you
8 refer to the commercial equipment and other
9 type of equipment. Small electric motors and
10 electric motors are classified as industrial
11 equipment, which is part of the title of Part
12 431. So, I am assuming your references to
13 commercial may also be referring to industrial
14 equipment.

15 MS. ARMSTRONG: That is correct.

16 MR. DOPPEL: Paul Doppel with
17 Mitsubishi Electric.

18 VRF systems aren't specifically
19 mentioned here. Should they be included?

20 MS. ARMSTRONG: Okay. So, I
21 believe -- and cross-check my math here -- but
22 in the ASHRAE rule, DOE added VRFs as a type

1 of commercial air conditioning and heating
2 equipment, and AEDMs apply to all commercial
3 air conditioning and heating equipment.

4 MR. DOPPEL: Okay. Thank you.

5 MS. ARMSTRONG: Just make sure
6 that the reg text -- we will check it as well,
7 but make sure that that is in. That was the
8 intention, though.

9 MR. STRAUB: Mike Straub,
10 Heatcraft Refrigeration.

11 We appreciate the ability to
12 utilize AEDMs on the refrigeration systems for
13 walk-in coolers and freezers. The issue that
14 we have is the definition of product classes.

15 You stated that the product classes would be
16 defined when the performance standards are
17 revealed, but that was supposed to be January
18 of this year. That hasn't been done. Is it a
19 matter of manufacturers submitting information
20 to you on what we believe product classes
21 should be? Or do we have to wait? Because we
22 would like to be doing our testing to develop

1 AEDMs now.

2 MS. ARMSTRONG: So, first of all,
3 we would welcome information that suggests
4 what product classes should be. That being
5 said, the rulemaking is also ongoing
6 considering standards for them. I am sure the
7 preliminary analysis at least speaks to some
8 of that. So, I would cross-check with that.

9 But, yes, you are right, the final
10 product class or equipment class wouldn't come
11 out until amended standards. And so, we could
12 deal with that here.

13 Yes, thank you for pointing that
14 out.

15 Okay. So, we have talked about
16 most of this, but the idea, the premise that
17 the Department had was that we believe that
18 manufacturers should have the ability to come
19 up with a single AEDM or multiple AEDMs at
20 their discretion for use across a wide range
21 of their product offering. It could be one
22 simulation for all the products. It could be

1 multiple ones. Really, our proposal is just
2 that. It is left to your discretion.

3 So, we have gotten a lot of
4 comments already on what are product classes
5 and equipment classes in terms of that. We
6 noted that we would be interested in knowing
7 if you needed additional clarification on
8 that.

9 But we wondered what you guys
10 thought about the use of a single AEDM or
11 multiple AEDMs or leaving it at your
12 discretion to use a single AEDM across a wide
13 range of product classes in your entire
14 product offering, if you so choose to and they
15 met the substantiation requirement.

16 So, I open the floor for that
17 proposal.

18 MR. LORD: Dick Lord with Carrier.

19 We favor that. I mean, you can
20 leave it to our discretion whether we want to
21 do it for a small product or a large product
22 class. So, we support that.

1 MR. FLY: Mark Fly with AAON.

2 I, too, support that. The basic
3 components that are going into all these
4 products are the same are similar, and we have
5 product models developed for each component.
6 And so, the AEDM is a balance of all the
7 components that we have put together. So, it
8 seems reasonable that we can do that.

9 Now are you going to discuss at
10 some point the tolerances on the AEDM?

11 MS. ARMSTRONG: Yes, that is next,
12 and I might seek a break before that for my
13 own benefit.

14 (Laughter.)

15 Does anyone else want to -- sure.

16 Sorry, Harvey.

17 MR. SACHS: Harvey Sachs, ACEEE.

18 To turn back to your example of
19 product classes, which might be electric
20 resistance water heaters, gas water heaters,
21 tank and tankless, and all the other
22 permutations that we have now as product

1 classes, you can certainly take Dick Lord's
2 approach and have different modules and call
3 that a single AEDM. Or you can be using
4 different models for product class. And the
5 question is, does or should DOE have an
6 interest in which way a manufacturer chooses
7 to do his AEDMs?

8 I might be a manufacturer who only
9 does super-insulated tank water heaters. Do I
10 need to have a broadly-applicable AEDM?

11 MS. ARMSTRONG: So, this is
12 Ashley.

13 Okay. So, what we tried to do was
14 allow the manufacturer the discretion to have
15 the broadly-applicable AEDM or have the not-
16 broadly-applicable AEDM. In other words, it
17 doesn't require broad applicability.

18 If they are only going to use it
19 for a single product class, then it only has
20 to be tested with that product class, although
21 the minimum number of models is five. So, it
22 would be five models, period.

1 But I get where you are going with
2 this, but it doesn't have to have like this
3 wide-range applicability if it didn't need to.

4 MR. ROBERTS: This is Carl at Zero
5 Zone.

6 Based on working with this over
7 the past couple of years, the reality is that
8 we have to use AEDMs in order to rate untested
9 basic models for compliance to be practical.
10 In all reality, we end up testing several
11 models from each product class or equipment
12 class.

13 And it is necessary to use more
14 than one AEDM, even within a particular
15 equipment class. That is how it works out so
16 far. And even then, it is going to be
17 difficult for small manufacturers to comply.

18 MS. ARMSTRONG: I am going to ask
19 a followup. Can you explain why that is? And
20 do you have a suggestion to help? I mean,
21 what would you change?

22 MR. ROBERTS: That is a good

1 question. To answer the question why, testing
2 is very complex. And for a small manufacturer
3 or a startup, it would represent a very large
4 part of what they are doing. It might exceed
5 the effort put into manufacturing.

6 I am not sure what the answer is,
7 you know, how do you solve that problem. I
8 guess one possibility is that you give very
9 small manufacturers a pass or some permutation
10 of that. That is all I can think of.

11 MR. NESHAN: Massoud Neshan,
12 Southern Store Fixtures.

13 I would like to add to what was
14 just stated. We are a small manufacturer of
15 commercial refrigeration equipment, and we
16 manufacture highly-customized and unique and
17 different display cases on a daily basis.

18 In our standard catalog, we have
19 over 500 basic models. And then, on a daily
20 basis, we design new equipment. And we might
21 only sell one case of that unique design in a
22 given year, but the effort that goes into

1 testing that or developing AEDM is the same as
2 when we manufacture a thousand of the same
3 model. So, it is a very back-breaking process
4 and costly process that kind of prohibits
5 innovation and providing what the customers
6 require.

7 What is the solution? It is very
8 simple. And I know we have discussed this in
9 the past, but the answer has been no. But you
10 have to set a limit on, if you sell two pieces
11 of equipment a year, does it need to be going
12 through the same process? That is the
13 question. Or should it be a limit of 10 or 20
14 or 50? I don't know what the answer is.

15 But there has to be a solution, so
16 that it would allow us, as a small
17 manufacturer, to design, manufacture, and sell
18 the piece of equipment that we need to do.

19 Under current test conditions, we
20 have to test at least two units before we can
21 enter that unit into commerce. Well, picture
22 this: we manufacture one. We sell one. But

1 we have to manufacture two to test. It just
2 doesn't make sense.

3 I mean, we waste more energy
4 manufacturing the second unit than we would
5 ever save in the entire life of that unit that
6 was sold into the marketplace. It just
7 doesn't make sense.

8 Maybe we have to look at what some
9 Europeans and other countries have
10 established. They have established, for
11 example, Australia and New Zealand, if you
12 import less than 50 units per year, they are
13 exempt from their requirements, which is
14 practical.

15 Thank you.

16 MR. WILKINS: Robert Wilkins,
17 Danfoss.

18 Just an observation that this has
19 to do with materiality. Materiality from the
20 manufacturer's point of view, but also
21 materiality from the customer and from the
22 market-at-large point of view.

1 You might be able to deal with
2 some of these kinds of issues by a materiality
3 provision that puts a cutoff, that sets some
4 limits or thresholds. Just an idea.

5 MS. ARMSTRONG: Thank you.

6 Sure, Karim, go ahead.

7 MR. AMRANE: Karim Amrane, AHRI.

8 I guess I have a question for DOE.

9 Does DOE have the authority to, for example,
10 put the limit on a minimum, a production limit
11 or something like that? Or does it need some
12 legislation?

13 MS. BARHYDT: Can I respond to
14 that after the break?

15 MR. AMRANE: Sure.

16 MS. ARMSTRONG: Okay.

17 MR. DAUGHERTY: Roger Daugherty,
18 Baldor Electric.

19 I think it is becoming obvious
20 that over the many years that we have been
21 working on the various final rules and NOPRs
22 for electric motors and small electric motors,

1 that those are being lumped over and looked at
2 like some of these other products. Once we
3 get into electric motors, as I said, just at a
4 single mechanical configuration, you are
5 looking at 24,000 basic models covered by the
6 present standards in Part 431. Add on those
7 for the small electric motors.

8 So, if you were to define the
9 product classes the way you did for small
10 electric motors, this idea of testing one
11 basic model from each product class just is
12 inconceivable for electric motors and small
13 electric motors. That is why I encourage you
14 to reconsider what is in presently Part 431
15 for electric motors and small electric motors
16 as to how to properly select at least five
17 basic models that cover the ratings that are
18 covered by the standards and make
19 substantiation of AEDM from that.

20 And it may be necessary that in
21 Part 429 that you bring that information over
22 for electric motors and small electric motors

1 and not do the substantiation on product
2 classes and things like you are doing it for
3 other equipment.

4 Thank you.

5 MS. ARMSTRONG: Thank you.

6 MR. DOPPEL: Ashley?

7 MS. ARMSTRONG: Yes?

8 MR. DOPPEL: Paul Doppel with
9 Mitsubishi Electric.

10 In The Federal Register on page
11 32056, and this is under paragraph 5,
12 "Additional Test Units," "Each AEDM must be
13 supported by test data obtained from physical
14 tests of current models."

15 MS. ARMSTRONG: Right.

16 MR. DOPPEL: That is kind of an
17 implication that every time you change your
18 model lineup you have to change your AEDM. Is
19 that the intent there?

20 MS. ARMSTRONG: That is not how --
21 well, perhaps we need to clarify.

22 But this is Ashley from DOE.

1 If one of the models you use to
2 substantiate your AEDM is discontinued, you
3 need to replace it with an active model. That
4 is what it means. In other words, if a new
5 standard goes into effect and three of the
6 five units you use to substantiate your AEDM
7 will no longer meet the standard and are
8 either (a) redesigned and rerated or (b)
9 discontinued, then you need to go through and
10 make sure, resubstantiate your AEDM.

11 That does not necessarily imply
12 that you need to change your AEDM, but if you
13 rerun it and the results are no longer valid
14 for the simulation, you would need to retest
15 those models with actual testing, compare it
16 to the simulation. And for each model beyond
17 the 5 percent, if the mean is not within the 3
18 percent, then you would need to do something
19 at that point, whatever it may be. Okay?
20 There is like a more reoccurring thing rather
21 than a never.

22 Yes?

1 MR. SACHS: Do you want to get
2 into the substantiation part now or do you
3 want to wait?

4 MS. ARMSTRONG: No. No, let's
5 take a break.

6 (Laughter.)

7 MR. VerSHAW: I have got a
8 question, though, first.

9 MS. ARMSTRONG: Oh, sure.

10 MR. VerSHAW: You know, I think if
11 you look at each type of product you are
12 trying to cover -- oh, Jim VerShaw with
13 Ingersoll Rand -- you know, we do air
14 conditioning and heat pumps and heating. For
15 air conditioning on the residential side, it
16 is the same engine that does the simulations,
17 whether it is a heat pump or an air
18 conditioner. And we are relatively, you know,
19 one and a half to five tons, it is fairly
20 straightforward.

21 If you get into the bigger
22 equipment, and I don't see any difference

1 between a 15-ton and a 25-ton in terms of how
2 you would simulate that. It still has got a
3 compressor. It has got two coils and it has
4 got a couple of fans.

5 So, why you need to go into those
6 air conditioners and have one from each one,
7 it might be better to know which of those
8 models are the ones that are pushing the
9 design the hardest, which one is most compact
10 or most open or that type of thing. Whether
11 or not it is gas heat or electric heat may not
12 be an issue.

13 I think we need to have an open
14 mind by product family as to how you would go
15 about doing that. I think that we may be
16 asking for more tests upfront than are really
17 necessary. Or maybe it is too easy to pass it
18 in some cases, you know, if you want to
19 cherry-pick some of those.

20 We will be making comments on
21 that, but --

22 MS. ARMSTRONG: Yes, I mean, at

1 this point, the Department is open. It was
2 very clear when we wrote the notice
3 -- hopefully, it is clear to you as well --
4 that we would consider alternatives. So,
5 suggestions are welcome both ways.

6 So, at this point, we are going to
7 take about a 15-minute break. We are going to
8 come back to talk about substantiation
9 requirements.

10 Please come back at about 10:30 or
11 so.

12 (Whereupon, the foregoing matter
13 went off the record at 10:13 a.m. and went
14 back on the record at 10:34 a.m.)

15 MS. ARMSTRONG: Okay. Getting
16 back, before we get into substantiation
17 requirements, we have two questions on the
18 phone.

19 So, the first one I am going to go
20 to is Robert Barry.

21 MR. BARRY: Yes, hi. This is
22 Robert Barry with Unico.

1 This is a question pertaining to
2 ICMs. Before the break, we talked about the
3 conditions under which a manufacturer would
4 have to resubstantiate their own AEDM. I was
5 just wondering, going back a step, what is the
6 responsibility of ICM manufacturers to
7 resubstantiate their AEDM if one of the
8 manufacturers with whom they pair has to
9 resubstantiate their AEDM? And what is the
10 responsibility of an ICM for modeling results,
11 tolerances, for the overall mixed system,
12 especially in light of the black-box nature of
13 each manufacturer's simulation methods and
14 algorithms? And would DOE consider providing
15 data or standard AEDMs for the various classes
16 that ICMS could use in lieu of manufacturer
17 data for the purposes of ratings and
18 substantiation from systems?

19 MS. ARMSTRONG: Okay. So, I am
20 going to go one-by-one because that was a lot
21 of questions.

22 MR. BERRY: Yes, sure.

1 MS. ARMSTRONG: Okay. So, I am
2 going to start with the last one first. Right
3 now, this proposal doesn't contemplate like a
4 DOE kind of an overall AEDM. That is the
5 first thing. Right now, it just allows
6 manufacturers at their discretion. So, at
7 this point, we have not considered anything
8 like that.

9 As far as ICMS go, and if a
10 condensing unit specifically is discontinued
11 that you built your AEDM off of for the ICM,
12 even though you are not the manufacturer of
13 the condensing unit, you know, it doesn't
14 specifically separate requirements between an
15 OEM and an ICM, and maybe that is something
16 the Department should consider. Right now, it
17 seems to read the same.

18 So, if a model was discontinued,
19 like a condensing unit was discontinued for
20 what you used to substantiate your AEDM, it
21 seems -- this is just the way I read it --
22 that you would need to replace that unit by a

1 new condensing unit, test it in the same way
2 an OEM would. And to the extent you have
3 certain suggestions that we should consider
4 specific to ICMs, we would welcome those.

5 Okay. So, the next question is
6 from Ron Shebik.

7 Okay. Ron?

8 MR. SHEBIK: Yes, hi, Ashley. Can
9 you hear me?

10 MS. ARMSTRONG: I can hear you.
11 Just make sure you talk pretty closely to the
12 phone.

13 MR. SHEBIK: Okay. Hey, Ashley, I
14 would just make a comment that, in general, I
15 agree with the discussion on page 16, but I
16 think maybe a useful exercise, since there
17 seems to be some confusion amongst the people
18 in this meeting, a useful exercise may be to
19 look at product classifications, basic model
20 groups, and equipment classification, and
21 maybe discuss how they all relate to each
22 other.

1 MS. ARMSTRONG: Sure. Thank you.

2 MR. SHEBIK: Thanks.

3 MS. ARMSTRONG: Okay. So, if we
4 don't have any other general questions before
5 we move into substantiation requirements, we
6 are going to do just that.

7 So, the AEDM tolerances,
8 currently, the Department, for those products
9 for which we have simulations where we have
10 two tolerances -- well, we have three -- one
11 is 1 percent and one is 5 percent and one is
12 10 percent, and they vary by product type.

13 So, in this rulemaking, DOE has
14 individual tolerances for most of the
15 equipment, commercial HVAC, refrigeration-type
16 equipment, residential CACs and CHBs at 5
17 percent. So, each individual unit tested must
18 be within 5 percent of the AEDM simulation
19 results.

20 Yes?

21 MR. VerSHAW: I just find it
22 interesting -- Jim VerShaw, Ingersoll Rand --

1 that motors have a 10 percent tolerance and
2 HVAC, which takes -- what? -- 95 percent or 99
3 percent of this energy comes from three
4 motors, maybe four, and we are only doing 5
5 percent, along with scroll and piston
6 machining and prop fans and blower wheels, and
7 all the other things that you get variations
8 in, along with an extreme amount of lab
9 variation.

10 MR. WILKINS: Robert Wilkins,
11 Danfoss.

12 I was just going to comment on the
13 lab variation inherent in unitary air
14 conditioning compared to motors.

15 MS. ARMSTRONG: Can you speak to
16 what you think the magnitude of each of those
17 is?

18 MR. VerSHAW: This is Jim Vershaw.
19 Through work at Ingersoll Rand
20 and, also, with AHRI, we have been digging
21 into this lab issue. There is a lot to it.
22 If you look at repeatability, a major third-

1 party lab does round robins with a single
2 unit, and they think they are doing well if
3 they are plus or minus 2 percent from the test
4 from facility-to-facility.

5 Now, if you have a unit that
6 essentially has a performance of one, but you
7 test it in one room and you get .98 and you
8 test it another room and you get 1.02, the DOE
9 rules won't allow us to rate it at the mean.
10 It is too far apart. And that is just
11 repeatability from room to room.

12 Now in terms of variability,
13 instrumentation for measuring volts and all
14 these things has been improved over the years.

15 However, the subsystems that they are used on
16 haven't. In fact, work that has been done by
17 the AHRI subcommittee has found that there are
18 inadequacies in the ASHRAE standards for
19 psychrometers, for mixers, for damper boxes.
20 And there is really five things.

21 So, measuring wet bulb, which is
22 key to the air conditioning, is not done very

1 well. Measuring airflow is not done very
2 well. In fact, we have found substantial
3 error, depending on which nozzles you were
4 using. Mixer boxes aren't defined well
5 enough. The sample trees in which you try to
6 sample the air going into the heat exchangers
7 are not well-defined.

8 And so, there are about five
9 things, and each one of those five things has
10 a variation of about 1.5 to 3 percent effect
11 on testing. Now does that add up to 15
12 percent? No, but 5 percent is really a
13 stretch on those things.

14 Now let's put on top of that you
15 have got the 10 percent motor variation. And
16 compressors tend not to come out of the box at
17 mature performance. Most compressors,
18 especially scroll manufacturers, will supply a
19 compressor to us that is somewhere between 95
20 and 97 percent of its rated performance, which
21 after about 75 hours of run time is probably
22 pushing, then, closer to 99 to 100 percent.

1 So, lots of issues in here as far
2 as what can happen. We have been dealing with
3 the 5 percent tolerance for quite a bit of
4 time through the AHRI program. Of course, we
5 conservatively rate equipment and the like.
6 And so, I think that some of these numbers
7 like 3 percent is difficult.

8 MS. ARMSTRONG: So, just to
9 clarify, are we okay with the 5 percent, but
10 you are advocating a 5 percent for motors?

11 MR. AMRANE: Karim Amrane.

12 I guess I think what we need here,
13 we need to revisit all those tolerances and
14 those percentages. I mean, why 5 percent?
15 Why 10 percent? On what basis is DOE picking
16 10 percent for motors and 5 percent for air
17 conditioners? I think those things have to be
18 revisited. They have been there for many,
19 many years, but I think it is about time to
20 review them.

21 MS. ARMSTRONG: We will go to the
22 back one second.

1 MR. DAUGHERTY: Roger Daugherty,
2 Baldor Electric.

3 I think I can clarify some of the
4 confusion here. But, first, what I think is a
5 rather simple question to DOE. When a final
6 rule is published and makes changes to one of
7 the parts, when are those changes effective?
8 I ask because, in preparing comments on this
9 NOPR, there is a final rule May 4th of this
10 month that made changes to things that are
11 being changed by this NOPR.

12 So, I would like to know whether
13 or not that final rule is in place and the
14 comments go against that final rule or if the
15 final rule, then, replaces over this NOPR,
16 which means the change is made through this
17 NOPR, get replaced by that final rule.

18 MS. ARMSTRONG: So, these are
19 proposed. These would overwrite the final
20 rule changes.

21 MR. DAUGHERTY: Okay.

22 MS. ARMSTRONG: So, the comments

1 go to this docket.

2 MR. DAUGHERTY: Okay. Now, to try
3 to clarify what is here -- and I found it very
4 interesting that, under the present procedure
5 for electric motors and small electric motors,
6 for substantiating the AEDM, the tolerance
7 that is applied of 10 percent is to total
8 losses, not to efficiency. Yet, in preparing
9 this NOPR, DOE has changed that to be a
10 tolerance on efficiency.

11 Was that the actual intent of DOE
12 to change the tolerance for electric motors
13 and small electric motors to be based on
14 efficiency rather than total losses? And I
15 only point that out because that is a
16 substantial difference between the tolerance
17 on efficiency values.

18 MR. HON: Charlie Hon, True
19 Manufacturing.

20 I am sitting here looking at
21 commercial refrigeration equipment, self-
22 contained materials. I can tell you for a

1 fact that I can take the standard procedure,
2 the test procedure available to us today,
3 within the scope of the range of electrical
4 current, ambient temperatures within the room,
5 and the temperature inside the cabinet, and
6 keep them all in the specification, and come
7 up with about 8 percent variation unit-to-unit
8 on the same unit.

9 MR. VerSHAW: Yes, this is Jim
10 Vershaw again.

11 There has been some work done, the
12 ISO group, a working group on looking at
13 efficiencies, again, for HVAC-type equipment
14 where you do an entropy balance. They are
15 pushing for a 10 percent uncertainty because
16 they found it was close to 7 percent for air
17 conditioning in that work, which kind of
18 supports the issues that I brought up earlier.

19 MR. ROBERTS: This is Carl from
20 Zero Zone.

21 With regard to CRE, there is a
22 number of things that affect this percentage.

1 There is a surprising amount of variability
2 in the manufacturing of things like the glass
3 doors. In fact, our tolerance from our glass
4 door vendors for the heat on the glass doors,
5 plus or minus 10 percent. There are a number
6 of things that are hard to measure, short of
7 having a million-dollar lab, such as mass
8 flow. There are things that are hard to
9 regulate, such as voltage and humidity.

10 For a reasonably-equipped third-
11 party test facility, I think the 5 percent and
12 3 percent is too tight. I think 10 percent
13 and 5 percent might be more like it.

14 MR. FLY: You know, having mostly
15 air conditioning guys having been testing with
16 ARI for a number of years, and comparing it
17 with one lab, which does chamber-to-chamber
18 tests that are in the 2 percent range, we have
19 all calibrated our ratings, basically, to the
20 results of that lab. That is the meter stick
21 today.

22 My big concern is, if we start

1 going out to other labs and we are just using
2 some ISO standard that basically says I have
3 got smart people running the place, and that
4 my equipment has been calibrated, we all know
5 who are sitting in this room that there is a
6 whole lot more to getting repeatable results
7 than that.

8 I would strongly encourage, when
9 you are looking at these tolerances, that you
10 look at tolerances only on one side,
11 whichever, so that we can conservatively rate
12 equipment and even conservatively calibrate
13 our AEDM, so that we are sure to not only
14 account for our lab tolerances and our
15 manufacturing tolerances, even if they happen
16 to be beyond what we see up here.

17 So, the ability to be able to not
18 do plus or minus when we are looking at the
19 AEDM qualifications, to fall along the same
20 lines as you have done with the testing and
21 the confidence levels, so that you only go to
22 the negative side, would be very helpful.

1 MS. ARMSTRONG: Yes. So, before I
2 keep going, I am just going to answer that.
3 Throughout 429 in the individual product
4 sections, you will notice that we restructured
5 a bit. Perhaps you haven't had a chance to
6 fully read through this.

7 But what it does is the first
8 part, it sets forth any representative value
9 from testing. And then, the second part, it
10 sets out any representative value from an
11 AEDM. What it allows is either to use
12 something more conservative than the AEDM
13 value all the way up to the AEDM value. So, I
14 believe that is what you are asking for, and
15 that is in the proposal for each product.

16 MR. FLY: Yes, but within the 3
17 percent average of the mean is plus or minus.

18 MS. ARMSTRONG: I understand.

19 MR. FLY: Which means I have got
20 to have test data that falls within that 3
21 percent and I have to be at the center of it.
22 I may want to conservatively rate or

1 calibrate my AEDM to be lower than that, so
2 that I can ensure that I can account for any
3 lab-to-lab.

4 MS. ARMSTRONG: You would rather
5 just go to the negative range? Okay.

6 Keep going around.

7 MS. HOOTMAN: Yes, I was going to
8 say I agree just on the negative range --

9 MS. ARMSTRONG: Okay.

10 MS. HOOTMAN: -- and let the
11 upside potential be there.

12 MR. SACHS: Harvey Sachs, ACEEE.

13 We have no objection on policy
14 grounds for manufacturers who wish to mislead
15 the public by selling products that are more
16 efficient than their ratings would indicate.

17 (Laughter.)

18 We support the negative.

19 MS. ARMSTRONG: Sure. Sure.

20 Thank you.

21 Go ahead.

22 MR. GARST: Mike Garst at Lennox.

1 Just support the negative only.
2 It is really especially important for the ICMs
3 because they have got very limited information
4 from the high-side manufacturers, and they
5 have to be conservative.

6 MS. ARMSTRONG: Sure.

7 MR. LORD: I have a little bit
8 different take on what you are asking here.
9 The way I understood it, I test five units and
10 they have to be within 5 percent and the
11 average has to be 3 percent. Now that proves
12 my AEDM.

13 Now I can add an additional 2
14 percent, 5 percent, whatever I want, to my
15 AEDM when I publish my ratings. So, I am
16 conservative, right?

17 MS. ARMSTRONG: That is correct.

18 MR. LORD: Yes.

19 MS. ARMSTRONG: That is exactly
20 correct.

21 MR. LORD: So, this just validates
22 your test --

1 MS. ARMSTRONG: This is validating
2 your rating. Your rating, then, I mean, you
3 can then use your AEDMs, once they are
4 substantiated, to get the certified ratings
5 for everything else and those can be
6 conservative all the way down to the standard.

7 MR. LORD: Yes.

8 MR. FLY: So, what point is the 3
9 percent?

10 MR. LORD: So, basically, you have
11 five tests. And I can keep going. I will
12 answer it. I won't do that.

13 (Laughter.)

14 You have five tests. In those
15 five tests, none can be more than 5 percent
16 off, plus or minus 5 percent, and the average
17 has to be plus or minus 3 percent. That
18 substantiates your AEDM.

19 Now, when you publish, you can say
20 we don't feel confident; we are going to add
21 another 2 percent safety factor. And that is
22 what she is saying is okay.

1 MS. ARMSTRONG: That is correct.

2 I mean, his explanation is right.

3 Go ahead. Go ahead. Yes.

4 MR. WILKINS: Robert Wilkins,
5 Danfoss.

6 I would like to reiterate a point
7 Karim Amrane made about maybe stepping back
8 and taking a fresh look or a deeper look at
9 some of this. And some of the dimensions that
10 I would like to comment on are you have a wide
11 range of equipment listed up there. Some
12 equipment is self-contained. It is factory-
13 charged. It is factory-sealed. It is very
14 controllable by the manufacturer.

15 Some of that equipment is field-
16 connected and even field-charged or at least
17 field-topoff. And in the lab, there are some
18 restrictions as to how much tweaking of the
19 refrigerant charge is appropriate. And even
20 if the manufacturer specifies that certain
21 amount of adjustment is in order, it may not
22 be done in the lab.

1 And so, there is a wide range of
2 variability attributable to the type of the
3 equipment that might be considered here as
4 well, self-contained versus field-connected
5 and field-charged, for example.

6 MS. ARMSTRONG: Okay. Go ahead.

7 MR. LORD: Yes, maybe to add, and
8 I was going to bring it up later, but this is
9 probably a good point. When you get into
10 commercial equipment, it is a very complex
11 piece of equipment. Most have microprocessors
12 on it.

13 I know on our equipment, and I
14 think a lot of the competitors also do the
15 same thing, we require factory commissioning.

16 You know, they have to set it up because the
17 average guy is not trained to set up that
18 piece of equipment.

19 So, I know you have allowed that
20 on VRF systems. We need to also consider that
21 on large commercial equipment.

22 MS. ARMSTRONG: Okay.

1 MR. WILKINS: My one rule would be
2 anytime factory commissioning is required in
3 the field, it should be considered in the
4 laboratory as well.

5 MS. ARMSTRONG: Okay.

6 MR. VerSHAW: Jim VerShaw,
7 Ingersoll Rand.

8 For the testing, these tests, Test
9 X-1 on, are those manufacturers' tests or are
10 they third-party tests?

11 MS. ARMSTRONG: No, there is no --
12 this is Ashley from DOE -- there is no third-
13 party testing requirements. They are
14 manufacturer tests.

15 MR. VerSHAW: Oh, I guess it is
16 manufacturer setup then.

17 (Laughter.)

18 MS. ARMSTRONG: Correct. I think
19 he was referring to verification and
20 enforcement potentially.

21 Sure. Please.

22 MR. DAUGHERTY: Roger Daugherty,

1 Baldor Electric.

2 With regard to this plus or minus
3 3 percent, throughout the discussion in the
4 NOPR, in the actual title of Figure C-1, it
5 says, "except for electric motors and small
6 electric motors," but that exception is not in
7 the text, in the actual 429.75(i).

8 MS. ARMSTRONG: Okay.

9 MR. DAUGHERTY: Oh, I guess it is
10 four, under "Average Tolerances".

11 And maybe give a little bit more
12 information. I realize, again, there is this
13 thing of electric motors and small electric
14 motors, and they are treated very differently
15 than many of these other products.

16 In EPAct in 1992, they were the
17 only equipment that was actually required to
18 have to be tested in an accredited test
19 facility. And so, in the 1990s, between NEMA
20 in conjunction with NIST/NAVLAP, so that they
21 could create an accreditation program, we
22 conducted round-robin testing to determine

1 what the tolerance was as a factor of testing,
2 both during the round robin, so we could see
3 between test facilities, and also see just for
4 testing motors within a facility.

5 And as a result of that, we
6 actually conducted a second round of round
7 robin after modifying the IEEE 112 test
8 standard and created the NAVLAP Handbook
9 150-10 for the accreditation program.

10 So, there is a very great deal of
11 background that goes into the various levels
12 of tolerances that are in the test
13 requirements for testing a sample of five of a
14 basic model within Part 431, as well as the
15 comparison to AEDM. So, while I would suggest
16 for electric motors and small electric motors
17 that you reconsider what is in Part 431 and
18 the use of the word "tolerance" against total
19 losses, but also that tightening that up would
20 be extremely difficult. And you need to go
21 back into the history that really supports all
22 of that information.

1 Thank you.

2 MR. FLY: In looking for some of
3 this information on your website --

4 MS. ARMSTRONG: State your name.
5 State your name.

6 MR. FLY: Oh, Mark Fly with AAON.

7 In looking at some of the
8 information on your website, it looked DOE had
9 been running a round-robin test. Is any of
10 this information that you have got here based
11 on any -- I haven't seen any results of that
12 -- of any round-robin lab-to-lab test data on
13 HVAC equipment?

14 MS. ARMSTRONG: So, the round
15 robin we have run so far is mostly for
16 residential household appliances, not
17 necessarily for this type of equipment yet.
18 And most of these were informed either by --
19 we have existing sampling procedures which
20 have test tolerances for actual testing, as
21 well as comments we received in response to
22 the RFI from manufacturers.

1 Karen?

2 MS. MEYERS: Yes, this is Karen
3 Meyers with Rheem Manufacturing.

4 My question was similar. I was
5 just curious, you know, what was the analysis
6 that DOE used to come up with this 5 percent,
7 10 percent, and 3 percent? How do we know? I
8 mean, where do those numbers come from? Are
9 they just --

10 MS. ARMSTRONG: It is the same
11 thing I just said.

12 MS. MEYERS: Yes. So, I mean, I
13 think it would be, if we are going to set a
14 rule on how we should do this, there should be
15 some type of statistical analysis to find out,
16 are these, in fact, the right percentages? I
17 mean, I don't know; maybe they are. But it
18 seems like there should be some type of
19 analysis, then, to substantiate these
20 percentages.

21 MS. ARMSTRONG: Okay. We have a
22 couple of questions from the phone.

1 Steve Ruffing, you should be
2 unmuted.

3 MR. RUFFING: Okay. I wanted to
4 expand on a previous comment that Roger
5 Daugherty made about whether the electric
6 motor and small electric motor tolerance is
7 based on efficiency or total losses.

8 What is presently codified in 10
9 CFR Part 431 is a tolerance based on total
10 losses. So, for instance, if you had a
11 nominal full-load efficiency of 91.7 percent,
12 if you took 10 percent greater losses than
13 that, you would actually end up with an
14 efficiency of 90.9 percent. And if you took
15 10 percent lower losses than that, you would
16 end up with an efficiency of 92.4 percent.

17 So, the tolerance on the
18 efficiency is presently codified. It is,
19 actually, in this particular case plus or
20 minus 1 percent. But what is being proposed
21 here in the NOPR is to change the tolerance to
22 plus or minus 10 percent on the efficiency,

1 not the total losses.

2 So, going back to this example of
3 a nominal efficiency of 91.7 percent, that
4 would widen the tolerance range to 90.9
5 percent to 101 percent. And that is a
6 substantial change, as Roger pointed out.

7 MS. ARMSTRONG: Okay. Thank you.

8 So, we have another question from
9 the phone from Kunal Kapoor. Oh, maybe it was
10 just a question.

11 Five percent, is that plus or
12 minus 5? And the answer is yes, the way it is
13 written right now.

14 Okay. Any other comments on
15 tolerances specifically?

16 (No response.)

17 Okay. Now we are going to talk
18 about selecting units for substantiation.
19 Test a minimum of five basic models, including
20 at least one from each product class. So, if
21 you have less than five product classes, you
22 still have to test five. If you have more

1 than five product classes for which you want
2 to apply an AEDM, you must test more than
3 five.

4 Distribution transformers is
5 different, and it is retained at the same
6 requirement it is today. Test the smallest
7 and largest capacity basic models from the
8 product class of the highest field volume.
9 That largest capacity is within the 25 percent
10 of the largest capacity. Test the model with
11 the highest sales volume the previous year or
12 the basic model which is expected to have the
13 highest volume sales.

14 And then, obviously, the test data
15 -- this is something new -- the test data
16 underlying the substantiation must be current.

17 So, it must meet the existing federal energy
18 conservation standards and be tested with the
19 applicable test procedure. So, if there is a
20 test procedure change or if there is a
21 standard change and those models weren't
22 tested in accordance with whatever the new

1 regulations are, they would need to be
2 retested.

3 So, at this point, I will open the
4 floor to questions and comments on those.

5 Sure, Frank.

6 MR. STANONIK: I am Frank Stanonik
7 with AHRI.

8 I certainly appreciate the idea to
9 try to keep this simple. But if someone --
10 and you can't rule it out -- but if someone
11 chose to have an AEDM that only applied to
12 five basic models, this would say, yes, that
13 company has to test each one of those five
14 basic models. And yet, if I had an AEDM that
15 applied to 50 basic models, I still only have
16 to test five.

17 Without having a specific proposal
18 at this time, it seems like it might make some
19 sense to say that if you -- again, this might
20 be a rare circumstance -- but if you had an
21 AEDM that was only applicable to five or six
22 models, then you should maybe only test three

1 of them, or something like that. In other
2 words, maybe a little subcategory that, if
3 there is that rare case where an AEDM is
4 actually not very expansive, let's say, then
5 you don't necessarily have to test all the
6 models, because at that point you are kind of
7 undermining why have an AEDM. If I have to
8 test all my models, I will just test all my
9 models. It is a fine point, but I think it is
10 something that makes some sense.

11 MS. ARMSTRONG: Okay. Thank you.

12 Sure.

13 MR. LORD: In the selection of the
14 models, you said meet the requirements. Is it
15 okay for units to exceed the requirements?

16 MS. ARMSTRONG: Of course.

17 MR. LORD: So, like we use Energy
18 Star so we can cover --

19 MS. ARMSTRONG: Of course.

20 MR. LORD: Okay. Good.

21 MR. DAUGHERTY: Roger Daugherty,
22 Baldor Electric.

1 A couple of items. With respect
2 to testing 25 units for distribution
3 transformers, I think you will find that that
4 is also true for electric motors and small
5 electric motors. The actual rules for
6 distribution transformers were actually
7 created from the establishment of those for
8 electric motors.

9 The last item about, if standards,
10 test standards, or so, were to change -- for
11 example, the IEEE 112 Working Group is
12 presently meeting to modify that test
13 standard. In the present final rule, the
14 recent final rule on test standards, DOE
15 adopted the 2004 version; whereas, presently,
16 we were testing under the much earlier version
17 that existed.

18 Most of those changes are
19 numerical calculations. There are no changes
20 in the actual test procedure itself. They are
21 just trying to fine-tune how you determine
22 some of the individual losses in the

1 calculation.

2 So, at what extent does a change
3 in a test standard require going back and
4 repeating all the testing to substantiate an
5 AEDM?

6 MS. ARMSTRONG: So, I mean, I
7 think your point is a good one. At this
8 point, it does not clarify which way it goes.

9 I mean, for all intents and purposes, if the
10 exact test is the same, the calculations are
11 different such that the numbers would be
12 different, it could be one plausible situation
13 or outcome could be one where you don't
14 necessarily retest because the test data is
15 the same. You rerun all the calculations,
16 though, feed that into your AEDM to make sure
17 the substantiation requirements are still met,
18 and then go from there. But that is not
19 something right now that is specific in the
20 rule.

21 MR. DAUGHERTY: Would that be made
22 specific in a final rule?

1 MS. ARMSTRONG: It could be, yes.

2 It could be.

3 MR. DAUGHERTY: Thank you.

4 MS. ARMSTRONG: Sure. Thank you.

5 Sure. Jill?

6 MS. HOOTMAN: Jill Hootman, Trane.

7 Okay. So, we said before the
8 product classes for commercial HVAC, air-
9 cooled, were those ASHRAE classes. If I am
10 reading it correctly, I have to do the
11 smallest and largest basic models from that
12 product class. That is 15 tests, if I add
13 that up.

14 MS. ARMSTRONG: Fifteen. So, I
15 don't have the numbers, but you have to do it
16 from just the product class with the highest
17 sales volume. So, highest and lowest is just
18 one --

19 MS. HOOTMAN: So, it is the five
20 plus the two?

21 MS. ARMSTRONG: Plus one, right?
22 So, one of those will be the five, and the

1 other one. So, that is six. But, obviously,
2 there is more product classes than five.

3 MS. HOOTMAN: Right.

4 MS. ARMSTRONG: So, there will be
5 more, but I can't imagine it is more than 20
6 or 30, off the top of my head. I could count
7 them, though, at break, if you wanted to go
8 through that.

9 MS. HOOTMAN: Right. Okay. Let's
10 do that.

11 MS. ARMSTRONG: Okay. Sure.
12 Please.

13 MR. KLEISS: Okay. This goes back
14 to, I guess, the product classes and how those
15 apply to these. If I am understanding
16 correctly, validating an AEDM, that we have to
17 validate an AEDM for each different product
18 class that we are involved in. Is that
19 correct?

20 MS. ARMSTRONG: That is correct.

21 MR. KLEISS: Okay. So --

22 MS. ARMSTRONG: But you don't have

1 to -- okay, keep going, first of all.

2 MR. KLEISS: Okay. In the case
3 of, say, commercial boilers, commercial
4 boilers are classified by The Federal Register
5 in large and small.

6 MS. ARMSTRONG: Correct.

7 MR. KLEISS: Now we could have one
8 product family, I will say, that uses the same
9 kind of construction that bridges the gap
10 between small and commercial. And the small
11 boilers, they would be measuring thermal
12 efficiency and large boilers they would
13 measure combustion efficiency. So, a
14 different test methodology there.

15 Now setting up those boilers could
16 take a couple of days to a couple of weeks in
17 order for us to be able to do those tests. It
18 is to our advantage, when we are setting up
19 those small commercial boilers, that we would
20 test both commercial and thermal efficiency.
21 And when we set up a large boiler, we would
22 test both thermal and commercial efficiency.

1 Now, since the efficiencies are
2 not covered by the ruling, can we use those
3 efficiencies measured outside of the product
4 class to still determine our AEDM for the
5 product? By definition, we can't use data
6 that falls outside of the product class --

7 MS. ARMSTRONG: Oh, no, no, no.

8 MR. KLEISS: -- based on what you
9 said earlier.

10 MS. ARMSTRONG: Okay.

11 MR. KLEISS: You are getting where
12 I am going with the question?

13 MS. ARMSTRONG: I understand your
14 question --

15 MR. KLEISS: Okay.

16 MS. ARMSTRONG: -- if that is what
17 you are asking. I think I do at least. Let's
18 try the answer and see if it works.

19 MR. KLEISS: Okay.

20 MS. ARMSTRONG: So, this is Ashley
21 from DOE.

22 At your discretion, you can use

1 any additional information you may want to
2 substantiate your AEDM. If that is different
3 metrics, if that is other units, like if you
4 wanted to test 50 instead of 20, you could
5 always do more. However, you can't switch out
6 a non-regulating metric for a regulating
7 metric. But if you did combustion, in your
8 example, if you did combustion and thermal,
9 and for the one -- I don't know off the top of
10 my head, but if it is small, it is combustion,
11 then you would use combustion. You could also
12 use thermal if you wanted to tweak something
13 there.

14 And then, for the larger ones, if
15 you wanted to use thermal but you also used
16 the combustion data point to shrink your
17 simulation for whatever, you could do that,
18 but you couldn't swap it as one of the ones.

19 Does that make sense?

20 MR. KLEISS: Right, right. We
21 wouldn't use a different test methodology --

22 MS. ARMSTRONG: Correct.

1 MR. KLEISS: -- in order to
2 generate a data point, but we would want to
3 use a boiler that is outside of the range of
4 coverage in order to generate a data point to
5 validate that AEDM?

6 MS. ARMSTRONG: I think the answer
7 is yes. Perhaps we can look at what exactly
8 you are talking about. You know, there is no
9 problem with doing more, let's put it that
10 way.

11 MR. KLEISS: Okay.

12 MS. ARMSTRONG: It is just this is
13 the minimum set of requirements. As Frank
14 alluded to, we tried to keep them simple,
15 maybe too simple; I don't know. But we tried
16 to keep them simple.

17 MR. KLEISS: Yes, and this is not
18 a matter of trying, just saying we want to do
19 extra testing --

20 MS. ARMSTRONG: But --

21 MR. KLEISS: -- but, rather --

22 MS. ARMSTRONG: Understanding.

1 MR. KLEISS: -- we are bridging
2 some gaps, and we are wanting to make sure
3 that we can do the appropriate testing, but
4 without setting up more units than what we
5 have to.

6 MS. ARMSTRONG: Sure. Sure.

7 Okay. Mark?

8 MR. FLY: Mark Fly with AAON.

9 On several of the HVAC products,
10 DOE has listed, basically grouped everything
11 up to 63 tons on products that traditionally
12 have not been under any kind of listing
13 program at near that high a rate. So, like
14 for water-source heat pumps, air-source heat
15 pumps, and some of these products, there are
16 not labs in existence that can test a 63-ton
17 air-source heat pump, independent or most
18 manufacturers. There may be some
19 manufacturers, but they don't really want to
20 test my equipment, and I don't really want
21 them to.

22 I think, on the upside, that is a

1 problem, that we do have a discontinuity
2 between the traditional AHRI rating standards
3 and the limits and what DOE has listed.

4 MS. ARMSTRONG: Okay. I am not
5 100 percent sure I understand you. So,
6 perhaps you and I can look at this table at
7 the break, so I do understand it, because I
8 think it is important.

9 Sure. Jill?

10 MS. HOOTMAN: What was the
11 methodology in picking the smallest and
12 largest of the basic model from a product
13 class? I guess I am asking that methodology
14 because usually in a lot of cases when you are
15 substantiating AEDMs and outliers that might
16 be causing conditions different, it is not
17 always the smallest and largest. It could very
18 well be a design issue within a product class
19 that you are then looking at. For instance,
20 it could be something like the cabinet size
21 and how much is being fit in that particular
22 cabinet size. And that might not fall in that

1 smallest and largest. So, if you are trying
2 to find what is defining the outliers of an
3 AEDM, smallest and largest isn't always it.

4 MS. ARMSTRONG: Right. This is
5 Ashley.

6 I think we were trying to, for the
7 most part, bound the range. So, at this
8 point, if we open up the AEDM applicability
9 across the board -- you can use one AEDM for
10 everything, whether it is a 6-ton or a 69-ton
11 unit, I mean whatever it is. The idea here
12 would be getting a test point somewhere toward
13 the lower end of the range and somewhere
14 toward the higher end of the range to make
15 sure. And that is just one test.

16 If there is a different way to do
17 it or maybe a better way to do it, we are open
18 to it, but that is the idea. And this doesn't
19 show it on the -- it is 25 percent of the
20 largest basic model or the largest capacity,
21 because we do realize that the largest
22 capacity could be quite challenging, may not

1 even be built on a regular basis.

2 So, like I said, though, we are
3 open here. We were just trying to get some
4 sense of bounding, a range there, because of
5 opening up the scope to the wide range of
6 applicability there.

7 MR. NESHAN: Massoud Neshan,
8 Southern Store Fixtures.

9 The use of AEDM, at least for CRE,
10 was discussed about last year when we started
11 talking about how to reduce the burden of
12 testing on the basic model definition that
13 exists. My question is now for us, as a small
14 manufacturer, how this AEDM is going to help
15 us when I am designing one case, manufacturing
16 one case, selling one case. How is all this
17 process going to reduce that burden of
18 testing, question No. 1?

19 Specifically, you haven't even
20 defined the basic model yet again. I keep on
21 coming back to this because the foundation of
22 this thing is not settled yet and you are

1 talking about what we should be doing on the
2 10th floor.

3 So, what is AEDM? How is it going
4 to reduce the burden of testing on our kind of
5 equipment?

6 MS. ARMSTRONG: Okay. So, I don't
7 know off the top of my head all the product
8 lines and offerings. So, I am going to give
9 an example that is just theoretical here in
10 nature.

11 But say, as a commercial
12 refrigeration equipment manufacturer you
13 manufacture 100 different models, just 100
14 different models. Those span 20 different
15 equipment classes as defined by the standards.

16 So, they are either like semi-vertical,
17 vertical; they are opened or closed. They are
18 self-contained or remote. There's 20 there,
19 right?

20 So, of those 100, you need to test
21 20. Those 20 need to meet these
22 characteristics. Actually, it would be 21.

1 The 21 need to meet these characteristics, and
2 the 79 others you could use the AEDM and not
3 test those. That is my example of how it
4 would work for your company.

5 I don't know if that example is a
6 good representation of your company, but that
7 is how it would work in theory. Okay?

8 MR. FLY: Mark Fly with AAON.

9 So, I am just trying to get my
10 head around this. Do you have to test the
11 largest and smallest in each class? Or you
12 just have to test one in each class and the
13 smallest and largest across a product line?

14 MS. ARMSTRONG: You have to test
15 one in each class and the highest and lowest
16 in the class with the highest sales volume.

17 MR. FLY: Okay.

18 MS. ARMSTRONG: I realize that
19 there is some confusion generally because
20 there are multiple classes that can span the
21 range, right? So, I get that part. That will
22 be something we need to clarify.

1 MR. ROBERTS: This is Carl from
2 Zero Zone.

3 Just a quick comment on the last
4 item on slide 20 here, "The test data used for
5 substantiation must meet the applicable DOE
6 test procedure." We are constantly rewriting
7 the procedure. It is a moving target because
8 the equipment itself is a moving target.

9 It might make more sense to say
10 that the test data used for substantiation
11 must meet the applicable DOE testing procedure
12 or properly adjust to the applicable DOE
13 testing procedure. In other words, to adjust
14 the test data within the AEDM to represent the
15 current test procedure.

16 MS. ARMSTRONG: This is the first
17 time I have ever heard that our regulatory
18 program is a fast-moving target.

19 (Laughter.)

20 But I thank you for that, that
21 compliment.

22 I do want to point out that, when

1 we talk about it here, I realize that a lot of
2 your test procedures, due to either the great
3 work done by AHRI committees or ASHRAE
4 committees or IEC committees, whatever it may
5 be, it is constantly under revision or they
6 are thinking about changing things.

7 What we are talking about here is
8 the actual version in the DOE regs, which in
9 some cases is a moving target, but is a much
10 slower moving target than the ASHRAE
11 standards. You know, it is when DOE actually
12 issues a new final rule, we adopt it with a
13 compliance date of a new test procedure. That
14 is when whatever is in our regulations, if it
15 is different, if it causes changes in ratings,
16 if it is a different test procedure, those
17 base models would need to be retested.

18 So, that is what I meant there.
19 That doesn't mean we shouldn't consider other
20 things, and we are open to them. As you can
21 see, we are open to a lot of changes here, but
22 that is what the intention was there.

1 Hang on one second. Let me get to
2 a couple of people on the phone because they
3 have been patiently waiting for a while.

4 So, Tom Petrosino, I apologize if
5 I am saying anyone's name wrong.

6 You should be on.

7 MR. PETROSINO: Yes.

8 MS. ARMSTRONG: Hi.

9 MR. PETROSINO: Hello.

10 My question relates to the
11 highest-volume requirement for AEDM basic
12 model testing. If we did a test in 2009 using
13 the highest-volume basic model that year or
14 expected for that year, and this year it is no
15 longer the highest-volume basic model, but
16 still a valid basic model, do we have to
17 retest to replace that unit with today's
18 highest volume?

19 MS. ARMSTRONG: So, I would say
20 that we didn't specifically articulate one way
21 or the other. So, do you have a suggestion,
22 or does anyone else in the room have a

1 suggestion, as to whether it should be at the
2 time of substantiation, whatever the highest
3 sales volume is, or if that highest sales
4 volume changes over time, whether that should
5 also be lumped into the substantiation
6 package?

7 MR. PETROSINO: My suggestion is
8 that you not get into that kind of requirement
9 because it is a constantly-changing picture.
10 Would you have to monitor this daily, monthly,
11 yearly? I think as long as you did the AEDM
12 at a time and followed and it was applicable,
13 and you didn't subsequently discontinue that
14 model, and you have no other reason to repeat
15 an AEDM, then I think it should stay.

16 MS. BARHYDT: This is Laura
17 Barhydt with DOE.

18 In terms of the highest sales
19 volume, since it is tied to the product class
20 and not to a particular basic model, would
21 that reduce the need to test something new?
22 Does the highest sales volume product class

1 actually change that frequently?

2 MR. PETROSINO: The highest -- I
3 am not sure I understand that question. Are
4 you saying that, for a given product class,
5 you want the highest-volume product class unit
6 tested? I'm unclear.

7 MS. BARHYDT: Okay. So, this is
8 different from the current CAC ARM provisions.

9 What this is proposing is that, if you have
10 models in multiple product classes, you look
11 at where your highest sales volume is. Is it
12 in the first product class, the second product
13 class, the third product class? Whichever one
14 has the highest sales volume, you select the
15 smallest and largest capacity basic models
16 from that product class.

17 And so, if your highest sales
18 volume remains in that product class, then
19 that is not something that is changing from
20 year to year, would be my guess. But
21 certainly that is something we would like more
22 information on.

1 MR. PETROSINO: Actually, this is
2 related to distribution transformers. So,
3 that particular requirement would not apply.

4 MS. BARHYDT: Okay.

5 MS. ARMSTRONG: That's correct.

6 MR. WILKINS: Question. Robert
7 Wilkins, Danfoss.

8 Could you apply some tolerance
9 there on these kinds of things, maybe a little
10 clause that says highest volume within the
11 past three years or "X" years? So that you
12 are not constantly having to shift from one,
13 and, oh, my God, that shifted back to the
14 other, and now I've got to redo it again.

15 MS. ARMSTRONG: Sure. Or, I mean,
16 one of the reasons we migrated, I think, to
17 the highest sales volume product class,
18 because we didn't think it was as much of a
19 moving target as highest sales volume model.
20 But that being said, sure, there is always
21 ways for improvement.

22 MR. KLEISS: There is a potential

1 pit there in terms of the boilers. I would
2 say that the highest sales volume boilers --
3 well, no, I'm sorry. I'm thinking of
4 residential. This only applies to commercial.

5 (Laughter.)

6 MS. ARMSTRONG: We're okay? Okay.
7 Thank you.

8 Frank? And then, we will go
9 across. Or either one.

10 MR. LORD: I think you are right.

11 Take, for example, we do an AEDM on packaged
12 rooftops. It goes 65 to 760,000, less than
13 65, or say it goes 65 to 760,000; 65 to 135 is
14 always going to be the highest sales line. It
15 is never going to change, not in that
16 category, yes.

17 MS. ARMSTRONG: Okay. Thank you.

18 Frank?

19 MR. STANONIK: Yes, I would
20 suggest that we should look at this as kind of
21 analogous to certification versus
22 verification, certification of a model versus

1 verification of your production.

2 The AEDM has to be substantiated
3 as a valid tool. Okay? Whenever you do that,
4 it only makes sense that you want to have that
5 justification based on, let's just call it
6 your most popular models. You want to have
7 the closest correlation to the things you sell
8 the most of. Okay?

9 But once you have got that
10 substantiation, once you have determined,
11 okay, I have a good tool and it meets the
12 requirements of, let's say, acceptable
13 predictability, or whatever, it is a valid
14 tool until something changes relative to
15 either test procedures or the minimums, or
16 whatever, or you totally redo your product
17 line or something.

18 But I don't think there is
19 inherently a requirement here for, let's say,
20 continued substantiation. Verification of
21 your AEDM will occur as you go forward and
22 models are tested under whatever program, you

1 know, randomly-selected models, or whatever.
2 And that will either show that your AEDM was
3 proper or not.

4 But substantiation, I think we
5 should consider as a one-time thing until
6 circumstances change. As a one-time thing, it
7 should be based on, again, those things that
8 you sell most of.

9 MR. FLY: Mark Fly with AAON.

10 I think one of the concerns here,
11 especially in the substantiation, is not that
12 we think that we are going to have a big
13 tolerance on our AEDM, because if you put the
14 same numbers in, you get the same numbers out
15 every time.

16 But the test data, if we have a
17 large tolerance like we talked about
18 previously in the test data that falls
19 outside, say, that 5 percent range, that is
20 going to make it very hard to validate that
21 AEDM or get that AEDM to tune within the
22 average of these five or ten or twenty tests

1 that we have got.

2 So, the tolerance on the AEDM is
3 really driven by the tolerance on the test
4 more than anything else, assuming that we can
5 all model our equipment and get it close to
6 the reality, once we have the test data to do
7 it with.

8 MS. ARMSTRONG: Right, and the
9 tolerance on the test I think is already
10 established in our regs, right? I mean, that
11 is the 95 percent confidence limit thing, and
12 that is established.

13 MR. FLY: But what's behind that,
14 and is that really the right number?

15 MS. ARMSTRONG: I understand. I
16 mean, I get that part, but that is
17 established.

18 So, let me jump to one on the
19 phone. Can you unmute Ron?

20 So, Ron, you should be unmuted
21 now.

22 MR. SHEBIK: Hi, Ashley. I am

1 sorry, I wanted to go back to your scenario.
2 You ran with 100 different models and how that
3 compares to what is outlined on page 20.

4 I may have misunderstood or may
5 have missed something, but you said there was
6 100 different models. And of those 100
7 different models, they are represented by 20
8 different equipment classifications.

9 Based on that, you came up with
10 you test 21 cases. But when you look at page
11 20, it says you are testing a minimum of five
12 basic models, including at least one from each
13 product class. So, I just want to make sure I
14 understand. How are you equating the 20
15 different equipment classifications to your
16 basic models? Are you saying there's four
17 product classes?

18 MS. ARMSTRONG: No.

19 MR. SHEBIK: Or are you saying the
20 classification is equal to a basic model?

21 MS. ARMSTRONG: Yes. So, what I
22 said was the 100 models span 20 equipment

1 classes. The first requirement on there means
2 you need to test at least one from each
3 equipment class, which would get you 20 that
4 you would have to test, because there's 20
5 different equipment classes for those 100
6 models.

7 And then, No. 3, test the smallest
8 and the 25 percent of the largest capacity,
9 which would get you two units from one
10 equipment class. So, that would add one more.

11 So, you would test 21 of those 100, and then
12 you could rate with 79, with the rest.

13 MR. SHEBIK: Okay. So, the
14 equipment classification is equivalent to a
15 product classification?

16 MS. ARMSTRONG: Product class,
17 correct. Equipment class and product class
18 are synonymous. One is commercial; one is
19 residential. Sorry. Yes.

20 MR. SHEBIK: Okay. That is my
21 confusion. Okay. Thank you.

22 MS. ARMSTRONG: Thank you.

1 MR. DAUGHERTY: Roger Daugherty,
2 Baldor Electric.

3 I don't know if it might help if
4 you sort of consider what has been going on
5 with electric motors. Since 1999, we have
6 been using this concept of the AEDM. It has
7 the rule of trying to select at least one of
8 the basic models from the highest volume of
9 motor.

10 When you realize that once you
11 have substantiated the AEDM, the manufacturer
12 is using that AEDM not only to design motors
13 that are in compliance with the efficiency
14 standard level, but also with those motors
15 that have to comply by being higher than that
16 level.

17 So, when the next final rule came
18 out more recently that raised those levels for
19 electric motors, that same AEDM is applicable.

20 There has been no change in the technology.
21 There is no change in how you calculate the
22 losses. The only change is in how much

1 material you put in to meet the new efficiency
2 standards.

3 But that motor that you may be
4 designing is the same one that you designed in
5 1999 for that same efficiency level. So, the
6 fact that the standards changed really had no
7 effect on the AEDM or its model. And so,
8 consideration should really be given that,
9 unless there is a real change in technology or
10 the test standard, and not necessarily the
11 efficiency standards, that you should not have
12 to resubstantiate the AEDM just for changes in
13 the standards.

14 But, again, I encourage you. This
15 has been in place. It has been working very
16 well since 1999. Look at the way that has
17 been working. That might help you towards
18 some of these other products.

19 MS. BARHYDT: This is Laura
20 Barhydt at DOE.

21 One point I want to clarify is
22 that let's say you had tested five models that

1 were all below the change in standards. Then,
2 when the standard changed, you would end up
3 with an AEDM that had no test data that
4 actually showed a motor that met the new
5 standard. If you had three motors that were
6 below the new standard and two that were above
7 the new standard, but that had been tested
8 back before the new standards came into
9 effect, you would effectively have two tests
10 that could continue to be used to substantiate
11 the AEDM. You would just have to test three
12 new motors to replace those three that didn't
13 meet the standard.

14 So, this proposal -- and this
15 would apply to all the different product types
16 -- the idea is that, if you have some of your
17 tests underlying your AEDM that were well
18 above the standard, and the standard changes,
19 those wouldn't necessarily be kicked out. You
20 could continue to use those. It is just that
21 anything that didn't meet the standard could
22 not continue to be used to substantiate that

1 AEDM.

2 Does that make sense?

3 MR. DAUGHERTY: But Roger
4 Daugherty, if I could follow up on that,
5 though. But when you substantiate the AEDM,
6 you substantiated it, and, technically,
7 usually, there are some motors that are tested
8 that use a higher efficiency because at that
9 time those might have been the ones that had
10 the highest volume of sale.

11 But the AEDM is a set of
12 calculations and simulations that determine
13 how losses are calculated. As I said, that
14 technology doesn't change just because you
15 change the efficiency level. It only changes
16 the components that you put in and the size of
17 those components.

18 So, if that AEDM was substantiated
19 by testing to those motors that had efficiency
20 standards of 1992 that were in EPart, and it
21 worked for the motors that have premium
22 efficiency levels, which are those that were

1 put in EISA in 2007, and it has been working
2 since 1999, then why does it not continue to
3 work just because you change the standards?
4 There has been no change in technology, no
5 change in the test standard, no change in
6 anything.

7 So, if the manufacturer has been
8 in total compliance with those premium
9 efficiency motors using that AEDM, why would
10 there be an issue now that, just because you
11 change the standards and eliminated the
12 production or distribution of motors of the
13 lower efficiency levels below the premium
14 levels, that that raises any issue at all with
15 respect to the AEDM?

16 MS. ARMSTRONG: Okay. Harvey.

17 MR. SACHS: Harvey Sachs, ACEEE.

18 I have found this dialog just now
19 between Laura and Roger to be very
20 instructive. I would like to try to translate
21 into terms that some of the rest of us may
22 think about.

1 In particular, what I am hearing
2 from Roger, as I am looking at polyphase
3 induction motors as one large class, and I am
4 saying that my simulation model for those will
5 extrapolate well, that is, behave well, beyond
6 the calibration dataset, which may not have
7 included motors of as high efficiency as we
8 are now selling.

9 And I am hearing DOE implicitly
10 not ready to accept, and perhaps not
11 understanding, as I don't, the limits of what
12 that smooth extrapolation might look like.
13 For example, again, in the motors class, it is
14 not clear to me that this simulation for
15 polyphase induction motors would work well on
16 some other class of motors, that it would be
17 applicable, just as I earlier asked whether an
18 AEDM applicable to an electric resistance tank
19 water heater would necessarily be applicable
20 to a condensing-gas tankless.

21 So, I think that is where our
22 misunderstanding is at this point. I hope

1 that is a helpful observation.

2 MR. VerSHAW: Jim VerShaw,
3 Ingersoll Rand.

4 You know, when you think about --
5 and I will bring it back to air conditioning,
6 what I know best. So, you have got the
7 compressor and a couple of coils and some
8 airflow, and whether it makes 13 SEER or 22
9 SEER, it is the same basic engine. So, if the
10 standard currently is a 13, if it goes to 14,
11 and because we had to do a lot of 13s because
12 they are the highest sales volume, we were
13 using that rating to do, that AEDM or ARM to
14 do all those other ones. And it fundamentally
15 doesn't change the physics. Now, if I put in
16 a microchannel heat exchanger or if I put in
17 some other new technology, that is another
18 whole thing.

19 But the point, I think, at least
20 from our aspect, if you are not changing
21 technology, I am not sure why a change in
22 standards or a model that drops out of

1 production is going to disqualify the AEDM.

2 MS. ARMSTRONG: But, Harvey, can I
3 actually chime in on that one real quick?

4 That's fine. You can go next.

5 I guess from the Department's
6 perspective, we based it off standards,
7 understanding that technology changes may be
8 needed to meet those standards. We don't
9 actually know when a technology change will
10 occur. We know when the efficiency level is
11 going to change.

12 We don't necessarily know when the
13 technology is going to change. And that
14 technology change may be different timing-wise
15 for different manufacturers. So, we don't
16 know, like in your example, when a
17 manufacturer is going to employ, say,
18 microchannels to meet a given standard level
19 or make that migration or a different type of
20 motors.

21 And so, what we were trying to do
22 here is just make sure that the AEDM is

1 current, that we don't have a situation for
2 which the AEDM was substantiated in 1950 and
3 hasn't been touched since.

4 And maybe that is okay that it
5 hasn't been touched since or maybe it isn't.
6 But that is for comment.

7 Harvey, do you want to go back
8 since I kind of cut you off?

9 MR. VerSHAW: Well, first, 1950
10 wasn't that long ago.

11 (Laughter.)

12 It depends on your perspective.

13 MR. WILKINS: Robert Wilkins,
14 Danfoss.

15 I think I understand your concern
16 about extrapolation of performance outside of
17 a certain range. I think the people have
18 commented that maybe there is really not much
19 difference between a 13 SEER unit and a 14
20 SEER unit. And so, why prohibit
21 extrapolation?

22 But if you are going from 13 SEER

1 to 18 SEER, then you are deploying other
2 technologies. You may have microchannel heat
3 exchangers. You may have variable-speed
4 compressors.

5 But maybe the answer is not to
6 prohibit extrapolation, but put some bounds on
7 it. So that maybe a bound of "X" percent
8 improvement in efficiency would force the
9 elimination of the extrapolation or a change
10 in basic technology in the unit. Just add a
11 little flexibility to it maybe is the point.

12 MS. ARMSTRONG: Sure. Go ahead.

13 MR. LORD: Yes, Dick Lord with
14 Carrier.

15 What may be confusing a lot of
16 people is that there are a lot of ways to
17 approach an AEDM. Some of us think it is a
18 full physics-based model with all the heat
19 transfer coefficients. Somebody might take a
20 simplistic approach and just say, "I've got a
21 bunch of ratings. I am going to put factors
22 up and down as I add features." And then, I

1 could see you would want to substantiate it
2 because your base has changed.

3 So, a lot of depends on how you do
4 your AEDM. You guys are not going to know
5 that. So, you kind of in a way have to do
6 what you are doing really.

7 MS. ARMSTRONG: Okay. Thank you.

8 Go ahead. And then, I am going to
9 go to the phone.

10 MR. DAUGHERTY: Roger Daugherty,
11 Baldor Electric.

12 I would just like to answer that
13 the idea of extrapolation doesn't apply to the
14 electric motors and small electric motors, at
15 least as far as I know the AEDMs are. If you
16 want more efficiency and you put in more
17 material, if you put in six inches of core
18 instead of five, you determine the losses in
19 that six inches of core instead of the five.

20 You account for the change in
21 copper wire that you had to put in. You
22 account for the changes in the aluminum that

1 you had to put in the rotor. You are
2 calculating five different losses in that
3 machine and adding them up, and they are all
4 very well-defined calculations and physics
5 involved. There is no extrapolation that goes
6 on.

7 Maybe part of the problem here is
8 that we have not gotten to it yet, but the
9 other part in Part 431 for electric motors and
10 small electric motors is the revalidation that
11 is done. And maybe that is where, by
12 continuing to validate the AEDM over time,
13 rather than go back and say, because the
14 standard changed, now you suddenly have to go
15 back and retest a certain number of models,
16 and right now it is annual for electric
17 motors. But this continual revalidation of
18 the AEDM would take care of the issue of the
19 AEDM being up-to-date when there are changes
20 in standards, efficiency standards, and
21 changes in test standards.

22 Thank you.

1 MS. ARMSTRONG: Okay. So, before
2 I follow up on that idea, I am going to go to
3 the phone for two things.

4 One, Kunal Kapoor.

5 Can you please unmute that line?

6 Okay. You should be good.

7 MR. KAPOOR: Yes. Hi. Ron Shebik
8 already asked the same question I wanted to
9 ask. So, no more questions at this time.

10 MS. ARMSTRONG: Oh, okay. Thank
11 you.

12 Aaron Meyers?

13 MR. MEYERS: Thanks for taking my
14 question.

15 My question is really related to
16 timing as it relates to the highest-volume
17 production basic model, or whatever, being
18 tested, coupled with a change in the
19 efficiency standard.

20 So, just to give you an example on
21 this from the distribution transformer world,
22 under normal operating conditions, the highest

1 basic model for our company -- it may or may
2 not be this, but it is a very popular one --
3 would be 25 kVA, single-phase, with a primary
4 voltage of 7200 volts, secondary of 122/40, 95
5 kV BIL. And the efficiency level would be the
6 absolute minimum required by the DOE, so
7 98.91.

8 Now, if the efficiency level
9 changes in 2016 -- say it goes up to 99 -- our
10 most popular basic model from the last 12
11 months will be a non-compliant basic model.
12 So, my question is, do we substantiate with
13 the highest-volume basic model from the
14 previous year, which would be non-compliant?
15 I don't think that is an option, from what I
16 am hearing.

17 Or the second option would be, do
18 we take that basic configuration, so 25 kVA,
19 7200 volts, 122/40, 95 kVA or kV BIL, and say,
20 okay, do I test that configuration with the
21 new efficiency level? Because when we migrate
22 to 2016, that will most likely be the highest

1 volume. Or do I look at what the highest
2 volume was of a product that met the new
3 standard, but in late 2015, so that I can
4 continue using an AEDM once the new standard
5 goes into effect?

6 And that could be just based on
7 random luck, some customer who is buying a
8 higher-efficiency unit than what is required
9 by the standard 2015. And then, it would drop
10 off the face of the earth in terms of
11 production volume once the new standard goes
12 into effect. So, there is really a lot of
13 uncertainty there.

14 MS. ARMSTRONG: Okay. Thanks,
15 Aaron.

16 MR. MEYERS: I know that was a
17 mouthful. I don't know how you want to answer
18 it, if you have clarifying questions.

19 MS. ARMSTRONG: I am going to try
20 to answer it. We are going to see.

21 So, that is one of the reasons why
22 we put "or the basic model which is expected

1 to have the highest sales volume for newly-
2 introduced basic models." And maybe it needs
3 to be expanded not only for newly, but
4 continuation of existing. That is something
5 we could do. But it would be your estimation
6 of what you think the highest sales volume
7 would be over the next year from when those
8 standards come into effect, so that you could
9 continue with your AEDM. It would not be the
10 non-compliant model.

11 MR. DAUGHERTY: Roger Daugherty,
12 Baldor Electric.

13 Maybe what is being overlooked is
14 you have a very important paragraph in a
15 conclusion of this section that you didn't put
16 on your slide. And that is down on page
17 32056, under 429.75, and follow all the stuff,
18 but it is the bottom of the left column.

19 "In any instance where it is not
20 possible for a manufacturer to select basic
21 models for testing in accordance with all of
22 these criteria, the criteria shall be given

1 priority in the order in which they were
2 listed. Within the limits imposed by the
3 criteria, basic models shall be selected
4 randomly."

5 So, if you read that, that may
6 overcome some of the obstacles that are being
7 imposed here, trying to follow every one of
8 these items exactly.

9 MR. KLEISS: Jeff Kleiss with A.O.
10 Smith and Lochinvar.

11 When you were going through the
12 example, you know, your theoretical example
13 with the coolers, I feel like I don't
14 understand what I thought I understood about
15 the process.

16 So, for our example with the
17 boilers, dealing with commercial products,
18 there are two different product classes, if I
19 understand correctly. There would be the
20 large and the small.

21 So, based on that, and say I have
22 eight different families of models, are we

1 required to run only five tests, so that we
2 would do two to substantiate our AEDM on the
3 highest-volume product family, and then that
4 AEDM could be applied to both different
5 product classes and the eight different model
6 groups?

7 MS. ARMSTRONG: Okay. So, not
8 quite, but almost.

9 MR. KLEISS: Okay.

10 MS. ARMSTRONG: So, I am not sure
11 I agree with the premise that there is only --
12 well, currently, there may be only two product
13 classes for boilers. We should look at the
14 product classes for commercial boilers. Hot
15 water/steam, that impacts it as well. So, I
16 am not sure I agree with you that that is the
17 premise.

18 But if I did and it was two, your
19 example, the number is six; it is not five.
20 And it is six because the highest sales volume
21 and the lowest has to be from the same. Well,
22 I guess it could be five. It could be five.

1 I'm sorry. It could be five; you're right.

2 So, you've got it. But I think your premise
3 of two is not right.

4 MR. KLEISS: Okay.

5 MS. ARMSTRONG: And I can show you
6 that in the regs.

7 MR. KLEISS: Okay.

8 MS. ARMSTRONG: Yes.

9 MR. KLEISS: But, just to be
10 clear, we don't even have to provide test data
11 from every family of models --

12 MS. ARMSTRONG: No. Once you have
13 five, you can go.

14 MR. KLEISS: Okay. Thank you.

15 MS. ARMSTRONG: Unless, I mean,
16 are you proposing something, that the
17 Department consider something different?

18 (Laughter.)

19 MR. KLEISS: No. No, it is just
20 -- thank you.

21 MS. ARMSTRONG: So, you support
22 this as written, kind of?

1 MR. KLEISS: Yes, I do.

2 MS. ARMSTRONG: Okay. Thank you.

3 Frank?

4 MR. STANONIK: Well, I don't want
5 to lose sight of this. But that puts a
6 significant responsibility on the manufacturer
7 to have a very robust AEDM. In your example,
8 that would be able to encompass those eight
9 model families. Okay? I mean, so it is not a
10 trivial thing.

11 MS. ARMSTRONG: I will say, just
12 as a follow-on, that with the rest of those
13 model families, it is your responsibility to
14 make sure those tolerances are kept. If you
15 happen to do checking or whatever, if anyone
16 else did checking, the 5 percent would need to
17 come in. But the substantiation requirements
18 for that example would be five.

19 Let's go here, and then we will go
20 to Karim.

21 MR. HON: Okay. I have some very
22 serious negative comments about this project

1 so far because a lot of the products that are
2 on the market today are already regulated and
3 standardized, and the testing should have
4 already been completed for hundreds of models,
5 not just a few.

6 That means that several of us who
7 have what we would consider base models in the
8 hundreds have already expended huge amounts of
9 capital to develop information bases. And
10 this is opening a can of worms that will be
11 unbelievable because the next question I have
12 for you is, how are we going to have, shall we
13 say, protest of someone else's product?

14 Because the minute you start this
15 modeling that you are doing here, you are
16 going to open the can of worms that I don't
17 know that the government can control, that we
18 can come in and show that our competition is
19 not within 5 percent or some target number of
20 theirs.

21 And then, we are going to have
22 this context started that no one will ever

1 stop on what is accurately rated, because
2 their models aren't any good. There are some
3 very unusual models in our industry that are
4 very difficult to model. If I test one of
5 those and come up with some idea of how it
6 fits with all the other models, it may consume
7 twice as much energy per unit as one, and then
8 the next one which may be a little different,
9 even though it fits in the same, quote,
10 "category," it may have twice as much glass
11 surface area on it, which means it is far less
12 efficient.

13 But in the models, if you are only
14 testing one unit, how do you know how that is?

15 Your physics has to have a basis on science
16 and tests. This is so broad and so
17 encompassing when you have such vast product
18 differences.

19 If you are a motor manufacturer,
20 the motor manufacturer controls the components
21 much more tightly than those of us who buy
22 componentry and assemble the equipment. We do

1 not have control of the compressor. We can
2 specify compressors. We do not necessarily
3 have control, complete control, of the way the
4 coils are built. We do not have complete
5 control of several other components in the
6 system, some of the controls sometimes. And
7 any of these variables can suddenly blow up in
8 our face. That is the nature of it. And so,
9 we have constant, ongoing testing.

10 But this system could be relying
11 on 10-year data, but all these variables may
12 have changed. Without a consistent program of
13 verification, without a consistent program set
14 up so that protests can be built into it, we
15 are just opening the door to do whatever
16 anybody wants to do.

17 MR. AMRANE: I have a different
18 question, related but different, though. And
19 I am sorry if this question was addressed
20 before; I was out of the room for a half an
21 hour or so.

22 I think, as I read the NOPR, it

1 says that you have to test a minimum of five
2 models, five different basic models to
3 substantiate AEDM. But let's say we have a
4 small manufacturer, and that small
5 manufacturer has only two basic models.

6 It was already addressed? I'm
7 sorry. Oh, we think alike? Okay.

8 (Laughter.)

9 So, I don't want to repeat the
10 question then.

11 MS. ARMSTRONG: That is good for
12 interoffice dynamics.

13 MR. AMRANE: But I think we need
14 to revisit that because it doesn't make a lot
15 of sense to ask a manufacturer with two basic
16 models to test five units of the same two
17 basic models.

18 MS. ARMSTRONG: I mean, I guess I
19 am going to turn the question around. This
20 does not indicate that the Department is not
21 open to providing something like that.

22 But if you only had two basic

1 models, is it really worth the resources to
2 come up with a simulation as compared to just
3 testing it? I mean, are those provisions
4 actually necessary?

5 I guess my preconceived notion
6 would be it would be just easier to test them.

7 But if there are just really two, and that is
8 all you are going to offer -- I mean, this is
9 really, you know --

10 MR. STANONIK: Absolutely. What
11 resonated with me is more -- well, let's use
12 as an example Jeff's boilers, okay? Let's say
13 you have a boiler company that has been making
14 traditionally atmospherically-vented products.

15 Okay?

16 And at some point, they are
17 developing a line of condensing boilers.
18 Okay? And so, initially, this first offering
19 is going to be five basic models with
20 condensing boilers.

21 In that kind of a circumstance
22 where the company is, let's say, evolving its

1 product line, that would possibly require a
2 new AEDM. Okay? And in that kind of
3 situation, to me, it would make sense to say,
4 wait a minute, I shouldn't necessarily have to
5 test all five to create, to substantiate the
6 AEDM for this, in my case, this new technology
7 that I am now making part of my product line.

8 So, that is kind of more the
9 situation I was thinking about. Again,
10 granted, it is going to be somewhat unusual
11 because, obviously, you succeed in business by
12 offering more models, I think, you know,
13 having more flexibility for what your
14 customers want.

15 But I think it is something we
16 will try to work up a proposal that will fit.

17 MS. ARMSTRONG: Yes. I am
18 actually going to ask some questions in the
19 room. For those of you that may use
20 simulations now to rate the equipment, either
21 for residential settings or for commercial
22 settings, do you have like one, what I would

1 call, AEDM and multiple different modules,
2 either technology or whatever? Is it really
3 one AEDM or do you have like a lot of
4 different ones? And maybe it is variable
5 depending on industry or by manufacturer
6 choice. But I kind of want to know what you
7 do now.

8 MR. LORD: I think we have tools
9 -- this is Dick Lord of Carrier -- we have
10 tools for designing equipment that can predict
11 performance over a broad range from full load
12 to part load.

13 What we are talking about is
14 probably a different tool that we use for an
15 AEDM that is tailored to just the specific
16 ratings that are being certified. So, it is
17 not going to be one and the same.

18 We were discussing this the other
19 day. We may have one AEDM; we may have
20 multiple AEDMs, depending on how broad we want
21 to do it and how many units we are going to
22 test.

1 I mean, we like the flexibility of
2 the way you have outlined it. It gives us the
3 prerogative on how to do it.

4 MS. ARMSTRONG: Okay.

5 MR. VerSHAW: Jim VerShaw,
6 Ingersoll Rand.

7 For residential products, we have
8 a design tool that predicts performance, and
9 it is a 2x2 heavy-duty calculation method that
10 we have adapted with other -- I didn't write
11 it; you know, I am still in Fortran. So, it
12 has got other subroutines on there that will
13 bring in the highest sales test, the sales
14 volume combination, make the adjustments so
15 that the curves go through that point, so we
16 follow the ARM requirements.

17 And then, we also build in some
18 adjustments for issues we find lab-to-lab.
19 So, it brings it down a little bit, depending
20 upon what it is.

21 So, it is fundamentally the same
22 tool we use for design, but it has got other

1 things added onto it, so we can use it to put
2 ratings out.

3 MR. KLEISS: Jeff Kleiss with
4 Lochinvar.

5 This could apply to multiple
6 different boiler manufacturers, but,
7 typically, we would test bookends for each
8 different product family and then do linear
9 interpolation between the two, possibly
10 testing an intermediate size; either that or
11 else test each individual model within a
12 product family.

13 MS. ARMSTRONG: Sure.

14 MR. ROBERTS: Carl from Zero Zone.

15 In our case, in commercial
16 refrigeration equipment, certain terms within
17 the AEDM change with some of the design
18 choices. So, the answer to the question would
19 be we have several different AEDMs.

20 MS. ARMSTRONG: Okay. I just have
21 one other question. I mean, it sounds
22 generally like maybe the majority of you may

1 not have all the testing that would meet this
2 criteria done already, but you may be a good
3 way down that pathway. Is that a fair
4 characterization? I mean, I don't think we
5 were writing requirements necessarily that
6 would make you start from ground zero.

7 MR. VerSHAW: Well, I guess I came
8 in thinking we had to have third-party testing
9 for this because that is the way the ARMS is.

10 MS. ARMSTRONG: No third-party
11 testing.

12 MR. VerSHAW: But we have a lot
13 more testing that we are comfortable with.
14 Our biggest issue is going to come in the next
15 section, where we are doing verification
16 testing and the lab-to-lab issues and all
17 that. That is where, actually, we have more
18 trouble than anything else.

19 MS. HOOTMAN: Yes, I would agree
20 on the commercial side we have this.

21 MS. ARMSTRONG: Okay.

22 MR. LORD: This is Dick Lord,

1 Carrier.

2 The same thing for us. One
3 question I had for you, though. If you have a
4 product that has two metrics, I assume you
5 will still only have to use one unit to get
6 the two metrics? Say, for example, a heat
7 pump that has got a cooling and a heating --

8 MS. ARMSTRONG: Correct.

9 MR. LORD: Okay.

10 MR. KLEISS: I will say, within
11 the boiler industry, often there are data
12 points that are available to substantiate
13 things. The problem is having the appropriate
14 documentation to say that we have properly-
15 calibrated instruments that generated that
16 data. That kind of support is often not going
17 to be there.

18 MS. ARMSTRONG: Okay. Thank you.

19 MR. AMRANE: Karim Amrane, AHRI.

20 Well, that is a product like, for
21 example, walk-ins, which we don't have yet --

22 MS. ARMSTRONG: Sure.

1 MR. AMRANE: -- conservation
2 standards.

3 MS. ARMSTRONG: Right.

4 MR. AMRANE: So, there is not much
5 data out there.

6 MS. ARMSTRONG: Right.

7 MR. AMRANE: So, don't assume that
8 everybody is on the same level playing field.

9 MS. ARMSTRONG: Definitely.
10 Definitely. No.

11 Yes?

12 MR. ROBERTS: Carl from Zero Zone.

13 I think it is fair to say that
14 this proposal is written in such a way that we
15 are partway there.

16 MS. ARMSTRONG: Part? Part, we
17 will take it. We will take something.

18 MS. HOOTMAN: Ashley?

19 MS. ARMSTRONG: Sure.

20 MS. HOOTMAN: Jill Hootman from
21 Trane.

22 One thing that I did remember, you

1 know, yes, we have tools, and our AEDM is fit
2 around both air-cooled and water-cooled and
3 water-source heat pumps. I would say that
4 most of the other water-source heat pumps
5 manufacturers are probably not at the same
6 point.

7 MS. ARMSTRONG: Okay. Thank you.

8 Okay. So, any other last-minute
9 comments on selecting units?

10 (No response.)

11 So, I will, since someone brought
12 it up, I will go ahead and open the floor.

13 Do you guys want to break for
14 lunch or do you want to keep going? It is
15 noon now.

16 Well, one, two, three, four, five,
17 six, seven more, eight more slides. Now, that
18 being said, probably at least an hour, if I
19 had to guess. Two? Really? Okay, maybe two
20 hours. Two hours maybe.

21 Lunch? All right, we will break
22 for lunch. We will be back here at one

1 o'clock. So, an hour. Is that okay?

2 The cafeteria is downstairs.
3 There is a Subway all the way down. And then,
4 if you need to go to the cafeteria, you have
5 to go to the first floor, down, and around, is
6 the best way I can explain.

7 (Whereupon, the foregoing matter
8 went off the record for lunch at 12:01 p.m.
9 and went back on the record at 1:07 p.m.)

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1 So, what I am going to do is open
2 the floor to the idea of not doing subsequent
3 rounds of verification or even an annual basis
4 of verification testing for your AEDM on a
5 subset of models. And instead, leaving those
6 requirements that we talked about before we
7 took a break on the books or weighting those
8 two.

9 So, I will open it up at this
10 point. Anybody? Do we agree with the
11 proposal?

12 PARTICIPANT: We do.

13 (Laughter.)

14 MS. ARMSTRONG: Okay.

15 MR. GARST: Yes, Mike with Lennox.

16 We agree. No second rounds
17 needed.

18 MS. ARMSTRONG: And so, you would
19 be more in favor of requirements which apply
20 to the models required for substantiation,
21 keeping those current, rather than requiring
22 periodic review and verification of an AEDM?

1 I imagine there might be some
2 differences of opinion, depending on product
3 type. And maybe not.

4 Sure.

5 MR. HON: In a dynamic market, I
6 don't know how you can possibly expect a
7 simple computer model to maintain itself
8 without some verification, with all the
9 engineering changes that are going on in some
10 markets. In our market, I know that is a
11 fact. There are so many new compressors
12 coming out, so many new fan motors coming out,
13 so many new coils coming out, and iterations
14 of all that, if you don't verify them, I don't
15 know how you are going to defend your
16 position.

17 MS. ARMSTRONG: Okay. So, that
18 was Charlie from True.

19 MR. HON: Charlie Hon.

20 MS. ARMSTRONG: And I have a
21 question, actually, a follow-up question to
22 that, or to anyone else who wants to speak to

1 this. So, if we do do some type of periodic
2 review or verification, there are two ways we
3 could look at this. One is that we keep the
4 tolerances intact. And obviously, the
5 tolerances stay, and we leave it to the
6 manufacturers' discretion how many units they
7 may want to test and check over time. That is
8 one way. That is the way it has been done
9 here. There is no formal requirements,
10 acknowledging that manufacturers will probably
11 do some type of audits to make sure their AEDM
12 is valid over time.

13 Or we could do a more formalized
14 proposal where the Department actually has
15 certain set of requirements that apply on an
16 annual basis for subsequent verification and
17 for new models that may come out or changes
18 that will be made over time. And maybe annual
19 is not the right number. Maybe three years is
20 the right number, maybe five years, whatever
21 it may be.

22 But I am asking for different

1 opinions and pros and cons and ideas for what
2 maybe the Department should consider with
3 respect to those.

4 Sure, go ahead, Frank.

5 MR. STANONIK: Frank Stanonik,
6 AHRI.

7 I am fully aware this is not part
8 of this rulemaking, but the question you raise
9 leads right to that point, that if there is
10 recognition of VICPs it changes your question
11 a lot because, in fact, if a company is
12 participating in a VICP, there inherently will
13 be, I will call it, continuous validation of
14 whatever AEDM they used because the ratings of
15 a particular model will either be verified or
16 not.

17 And so, it is difficult to answer
18 your question right now because, according to
19 DOE's current schedule, VICP is another
20 rulemaking, right?

21 MS. ARMSTRONG: Yes, it is. It is
22 another currently ongoing rulemaking. And as

1 we learned this morning, speed of light here.

2 But I do have a question for you.

3 That is great for those who participate in
4 what we would call a voluntary industry
5 program right now. And perhaps when we go
6 down the pathway of looking at those more
7 specifically in our regulations, that is one
8 thing.

9 Do you think that that requirement
10 should be applicable to a manufacturer across
11 the board? In other words, either the VICP
12 does it or a certain percentage of models
13 should be verified, period?

14 MR. STANONIK: Going out a little
15 bit on a limb here, I think the answer is,
16 yes, in the same way that if a manufacturer --
17 forget the current subject, okay. But if I am
18 manufacturing something, and I am interested
19 in just putting out a product that meets my
20 design, I have my own internal QC, right? It
21 is hard to imagine the modern-day manufacturer
22 doesn't have some level of QC that checks

1 their production.

2 So, I would say, just taking that
3 basic concept, I could see where it would
4 apply to efficiency ratings and, then, the
5 AEDM.

6 MS. ARMSTRONG: This is Ashley
7 from DOE.

8 Just a follow-on, as Mr. Daugherty
9 explained earlier, for motors we have
10 something more formal where there is like this
11 periodic verification that is required. I
12 guess, are you advocating that that actually
13 is a requirement? Or should it be left to the
14 risk and discretion of the manufacturer?

15 MR. STANONIK: Frank Stanonik,
16 AHRI.

17 I think, because, again, we are
18 talking about a huge variety of products, I
19 think in terms of DOE's regulation, it should
20 be left to the manufacturer to determine what
21 is the proper level of checking, whatever we
22 are going to call that. Motors may be a

1 unique situation, which I am not very familiar
2 with.

3 MS. HOOTMAN: Yes, yes. Jill
4 Hootman, Trane.

5 I would agree with what you said.

6 I think it is the risk of the manufacturer.
7 They have to determine -- I mean, obviously,
8 federal penalties are onerous. So, I mean,
9 you are going to determine some way to
10 continually upgrade and continually maintain
11 an AEDM in order to hold that risk inside,
12 internal to your company.

13 MS. ARMSTRONG: Okay. Thank you.

14 Sure, Charlie?

15 MR. HON: This is Charlie Hon,
16 True Manufacturing.

17 We basically worked on a
18 statistical maneuver here to reduce testing.
19 You are taking it from -- for us, it would be,
20 giving a basic idea, we would be going from
21 700 basic models, which would fit into 20
22 different categories, and right now we are

1 required to do two per. So, over 1400 tests
2 which have pretty much been completed; now go
3 back and we could come up with 20 tests,
4 highest volume, 21 samples. From 1400 to 21
5 is a huge reduction in validity. And now not
6 certify those? Not have an ongoing basis for
7 that? I don't understand that at all. I am
8 just totally befuddled by this whole thing.

9 I can understand it used on
10 certain applications and a need for certain
11 applications, but how can you possibly turn,
12 unless we have arduous and very strict
13 enforcement, so that there is constant testing
14 of product -- without that, we have
15 competitors who cheat every day; we know that.

16 They are thick. They are blatant. And the
17 Department is well aware of some of them
18 through the Energy Star programs and through
19 just basic testing, in California problems
20 that have developed.

21 So, they know that our industry is
22 dirty. And yet, now we are going to have,

1 well, industry will monitor itself. That is
2 tough to believe because right now we are not.

3 MS. ARMSTRONG: Okay. Paul?

4 MR. DOPPEL: Paul Doppel with
5 Mitsubishi.

6 I think that there probably might
7 need to be a requirement for manufacturers,
8 even though they are coming up with their own
9 AEDM, if the Department is thinking that
10 verification is needed, then the VICP should
11 be given the highest degree of authority or
12 support to be that verification body.

13 MS. ARMSTRONG: Okay. Thank you.

14 Sure. Go ahead.

15 MR. DAUGHERTY: Roger Daugherty,
16 Baldor Electric.

17 I guess I would just like to give
18 a good plug for what is going on in the motor
19 business. We have gone to the trouble of
20 creating a laboratory accreditation program
21 for testing. And so, all samples that are
22 tested for certification have to be done in an

1 accredited test facility or one that is
2 recognized as participation in a third-party
3 independent certification program.

4 And so, we feel that we have got
5 very good control over the testing that is
6 done, the results that come out of the
7 testing, and the tolerances that went into
8 certification. The results of that testing
9 are used to support the AEDM.

10 And again, I feel that I know we
11 are in a very different situation, that we are
12 talking about a product that is very well-
13 defined by the physics of the product. We are
14 not putting together a lot of different
15 combinations of parts that alter the
16 efficiency and characteristics. So, we are in
17 a very certain situation.

18 But I would not like to see
19 something go into the final rule that alters
20 away from the way we are today. It is
21 working. We have confidence in it.

22 Through NEMA, they have now

1 created a verification program that
2 manufacturers can participate in as an
3 independent party. We have a CSA and a UL
4 recognized third-party certification program
5 that we can participate in to cover the
6 certification of products and verifications of
7 the AEDMs.

8 So, I would just caution that, as
9 I have expressed today, there are concerns
10 that you are trying to do one-size-fits-all
11 type of language in Part 429. Some of those
12 parts don't seem to really fit and apply to
13 electric motors and small electric motors. I
14 would like however you can consider carrying
15 forward what is in Part 431, as you move it to
16 Part 429.

17 Thank you.

18 MS. ARMSTRONG: Karim?

19 MR. AMRANE: Karim Amrane, AHRI.

20 I guess I would like to respond to
21 Charlie's statement about industry being dirty
22 and industry policing itself. I mean, I am

1 not sure which product you are talking about,
2 but industry has been policing itself for more
3 than 50 years. We have certification programs
4 in place that are very strong certification
5 programs. Maybe you are referring to your own
6 product; I don't know. But that is the
7 general statement here. Let's be clear about
8 this.

9 MR. NESHAN: This is Massoud
10 Neshan.

11 And I would like, kind of in
12 support of what Karim said, I strongly
13 disagree with the language that was used, and
14 maybe it is inappropriate to talk about it
15 here. But this industry is not dirty, and I
16 personally am offended by its being said that
17 this industry is dirty. This is uncalled for.

18 MR. HON: I did not say which -- I
19 said quite clearly, if you gentlemen remember
20 -- this is Charlie Hon again -- there have
21 been several incidents in California which we
22 know have happened. They are documented.

1 They are in the court records. We have had
2 companies reprimanded, companies fined.
3 That's dirty.

4 If you are not on the other end of
5 that, and maybe you are not, but we still have
6 these players in the field. The players in
7 this room know what they are doing because
8 they are not the ones who are going to be
9 violating the laws, but there are others who
10 do. The players who violate the laws don't
11 come to these meetings.

12 MR. KLEISS: I would just support
13 the comment that was made of cautioning you
14 against the one-size-fits-all kind of a ruling
15 here, and the comment about having, say,
16 compressors or components that can contribute
17 significantly to the efficiency changing over
18 time. That just does not apply to all types
19 of products now. So, please bear that in mind
20 when you are making the rules.

21 MS. ARMSTRONG: Sure. Thank you.

22 MR. ROBERTS: This is Carl from

1 Zero Zone.

2 I think it is safe to say that the
3 manufacturers who are in this room, if you
4 give them the responsibility for making the
5 AEDM work, they have the ability to do that.

6 MS. ARMSTRONG: Oh, go ahead.

7 MR. GARST: Mike Garst, Lennox.

8 I want to make sure that we are
9 clear here because you are using the word
10 "verification" and we have substantiation and
11 validation and assessment testing. Is
12 verification the assessment testing or
13 something else?

14 MS. ARMSTRONG: No. So, we are
15 not talking about assessment testing yet.
16 This would just be a second round of testing.

17 I'm sorry. This is Ashley from
18 DOE.

19 We are not talking about
20 assessment testing yet. This is just
21 currently in the regulations for AEDMs there
22 are two rounds of what you would call

1 substantiation and subsequent manufacturer
2 verification before a full use of an AEDM can
3 occur.

4 And for motors, there is a
5 periodic verification. And this is all
6 manufacturer-initiated. So, it has nothing to
7 do with any DOE-initiated subsequent testing.

8 So, we had proposed to get rid of
9 that second round. And it sounds like from
10 what I am hearing that the majority supports
11 that with the exception of one for HVAC and
12 CRE, and motors seem to be working the way
13 they are.

14 Not to say what the Department may
15 do. And we encourage you -- I guess, you
16 know, when you write written comments, maybe
17 there is some middle ground here and maybe
18 there is something -- I don't know what it
19 would be -- but maybe there are ideas. You
20 have ideas. I strongly encourage you to submit
21 them. I mean, if you don't want verification
22 or if you do but you want it limited or you

1 have other ideas, I strongly encourage you to
2 bring them to the table because we are open at
3 this point. Okay?

4 Okay. So, we kind of talked about
5 this earlier in terms of AEDM validation and
6 what we proposed. We didn't propose any
7 specific frequency that the AEDM must be
8 updated.

9 There was just a requirement that,
10 No. 1, DOE reserves the right to request
11 documentation underlying the AEDM at any point
12 in time. You must retain documentation
13 describing the AEDMs, supporting the test data
14 and anything that goes into it; obviously, the
15 AEDM itself. If you do any subsequent
16 verification or auditing yourself, it would be
17 a good idea to maintain that as well, and
18 anything else you think to support your AEDM
19 kind of substantiation and use package, as I
20 would say it.

21 And so, with that, the only
22 frequency we had in there was regarding the

1 test procedures and standards being current,
2 as we spoke to earlier.

3 So, I think we talked about this,
4 but does anyone else have any comments on
5 frequency-type things or any other proposals
6 the Department should consider about frequency
7 of updating or maintaining or testing?

8 (No response.)

9 No? Okay.

10 Oh, please.

11 MR. LORD: Yes, this is Dick Lord
12 with Carrier.

13 At the bottom of page 32046, in
14 the left-hand column, it says, "DOE intends to
15 address this topic further in upcoming
16 certification compliance/enforcement
17 rulemaking."

18 MS. ARMSTRONG: Uh-huh.

19 MR. LORD: That is talking about
20 the documentation. Is there going to be
21 another -- okay.

22 MS. ARMSTRONG: Yes.

1 MR. LORD: Which is great. The
2 more you can document it, the better.

3 MS. ARMSTRONG: Right.

4 Okay. I am guessing this is where
5 we have comments.

6 Assessment testing. So, from the
7 March certification and enforcement
8 rulemaking, DOE made it clear that we may
9 conduct assessment testing at any time to
10 evaluate compliance with our standards. The
11 test results from one unit are compared to
12 both the standard and the rating for the
13 product. I realize for commercial equipment
14 -- well, see, you guys have certified ratings
15 or AHRI rating out there, and that is what we
16 would use in our comparisons for now.

17 So, I will keep going for now.
18 So, potential outcomes of an assessment test
19 result. So, failure to meet ratings. In
20 other words, if we test a single unit and the
21 results of that give rise to a potential where
22 the rating, something looks like it is off, we

1 propose that manufacturers must resubstantiate
2 their AEDM within 30 days using the test data
3 obtained from DOE-initiated testing. In other
4 words, we would give you that testing. If you
5 had a substantiation -- say you had just the
6 minimum of five; you would add it as a sixth
7 unit, resubstantiate your AEDM for any new
8 ratings that come out that were less
9 efficient, you would then recertify those
10 ratings.

11 We wouldn't necessarily require
12 any new testing. It is just that we would
13 say, hey, here are the results from our tests;
14 incorporate it in.

15 MR. AMRANE: Karim Amrane.

16 Just a question. What did you
17 mean by something is off? If you are not
18 within the 5 percent, is what you mean?

19 MS. ARMSTRONG: There is no 5
20 percent in DOE's reg, Karim.

21 MR. AMRANE: No, no, no, no.
22 Let's say that you are rating your product

1 with an AEDM. Okay? Now DOE does a test.
2 What will be the basis for DOE to say this is
3 a valid test or this is not a valid test? We
4 need to know that.

5 MS. ARMSTRONG: Okay. So, you are
6 asking, if we went back and we had
7 certification data that says, yes, this rating
8 came from an AEDM, you're right, we would look
9 at the 5 percent. You're correct. I'm sorry.
10 I thought you meant --

11 MR. AMRANE: No.

12 MS. ARMSTRONG: Never mind.

13 So, the 5 percent tolerance, yes.

14 MR. AMRANE: Fine.

15 MS. ARMSTRONG: Yes.

16 MR. VerSHAW: Okay. So, I guess
17 we go back to the earlier slide, the 10 CFR
18 429.70(c) -- this is Jim VerShaw from
19 Ingersoll Rand -- 429.70(c) says that, if you
20 test something that is bigger than 5 percent
21 of the AEDM or 5 percent of your rating --

22 MS. ARMSTRONG: Five percent of

1 your rating from the AEDM.

2 MR. VerSHAW: Now, you know, that
3 could be derated from what the AEDM would give
4 you.

5 And the other issue is a single
6 test? What about some kind of defect in that
7 particular sample or what about a test that
8 was not set up correctly, which happens a lot?

9 Or not charged correctly? Or I don't know.

10 MS. ARMSTRONG: Okay. So, just
11 some caution here. You know, if we had a test
12 result back that, say, it looks like the
13 certified rating is this and it looks like our
14 test result is 8 percent off or so, the first
15 thing I think we would do is just contact the
16 manufacturer and have a dialog, about the test
17 data, about the AEDM. And before anything was
18 required to happen, we would have that
19 discussion and to see where things --

20 MR. VerSHAW: Of course, you know,
21 the way it was written, the way we read it
22 coming into today, it didn't include that

1 step.

2 MS. ARMSTRONG: We're not that --

3 (Laughter.)

4 MR. VerSHAW: Well, if it is not
5 written down, it is not done that way. This
6 is the government here, right?

7 (Laughter.)

8 MS. BARHYDT: Go ahead, Frank.

9 MR. STANONIK: But I guess I want
10 to try to make sure we have the same
11 understanding. What is on this slide says, if
12 DOE determines that the model fails to meet
13 its certified rating, okay? Getting to that
14 point involves several steps and is most
15 definitely a process, possibly starting with
16 testing one unit, but potentially testing some
17 more.

18 But for DOE to get to the point
19 that they can say, okay, we believe your model
20 is not rated properly is, to me, that is a
21 defined decision, and if that is what you are
22 meaning here, then everything else makes sense

1 because, in fact, you have gone through your
2 procedures and you have done the tests that
3 say, wait a minute, this product is not rated
4 correctly, and it is done. The decision is
5 done.

6 And if you are at that point,
7 then, in fact, the things you have under there
8 I say would be appropriate and correct. But
9 some of the discussion I was hearing was,
10 okay, you pulled in one unit, and let's just
11 say you weren't happy with the results of the
12 one unit, okay? That is not the same as DOE
13 has determined the model's rating is
14 incorrect. That the start of the process.
15 This is the end of the process, right?

16 MS. ARMSTRONG: That is correct --

17 MR. STANONIK: Okay.

18 MS. ARMSTRONG: -- for the most
19 part. There is one caveat.

20 MR. STANONIK: Oh, okay.

21 MS. ARMSTRONG: This repercussion
22 is, once DOE has made a definitive

1 determination -- and, obviously, there would
2 be some discussions at the outset. What you
3 said is multiple tests. That is not the
4 proposal right now.

5 As it stands right now, we could
6 have one test, but we would go through a
7 process of which that test data could be
8 scrutinized. Plenty of discussions could be
9 had. We would also look at other test data
10 that the manufacturer might have, a variety of
11 different things before a definitive
12 determination is made. But once it is made,
13 this is the repercussion, that is, as
14 proposed.

15 Sure.

16 MR. LORD: So, some unit does
17 fail, and we all agree it has failed. So, I
18 have five units. Going through the math,
19 let's say, of those five units, I had one that
20 was a plus 5 percent, this one is coming in at
21 minus 6 percent. So, I have got to take this
22 sixth unit and add it in. Do I throw that

1 plus 5 percent unit out because it is too
2 good? The math doesn't kind of work, you
3 know, because it has got to be within plus or
4 minus 5 percent. So, I had five units before,
5 one of which was at a plus 5 percent, a very
6 good unit.

7 So, now we are saying, well, you
8 have got to derate your AEDM because you have
9 got a unit that is at minus 6. That is going
10 to throw that plus unit out of the mix then.

11 MS. BARHYDT: Well, the plus 5
12 wouldn't be the very good unit. The plus
13 5/minus 5 is how close did your model come to
14 your test result. So, I am just questioning
15 your wording a little bit there because it
16 sounded like that was a high-performance unit,
17 and that is not what the AEDM is supposed to
18 be.

19 MR. LORD: No, no. It is just a
20 range of the units I have, just to give an
21 example. It means that I can't meet that plus
22 or minus 5 percent then. So, I will have to

1 throw one of the other units out.

2 MS. ARMSTRONG: So, I don't know
3 if that is the case. So, for example, if you
4 have one unit that fails, a determination is
5 made that it failed the certified rating, and
6 that unit happens to be 6 percent off, like
7 you said, it may mean that your simulation is
8 just off for that specific design or that
9 product. It doesn't necessarily mean that the
10 ratings for all the other ones would change.

11 MR. LORD: Yes. No, we had that
12 discussion internally. It was not that we may
13 have forgotten to put in a factor for coil
14 coolings or something.

15 MS. ARMSTRONG: Correct. Exactly.

16 MR. LORD: You know, that fixes
17 that problem.

18 MS. ARMSTRONG: But if you go back
19 and it does result in other changes, you are
20 going to need to have a substantiation package
21 that then meets the criteria. So, if that
22 change results in other models falling out,

1 you would have to then --

2 MR. LORD: Do it.

3 MS. ARMSTRONG: Yes. Correct.

4 MR. DOPPEL: Paul Doppel,
5 Mitsubishi.

6 All the discussion has been
7 centered around like one unit, the whole unit.

8 And especially like if you have a heat pump,
9 you can have multiple metrics for each. So,
10 if there is just one of the metrics that does
11 not meet the requirements, does that require
12 resubstantiation of all the others as well or
13 just that one?

14 MS. ARMSTRONG: So, regardless of
15 one metric or two, if one unit fails, it
16 requires resubstantiation of the AEDM with
17 that unit. Now, that being said, if you rerun
18 your simulation and your ratings don't change
19 for anything else in that, then it is not a
20 big deal, right? Nothing else has changed.
21 Just that unit has been incorporated in. You
22 fix that unit. You fix that rating. Done

1 deal.

2 Now, if you include it in and 50
3 percent of your ratings change to be lower
4 because you forgot a loss or something that is
5 applicable to like half your product line,
6 then you need to recertify all those ratings
7 that would result in less efficient and more
8 consumptive products.

9 Does that make sense?

10 MR. LEWIS: Okay. To come back to
11 one thing that Jim said, when you get to this
12 stage, you are notifying the manufacturer that
13 there is an issue. And when you do the
14 testing that Jim has mentioned here, at the
15 prior meeting we talked that the manufacturer
16 will be notified. We would be able to go to
17 that lab and not supervise, but review that
18 testing? Was that not true? Because, then,
19 how do we know that the test was operated
20 properly? I mean, you are talking about our
21 livelihood. You know, innocent until proven
22 guilty. We need to be able to watch what is

1 going on to just say, "Wait, you just missed a
2 step." I mean human error.

3 MR. DOPPEL: And the
4 resubstantiation, that is a tremendous amount
5 of work.

6 Paul Doppel, Mitsubishi.

7 MR. LORD: And what you really
8 need to probably think about is some appeal
9 process. Like, for example, a unit may fail
10 in a laboratory. We take it back to our
11 laboratory and say we didn't confirm that.
12 You know, right now, with the ITS and AHRI
13 program, there is a way to work through that.

14 Because labs do make mistakes.
15 Instrumentation goes off in a lab.

16 MR. VerSHAW: Jim VerShaw here
17 again.

18 Remember, earlier a round-robin
19 test at a third-party lab will get you a 4
20 percent swing. So, you could take that unit
21 that came in at 6 percent below or 7 percent
22 below, put it in another room, test it the

1 next day, and be 2 percent low or 3 percent
2 low. And if you hadn't done the first-day
3 test, you wouldn't be talking to us. And we
4 didn't do anything different.

5 MR. FLY: Well, and remember, that
6 is in the same lab, too, being calibrated
7 against each other. So, lab-to-lab, facility-
8 to-facility, it may be higher than that. I
9 don't think anybody in this room knows for
10 sure that plus or minus 5 percent, or has any
11 data that says plus or minus 5 percent is the
12 right number. So, if the number is plus or
13 minus 10 percent, proven through some big
14 study with round-robin tests, you know, I can
15 selectively go through and find the 5 percent
16 that will meet and validate my AEDM, but the
17 first time you test one outside of my window
18 of tests, then I could invalidate my AEDM and
19 I am back to ground zero again.

20 MS. ARMSTRONG: Let me switch to
21 the phone because we have a couple of people
22 who have been waiting patiently.

1 Jeff Bauman, do you want to speak?

2 MR. BAUMAN: Yes. Am I there?

3 MS. ARMSTRONG: Yes, you're good.

4 MR. BAUMAN: Okay. I just had,
5 going back on the verification, it is a little
6 late getting back to it, but -- from
7 Continental Refrigerator, Jeff Bauman,
8 hopefully one of the people who is not
9 considered dirty in this industry. I think it
10 is a good industry, reliable, but yet there
11 have to be checks and balances in place to
12 make sure that people who might not try to do
13 things the right way are not able to do that.

14 One of the things that has been
15 discussed and proposed to EPA, and possibly to
16 DOE, as far as Energy Star, and I would like
17 to put it out there for consideration on this
18 side, too, is using component verification
19 instead of actual retesting on a regular basis
20 to verify the proper components and,
21 basically, the products that are being built
22 are what the manufacturer has claimed in their

1 original studies with their energy
2 consumption, or whatnot.

3 I know some of the issues that
4 happened in California were with the
5 manufacturer basically claiming or saying that
6 the product that was made, that was tested and
7 failed was not actually the same product it
8 was supposed to be, and it was kind of a back-
9 and-forth there. But it certainly seemed to
10 be a component issue there.

11 And I think that if there is a set
12 of criteria that says, okay, these are the
13 components that make up that unit, and groups
14 such as UL inspector or an NSF-type inspector
15 on a regular basis is doing a blind factory
16 audit, that they be able to cover and check
17 those things and have a more accurate and more
18 comprehensive evaluation.

19 Thanks.

20 MS. ARMSTRONG: Thank you.

21 So, one more from the phone before
22 we turn it back over to the floor here.

1 Craig?

2 MR. MESSMER: Yes, hi, Ashley.

3 MS. ARMSTRONG: Hi.

4 MR. MESSMER: This is Craig
5 Messmer from Unico.

6 On your slide, you say, "It fails
7 to meet its certified rating." Are you
8 talking about the rating or the minimum
9 efficiencies? Because what is DOE trying to
10 achieve here? What is their interest level?

11 MS. ARMSTRONG: So, on slide 26,
12 we actually talk about the rating. When we
13 get to slide 28, we will be talking about
14 standards. Okay?

15 Anyone? Oh, go ahead.

16 MR. HON: There was a question
17 posed about validity. We have done some
18 validity testing, taken the same unit in the
19 same test room the next day, and started the
20 test again, changed the legitimate conditions
21 within the parameters of the test standard
22 from high to low on the range of internal and

1 external temperatures, and found a 7 percent
2 difference in the same piece of equipment, all
3 within legal limits of the test standard.

4 It is 38 plus or minus 2. We
5 would run one warm voltages. We would crank
6 the opposite direction and go high to low
7 voltages. And by changing two parameters of
8 the test standard, which there are more than
9 that, we changed the outcome by 7 percent.

10 MS. ARMSTRONG: Thank you.

11 Sure.

12 MR. DAUGHERTY: Roger Daugherty,
13 Baldor Electric.

14 To follow up on the issue of where
15 a piece of equipment may get tested, again,
16 going back in the history of electric motors
17 and conducting round-robin testing, in a NEMA
18 standard the value of efficiency of any
19 particular unit out of the basic model, out of
20 a population, could have total losses
21 approximately 20 percent higher than that of
22 the NEMA nominal efficiency value.

1 Based on the round-robin testing
2 and the variation that was observed in it by
3 testing in different laboratories, then when
4 we were working with DOE to establish the
5 tolerance requirements for the sample testing
6 of five units of a basic model -- I am not
7 talking about this 10 percent thing that deals
8 with the AEDM. But the issue here under
9 meeting certified rating is that testing of
10 that sample of five.

11 And while the round-robin testing
12 and everything supported that 20 percent
13 variation, when the rule was written into Part
14 431, DOE discounted the variation between
15 laboratories and only accepted the variation
16 of testing performed in the same laboratory,
17 because the manufacturer most typically will
18 do all of his testing of that particular size
19 unit in the same facility rather than shipping
20 them around. Okay?

21 So, the tolerances that are based
22 upon certification of the efficiency rating

1 for an electric motor of a sample of five is
2 based upon testing only being performed in a
3 common facility by that manufacturer. So,
4 yes, he does have the possibility that, if
5 that unit or the five were tested by someone
6 else in some other facility, that he could be
7 outside of the allowable variation.

8 And so, DOE could make a finding
9 and question that rating, that certified
10 rating, while the manufacturer's test would
11 have supported that rating. Okay?

12 So, yes, variation between test
13 facilities is very important. And so, some of
14 that process needs to be included when you are
15 going to into enforcement.

16 The other concern we have with
17 enforcement is that we have one set of
18 criteria for approval of a sample when the
19 manufacturer is certifying the product. And
20 that is based upon percent of total losses
21 over the average of the sample.

22 In enforcement, the rule is

1 written around a lower control limit of the 95
2 percentile of the T-distribution, a totally
3 different concept. So, when a motor is
4 examined to determine if it meets its
5 certified rating under enforcement, it is a
6 different rule that is applied than is applied
7 when the manufacturer actually certifies the
8 product.

9 And then, we have the rule against
10 the AEDM that he may have actually used to
11 certify that particular one that is now being
12 tested under enforcement.

13 But we do realize that within the
14 enforcement procedure there are the processes
15 of the consultation with DOE to try to resolve
16 any differences that were observed before it
17 gets into a finding that you actually are in
18 non-compliance.

19 MR. GLATT: Helmuth Glatt, Nidec
20 Corporation.

21 I just want to expand on what
22 Roger has been saying. It is possible, under

1 I think Part 431, that we already have the
2 rule in place, that of that sample of five
3 motors, the average of those shall be within 5
4 percent of the NEMA nominal efficiency. But
5 one unit could possibly be as low as 15
6 percent below.

7 So, in that case, if you happened
8 to pick that particular unit for this testing,
9 you will have us requalify the entire AEDM.
10 So, while we are okay for compliance, we would
11 still be okay for compliance, but yet the
12 AEDM, which already showed that the product
13 was in compliance, would be out of compliance.

14 So, it is confusing.

15 MS. ARMSTRONG: So, I do just want
16 to make one thing clear. And maybe this is
17 something just generally I am not sure that
18 everyone recognizes.

19 If we talk about testing and we
20 take away the AEDM for a second, if the
21 Department were to pull a unit for tests and
22 you had certified your rating using testing,

1 too, running the sampling statistics and
2 coming up with their certified rating, then
3 sending that to the Department, if we were to
4 subsequently test one in a lab and we got a
5 number that was, you know, different than your
6 certified rating -- let's say it is 8 percent
7 off, just for lack of a better -- we would do
8 the same thing. This exercise would be the
9 same regardless of whether you did testing or
10 whether you used an AEDM.

11 We would come to you. We would
12 say, "Here's the testing that we got. Let's
13 see your test data." We would go through that
14 same process.

15 So, from the Department's
16 perspective, we didn't understand why, just
17 because you are coming up with a simulation,
18 or coming up with a number with a simulation,
19 why that process should necessarily be
20 different. And maybe it should; maybe it
21 shouldn't.

22 So, I just want to make clear that

1 this is the same process that we use if you
2 had tested. I don't know if that changes
3 anyone's opinion of things. Or perhaps it
4 changes our opinion of where we did the other
5 thing.

6 MR. VerSHAW: Well, Jim VerShaw
7 here.

8 So, the steps that the Department
9 would take on getting a test that was 8
10 percent low, where is that written down? And
11 if you two folks aren't here next year, God
12 forbid, would the next folks follow the same
13 rules and processes that you were just
14 discussing?

15 MS. BARHYDT: The processes are
16 all in Part 429, Subpart C.

17 MR. VerSHAW: Well, I thought in
18 there it said, if you get a unit that falls
19 below the -- and we are not talking about 18
20 -- below 13, you immediately test more units.

21 It didn't say anywhere in there that you are
22 going to talk to the manufacturer.

1 MS. BARHYDT: Well, what it says
2 is that, if the Department has reason to
3 believe that the product is non-compliant,
4 that is not necessarily that it is half a
5 percent below the standard. So, first of all,
6 there is not an absolute cutoff there. So, if
7 the Department has reason to believe DOE will,
8 DOE may -- and even that is not a will; it is
9 a may -- proceed with enforcement testing.

10 In order to proceed with
11 enforcement testing, we have to contact the
12 manufacturer. That is in the regulations. It
13 doesn't lay out a specific we will talk to you
14 for "X" amount of days and all kinds of things
15 like that.

16 MR. VerSHAW: Well, I know that.

17 MS. BARHYDT: But we have to
18 contact the manufacturer because the
19 manufacturer has to provide the units for
20 enforcement testing.

21 MR. VerSHAW: I thought you just
22 asked us for units and be done with it.

1 (Laughter.)

2 MS. BARHYDT: No.

3 MR. VerSHAW: That is the way it
4 was written. I'm sorry.

5 MS. ARMSTRONG: And one thing to
6 make just one distinction here is what we are
7 talking about here is the ratings. I realize
8 it could be very different. I mean, something
9 could be rated at -- I don't know; I am just
10 going to make up a number -- 15 EER, and in
11 that case there is no question about the EER
12 standard. At that point, it is just a ratings
13 thing. It has nothing to do with compliance
14 with standards or enforcement testing. So,
15 there is a nuance there that is different.

16 MR. VerSHAW: Well, yes, but
17 -- Jim VerShaw again -- but --

18 MS. ARMSTRONG: But we would still
19 talk to you.

20 MR. VerSHAW: Pardon me?

21 MS. ARMSTRONG: We would still
22 talk to you.

1 MR. VerSHAW: I know, but the
2 ramifications of missing a rating and having
3 to redo an AEDM and changing other ratings is
4 pretty big, regardless of whether it is at 13
5 or 18.

6 And if you think about it, if I
7 had to -- luckily, I don't do anything over 5
8 tons, but if I had to do the stuff that Jill
9 has to do, I am not sure I could get all those
10 units built and tested in 30 days.

11 MS. ARMSTRONG: So, step back.

12 MR. VerSHAW: Yes.

13 MS. ARMSTRONG: Why would you need
14 to build and test? There is no testing
15 requirement here at all. All it says -- see
16 at the bottom; there is no new testing.

17 So, all we are saying is take our
18 test point --

19 MR. VerSHAW: Okay.

20 MS. ARMSTRONG: -- and plug it in.

21 MR. VerSHAW: So, you take your
22 test point and plug it in, and, all of sudden,

1 it doesn't meet the 3 percent anymore.

2 MS. ARMSTRONG: Then, you would
3 have to have another unit, maybe a seventh.
4 But not like all of them. I mean, I guess
5 that is what I am trying to understand.

6 MR. VerSHAW: Well, we are, too.

7 MS. ARMSTRONG: Where is the
8 balance there? I mean, at some point, we
9 require, once a determination has been made
10 for testing purposes as it relates to the
11 certified rating, if you were testing and came
12 up with a rating, we require a rerate if we go
13 through that process and make a determination.
14 Why should this be any different?

15 MR. VerSHAW: Well, go ahead.

16 MR. AMRANE: Karim Amrane.

17 I guess it is a good question.
18 Then, I would ask you, then, at least for the
19 AEDM, it seems to be clear to me that you test
20 the unit. You compare the rating with the
21 AEDM. If you are not within 5 percent, you
22 might trigger additional testing, right?

1 But if you had tested a unit,
2 instead of using an AEDM, there is not such a
3 thing written in the regulation today. It is
4 very vague. It is up to DOE to decide what is
5 good, what is not good enough, I guess. It
6 doesn't say that if you are within 5 percent,
7 it is okay; we will not do additional testing.
8 It doesn't say anything like that.

9 So, let's be consistent then.
10 Let's put the 5 percent in that part of the
11 regulation as well, so at least it is
12 consistent.

13 MS. ARMSTRONG: Thank you, Karim.

14 MR. FLY: You know, a lot of this
15 could go away if we would only require one
16 side, you know, downside tolerance on this.
17 The plus or minus is the thing that is really
18 -- this is Mark Fly with AAON -- that really
19 concerns me about the whole AEDM and the
20 testing part.

21 Because if we discover that the
22 lab-to-lab tolerance, or whatever we decide

1 tolerances, are much bigger than 5 percent, we
2 can knock our AEDM down 5 percent and cover
3 everything that we need to cover. But the
4 plus or minus, you know, my concern is not the
5 computer program; it will give the same number
6 with the same input every time. My concern is
7 the testing that you have to support the
8 computer program with.

9 MR. LORD: Well, I was going to
10 maybe try to answer at least the way I was
11 interpreting it. When you do your AEDM, you
12 use the plus or minus 5 percent. If you
13 conservatively rate and the testing comes in
14 better than 5 percent, she is not going to say
15 anything to us. She will send us a gold star.

16 (Laughter.)

17 MS. ARMSTRONG: Yes, I mean, that
18 is exactly right. I mean, if you have rated
19 conservatively, regardless, we are happy.
20 That is great. The consumer is going to get
21 that or better, right?

22 It is when you get to the negative

1 8 percent or the negative 7 percent that we
2 are going to come knocking at your door and
3 say, "Hey, we need to have a really friendly
4 discussion."

5 MR. FLY: But at that point, you
6 are going to get into the middle of our
7 business about how we put the AEDM together,
8 because at that point you are asking for all
9 this documentation on our AEDM.

10 MS. ARMSTRONG: We will be asking
11 for stuff, some stuff, correct.

12 Sure.

13 MR. LORD: We may want to talk
14 more about the 30 days. Because even if you
15 didn't have to test another unit, we might
16 say, well, we question that data. We want to
17 put it in our laboratory. We want to run
18 tests.

19 MS. BARHYDT: This is after the
20 final finding --

21 MR. LORD: After the final
22 finding.

1 MS. BARHYDT: -- after everything,
2 all the discussions are done.

3 MR. LORD: That is about three
4 years. We've got lots of time.

5 (Laughter.)

6 MS. ARMSTRONG: It can be.

7 MS. BARHYDT: In all honesty, it
8 can and does take more than 30 days. This is
9 30 days after the final determination.

10 MR. DOPPEL: Does it specifically
11 say that in there? I don't remember seeing
12 it, 30 after final determination.

13 MS. ARMSTRONG: It does. It does.

14 MR. DAUGHERTY: Roger Daugherty,
15 Baldor Electric.

16 If I could back up to one
17 statement that you made, you were saying that
18 the manufacturer would not necessarily be
19 required to do additional testing due to this
20 particular unit not meeting certified rating,
21 but that they were to take DOE-supplied test
22 data from a separate laboratory and

1 incorporate that into their AEDM, which has
2 been based around their testing in their
3 facility, and does not necessarily accommodate
4 results obtained in a different test facility.

5 And I don't know that
6 manufacturers would really want to do that,
7 to, for lack of a better word, contaminate
8 their AEDM by using data from an unidentified
9 and uncontrolled facility against all their
10 other data.

11 MS. ARMSTRONG: Okay. Well, so
12 that is the proposal. The unidentified
13 uncontrollable would always be a third-party
14 lab, like a third-party lab you guys would use
15 for certification. So, it may be a mix. You
16 would know what lab it is from. We would turn
17 over our test data, and you would see
18 everything.

19 You may still have the same
20 opinion about whether it should be used or
21 not, but just to clarify, those are some of
22 the things that would happen.

1 MR. DAUGHERTY: Roger Daugherty.

2 Yes, I guess I would say I don't
3 have such a problem in the motor industry.
4 But from what I have been hearing about the
5 other industries and the way testing is done
6 in laboratories, I guess I was raising an
7 issue for them and not necessarily for the
8 motor industry.

9 MR. LEWIS: I'm confused. If you
10 are going to tell us after the test where it
11 was tested, why couldn't you tell us before
12 the test, put a gag on us, and let us just
13 watch the test? Then, we don't have the
14 variation worries. I mean, what am I missing?

15 MS. BARHYDT: So, we have actually
16 been actively doing enforcement testing. And
17 I can tell you from experience that having
18 people watch a test does not at all impact the
19 challenges to the test. It does not seem to
20 raise the level of comfort with the test.
21 Honestly, it doesn't change anything.

22 So, from the Department's

1 perspective, we are confident that the test is
2 being done in accordance with the DOE test
3 procedures. That is not to say that every
4 last tweak that the manufacturer may have
5 instructed the lab when they had it
6 certification tested was done, but we are
7 confident that the test is being done in
8 accordance with the DOE test procedure.

9 MS. ARMSTRONG: Once we make that
10 finding.

11 MS. BARHYDT: Yes, and that is the
12 other thing, is that if the test is done and
13 we give it to the manufacturer, and the
14 manufacturer finds something wrong with it, we
15 redo the test. This isn't a "well, too bad,
16 it's all over, a done deal; you're just stuck
17 with it." I mean, it is an actual dialog. It
18 is in our interest as well as yours to make
19 sure that all of our decisions are based on
20 valid test data.

21 MR. LORD: Dick Lord with Carrier.

22 One of the things that can help a

1 lot of times is you can allow the manufacturer
2 to be there to commission the unit to make
3 sure it is running properly, then ask them to
4 leave the room during the test. Because a lot
5 of this big commercial equipment is extremely
6 complex, and the average person cannot set it
7 up right.

8 MS. BARHYDT: This is Laura
9 Barhydt, DOE.

10 A real quick follow-up question.
11 Are these pieces of equipment that you require
12 the setup for your own representatives to be
13 present for setup or are these things that
14 third parties may set up as well?

15 MR. LORD: Yes, this is kind of
16 like the statement we had earlier --

17 MS. BARHYDT: That is why I was
18 asking.

19 MR. LORD: -- that we put in our
20 literature, factory commissioning required.

21 MS. BARHYDT: Okay.

22 MR. LORD: And we actually charge

1 the customer for it.

2 MS. BARHYDT: Okay.

3 MR. GARST: Mike Garst with
4 Lennox.

5 I just want to make sure we
6 understand on this. On the plus side, we
7 talked earlier on substantiation, that we
8 wouldn't be concerned about the plus. I think
9 you were going to agree to do that.

10 But on the assessment it says that
11 it has to be within that. So, as long as you
12 agree on the substantiation, then we are good.

13 I just want to make sure.

14 MS. ARMSTRONG: We agree and we
15 can clarify that. We have no problem, rate
16 conservatively all you may want.

17 Anybody else? Anybody else have
18 any comments?

19 (No response.)

20 Okay. So, we had a question from
21 the phone, but I am not sure I can answer it
22 off the top of my head.

1 "But, as an example, if DOE
2 minimums required an energy consumption that
3 is 11 kilowatts per day, if the AEDM indicates
4 that the CRE consumes 9 kilowatts a day, but
5 the published rating by the manufacturer is 10
6 kilowatts a day, what are the implications?"

7 So, we compare the 9 to the 10,
8 right. I think we are good to go. So, the
9 rating is 10. The test data is -- so you are
10 good on the standard because it is 9 to 11,
11 right? So, conservatively rated. You are
12 good and you are conservatively rated. It is
13 fine.

14 Okay. Yes?

15 MR. HON: Would you notify the
16 manufacturer that the rating was higher?
17 Because that may question his validation of
18 his system, if he is that far off on the other
19 direction, that he didn't down-rate the
20 product, but, actually, his model said it
21 would be that way. He may need to know that
22 to consider his modeling to be different.

1 MS. BARHYDT: In the hypothetical,
2 there was no actual test data.

3 MS. ARMSTRONG: The AEDM and --

4 MS. BARHYDT: Oh, okay. I thought
5 that was the standard.

6 MS. ARMSTRONG: Yes, they just
7 said as a simulation and that is certified.
8 So, there was no test data.

9 MS. BARHYDT: Yes, in the
10 hypothetical there wasn't any test data.

11 MS. ARMSTRONG: Generally, I guess
12 it is a question, even if we don't have a
13 ratings issue or a compliance issue, is there
14 general interest to know what the results of
15 any testing the Department does is?

16 MR. HON: Charlie Hon.

17 That is the reason I asked the
18 question, because if it is showing much
19 better, then our models may be wrong. We may
20 need to change our modeling technique.

21 MS. ARMSTRONG: Okay. So, I
22 think, generally, the Department is working

1 toward making available its test data from
2 those things that it has tested that are not
3 subject to ongoing enforcement cases. So,
4 there are plans in the works to make all the
5 test data, whether it is being done for Energy
6 Star assessment, et cetera, available.

7 Yes?

8 MS. MEYERS: So, Ashley, this is
9 Karen Meyers with Rheem.

10 When you say "make the information
11 available," is it available to the
12 manufacturer or to the public?

13 MS. BARHYDT: To the public.

14 MS. MEYERS: This is Karen Meyers
15 with Rheem.

16 I just have one other. It is not
17 on? Yes, it is.

18 So, just listening to the
19 conversations going around today, DOE seems to
20 say rate conservatively, we like you to rate
21 conservatively; you are not going to have any
22 problem if you rate conservatively, where, as

1 a manufacturer, what I want to do is rate
2 accurately. If the DOE regulations are so
3 burdensome that I have to rate conservatively,
4 then to me there is a problem with the DOE
5 regulations. Because I think it should be the
6 purpose in this room between manufacturers and
7 the government to rate accurately.

8 I am just concerned with all of
9 these different comments about how
10 manufacturers are having to do their ratings
11 to meet the burden of DOE. It seems like it
12 is a little -- you know, at the end of the
13 day, it is the consumer or the building owner
14 or someone who is actually getting hurt.

15 And so, it is just a general
16 observation that I think needs to be part of
17 the public record that DOE is forcing
18 manufacturers to rate conservatively, so that
19 there are no issues with this process.

20 MS. BARHYDT: This is Laura
21 Barhydt at DOE.

22 I wouldn't say that we are

1 encouraging conservative ratings. We
2 certainly permit conservative ratings. It is
3 entirely up to manufacturers how they choose
4 to rate.

5 I will say that the certification
6 statistics in the regulations are set up to
7 cause a little bit of a conservative rating,
8 but that has been part of the regulatory
9 process, the whole framework, for decades.

10 So, beyond that little bit that is
11 built into the certification statistics, any
12 additional conservative rating is entirely up
13 to a manufacturer. And we are not advocating
14 or discouraging that practice.

15 MR. DOPPEL: Paul Doppel with
16 Mitsubishi.

17 When you talk about releasing test
18 information, I mean, to what extent are you
19 going to go? Are you going to release the
20 entire testing results? Or is it just certain
21 criteria like where it was compared with the
22 metric? Because, otherwise, I think some

1 manufacturers would object that maybe it is
2 releasing too much information.

3 MS. BARHYDT: Complete test
4 reports.

5 MR. DOPPEL: Complete test
6 reports?

7 MS. BARHYDT: Yes.

8 MR. AMRANE: Karim Amrane, AHRI.

9 I guess, what would be the purpose
10 of releasing the complete test report? I
11 mean, for whom? For the consumer? Who is
12 going to be interested in the complete test
13 report?

14 MS. BARHYDT: So, obviously, there
15 was a federal expenditure of funds for DOE
16 testing, and this is just part of the
17 transparency of the government providing to
18 the public the information paid for by the
19 American taxpayers.

20 MS. MEYERS: Laura, this is Karen
21 Meyers with Rheem.

22 Does that requirement, though --

1 you know, I understand spending government
2 funds and stuff, but why to meet that
3 requirement does it have to include releasing
4 the entire test report? Because I am afraid
5 there could be some CBI information in there.

6 And so, that would be some concern from my
7 part.

8 MS. BARHYDT: The information in
9 the test reports is all information that any
10 party who purchased a unit and paid for
11 testing would be able to have. There is
12 nothing in that test report that we have
13 obtained from the manufacturer.

14 So, everything in the test report
15 is publicly accessible. And the Department
16 has previously taken the position that test
17 reports paid for by the Department are public
18 and do not contain CBI.

19 MS. MEYERS: Where is that
20 information publicly available today?

21 MS. BARHYDT: I am not exactly
22 sure what you mean, but the test procedure is

1 public. And a person could buy a particular
2 unit and they could pay a test lab to do a
3 test, and they would get that same
4 information. There is nothing in that test
5 report that came from the manufacturer.

6 MR. DOPPEL: This is Paul Doppel
7 with Mitsubishi.

8 This is an exception to that. Any
9 manufacturer -- and it is not just VRF
10 manufacturers, ductless manufacturers -- there
11 are several companies that have variable-speed
12 equipment. For like a heat pump with a
13 variable-speed compressor, 10 tests are
14 required, and the compressor frequency is
15 provided by the manufacturer for each of those
16 tests. That would be company-sensitive
17 information.

18 MS. BARHYDT: So, Paul, so far, we
19 haven't tested any VRFs.

20 (Laughter.)

21 MR. DOPPEL: Well, I know, but
22 that is why we are concerned.

1 MS. ARMSTRONG: We would have to
2 contact you. You would know beforehand.

3 MR. DOPPEL: Right. But, still,
4 releasing that information is --

5 MS. ARMSTRONG: That's fair.

6 MS. BARHYDT: No, that is fair.

7 MR. DOPPEL: Okay.

8 MR. LORD: Yes, Dick Lord,
9 Carrier.

10 I mean, a good engineer, if he
11 gets his data, can sit down and reverse-
12 engineer that unit. So, I could take a
13 competitor's unit, look at his test data, and
14 say, okay, this is where he runs that
15 condensing temperature, saturated suction, get
16 all the performance, which I really shouldn't
17 get.

18 MS. ARMSTRONG: Are you really
19 going to make me ask this question? Do you
20 currently test your competitors' products to
21 get that information anyway?

22 MR. LORD: Yes, but now I get it

1 free. Now I get it free from you.

2 (Laughter.)

3 MS. ARMSTRONG: Let's be honest
4 here. You have it anyway.

5 MR. LORD: No, you know, I could
6 see the key metrics that are important to the
7 ratings, but all the test data.

8 MS. MEYERS: Yes, this is Karen
9 Meyers with Rheem again.

10 I think having it on a public
11 website is going to make reverse-engineering
12 paramount. I mean, that is what everyone is
13 going to do.

14 Today, if we have to go out and
15 buy one of those units, bring it into the
16 test, tear it down, do the analysis, it is a
17 much more burdensome deal. So, sure, we
18 constantly test each other's units, but it is
19 way different than having it on a public
20 website, where not only U.S. manufacturers,
21 but foreign manufacturers and everyone else
22 can reverse-engineer the unit.

1 So, for the record, we are going
2 to be totally against releasing the entire
3 test report. And I don't think that it is
4 necessarily required.

5 I agree with Dick Lord; you can
6 release key points of the test data, but the
7 purpose of putting the whole test report out
8 there is just lost on me.

9 MS. ARMSTRONG: Okay. Thank you
10 for those comments. We will take that under
11 advisement, but we have ranged far from the
12 actual topic of this public meeting. So,
13 let's get back to AEDMs.

14 So, we are talking about potential
15 outcomes of assessment testing when the
16 results of the single-unit test indicate that
17 there may be a reason to believe that DOE
18 should undertake an enforcement investigation.

19 And so, this is actually if we
20 would go forward with enforcement testing as
21 if, you know, the same thing, regardless of
22 whether the unit was rated with AEDM or the

1 unit was tested. We would go through our
2 sample size of four for the low-volume, built-
3 to-order products.

4 And then, if the enforcement
5 testing results definitively come out in non-
6 compliance determination, so after all the
7 discussion, after the testing results have
8 been reviewed, after everything, if the
9 definitive determination is made, these would
10 be the repercussions of a unit that was rated
11 with an AEDM that is found to be non-
12 compliant.

13 Obviously, as with everything
14 else, all other models within that basic
15 model, they are deemed non-compliant. That is
16 the same regardless of whether it is tested or
17 an AEDM.

18 If the basic model was one of the
19 ones used to substantiate the AEDM, is found
20 non-compliant, that one can no longer be used
21 for substantiation. It must be redone. You
22 rerate and recertify all basic models as

1 necessary.

2 So, any questions there? Any
3 concerns there?

4 Sure.

5 MR. LORD: Yes, Dick Lord with
6 Carrier.

7 This is where the 30 days may be
8 the issue, like if you have to get another
9 unit. Like, for example, on large unitary, we
10 have a 90-day lead time in the ARI program to
11 get a second sample because it takes that long
12 to build them.

13 MS. BARHYDT: So, obviously, we
14 are proposing 30 days. If you think that that
15 is not sufficient, we welcome those comments.

16 Another possibility would be to
17 specify some normal period of time and
18 specifically say that DOE will work with you.

19 We have some language sort of to that effect
20 in some of the enforcement testing provisions
21 as well. So, we would certainly welcome
22 comments on that.

1 MR. DOPPEL: Paul Doppel from
2 Mitsubishi.

3 Also, if the product comes from
4 Asia, then it will take much longer. It could
5 be 60-plus days to get here.

6 MS. ARMSTRONG: Okay. So, it
7 might be that case-specific language is
8 better, in which case the Department would
9 just work with the manufacturer.

10 MR. FLY: Mark Fly with AAON.

11 MS. ARMSTRONG: Yes?

12 MR. FLY: Now you said, basically,
13 we are going to have to rerate everything
14 within the basic model or the equipment class?

15 MS. ARMSTRONG: So, what this
16 basically says that you would have to do is,
17 if one of your substantiation models is found
18 non-compliant and you bring a new model in,
19 any of the models that were rated using that
20 old, what I call, AEDM, as opposed to the
21 revised AEDM, if the ratings change to be more
22 consumptive or less efficient as a result of

1 any revisions made, you would need to rerate
2 and recertify those.

3 MR. FLY: Okay.

4 MS. ARMSTRONG: Yes. Anyone else?

5 (No response.)

6 Okay. And then, this is just a
7 general DOE proposal to disallow the use of an
8 AEDM following multiple instances of non-
9 compliance or if there is evidence that
10 misrating was willful. So, this is just
11 consistently on a regular basis, you know, the
12 ratings are off coming out of an AEDM. DOE
13 reserves the right to disallow the use of an
14 AEDM altogether.

15 MR. LORD: It is a little open.
16 You know, we like more specific, especially
17 being engineers.

18 (Laughter.)

19 So, 2.5 would be good, you know,
20 or something.

21 MS. ARMSTRONG: Do you have
22 suggestions for specifics that you would like

1 to see?

2 MR. LORD: We will provide some
3 comments. We will think about it, yes.

4 MS. ARMSTRONG: Okay. Thank you.

5 MR. VerSHAW: Yes, this is Jim
6 VerShaw.

7 It is kind of hard to determine
8 the definition of willful. It could just be
9 bad engineering.

10 (Laughter.)

11 MS. BARHYDT: I think when we
12 drafted willful, what we were thinking of was
13 something more along the lines of, we look at
14 your AEDM and it turns out that what came out
15 of your AEDM bears no resemblance to what you
16 actually rated it at.

17 MR. VerSHAW: Yes, yes.

18 MR. BOESENBERG: Can you provide
19 or I guess I would like to have a dialog about
20 the definition of multiple instances? In one
21 of these ones where there is thousands of
22 products being represented, you can have

1 multiple instances, but it is less than 1
2 percent or something like that.

3 MS. ARMSTRONG: I think that gets
4 to his question earlier. We left it open, and
5 if there are specific suggestions about what
6 bounds that range or what the Department
7 should consider, we welcome them.

8 MS. BARHYDT: One other thing I
9 would note is that this is multiple instances
10 of non-compliance, which means that we have
11 gone through this process multiple times,
12 which it is a very long process to get to a
13 finding of non-compliance.

14 And so, if we have gone through
15 this -- I am just throwing out numbers; I have
16 no idea -- but three or four times over
17 months, and possibly even years, and the
18 manufacturer is still not producing an AEDM
19 that can accurately rate its products, I think
20 we would have serious doubts about the ability
21 of that manufacturer to produce an AEDM that
22 could accurately rate the products.

1 MS. ARMSTRONG: I think just an
2 analogy there would be the same thing about
3 doing in-house testing. If we went through
4 multiple rounds where in-house testing has
5 resulted in just wrong ratings over and over
6 again, and we actually found non-compliance,
7 not misrating, but non-compliance out of those
8 multiple times, there may be need for a
9 discussion of moving to third-party laboratory
10 testing solely at that point. So, it is kind
11 of synonymous at that point.

12 Okay. Moving along, I think we
13 already hit this one for the most part. This
14 has to do with the resubstantiation test
15 procedure standard or if you discontinue a
16 model that you used to substantiate your
17 package, but I will put this up again in case
18 anyone has any last questions or comments.

19 MR. AMRANE: I have a question.

20 MS. ARMSTRONG: Sure.

21 MR. AMRANE: This is Karim Amrane,
22 AHRI.

1 I guess with respect to changes to
2 the test procedure, I don't know if it was
3 addressed before, but sometimes test
4 procedures are amended, but then there are not
5 substantial changes made to the test
6 procedure. It could be, I mean, our standards
7 that are referenced by DOE, our AHRI standards
8 are changed all the time. And sometimes that
9 has no impact on the energy efficiency of the
10 product.

11 So, I think we need to be more
12 specific than just say changes in the
13 applicable test procedure. I think that
14 should be substantive changes or changes that
15 affect the energy efficiency of the product,
16 or something like that.

17 MS. ARMSTRONG: Right. We did hit
18 on this a little bit earlier. Well, I am
19 actually going to turn around a question to
20 you.

21 This was when the Department was
22 told they worked at lightning speed. You

1 missed that part.

2 (Laughter.)

3 But I do want to ask you a
4 question. Right now, it just says a change in
5 the federal procedure, not the industry and
6 not the ASHRAE test procedure, just the
7 federal test procedure, recognizing that that
8 usually doesn't happen more than every five to
9 ten years.

10 But a question to you would be,
11 what characteristics -- or to everyone -- what
12 characteristics should the Department consider
13 if it decides to further clarify what that
14 means?

15 MR. AMRANE: I think I stated it.

16 Karim Amrane, AHRI.

17 Again, if it has an impact on the
18 energy efficiency or the energy consumption of
19 the product.

20 MS. ARMSTRONG: Period?

21 MR. AMRANE: Yes. I mean, that is
22 what we are regulating, right?

1 MS. ARMSTRONG: Okay.

2 MR. AMRANE: Yes.

3 MR. DOPPEL: Paul Doppel with
4 Mitsubishi.

5 Go ahead.

6 MR. STANONIK: Frank Stanonik,
7 AHRI.

8 I think it would be something
9 along the lines of if that change affects the
10 ratings of the products to which the AEDM has
11 been applied. And the reason to do that, a
12 little more elaboration here, is I can think
13 of two examples. Okay?

14 Let's say I got a waiver. Okay, I
15 got a waiver and I have worked that into my
16 AEDM already. All right? As we know,
17 sometimes waivers take a long time to get into
18 the test procedure. Okay? Well, once the
19 waiver finally got into the test procedure --
20 in fact, my AEDM already adjusted for it -- it
21 really doesn't need to be changed. Okay?

22 The other circumstance would be

1 you change the test procedure to keep up with
2 technology. And let's talk about, again, gas
3 products. Let's say at some point the test
4 procedure was changed to address products that
5 fire at multiple rates. Okay? Well, that is
6 not all models. Okay?

7 If I had an AEDM that was specific
8 to models that only fired at a single input
9 rate, nothing has changed. So, there is going
10 to have to be some context to explain, to
11 qualify that. It can't just be a
12 straightforward change whenever the test
13 procedure changes.

14 MS. ARMSTRONG: So, before I open
15 up, I just want to make one comment to you.
16 Or maybe it is a question, and then you might
17 want to answer this one.

18 What if we get an instance for
19 which, yes, we have waivers, but in the final
20 rule we change the method? In other words, we
21 decide through that test procedure that the
22 waiver method is not what we are going to use.

1 We are going to use some alternative.

2 In that case, you know, then you
3 don't have that method in your AEDM. Should
4 that require something like that?

5 MR. STANONIK: Frank Stanonik,
6 AHRI.

7 Probably yes, because, again, I
8 think what I initially suggested would be some
9 kind of text that would say if the change
10 affects the rating of products to which the
11 AEDM has been applied. In the situation you
12 have described, I would say it probably will
13 because, otherwise, DOE wouldn't have bothered
14 to change the waiver procedure. So, I think
15 the answer would be yes.

16 MR. DOPPEL: Paul Doppel,
17 Mitsubishi.

18 The wording should be very
19 specific other than just saying it changes the
20 test standard, because I know with a 1230
21 standard we are going to have some
22 administrative changes in that that won't

1 affect the outcome. So, it has to be
2 something that would be substantive within the
3 testing procedure itself that would affect the
4 outcome of the measured criteria.

5 MS. ARMSTRONG: Okay.

6 MR. VerSHAW: Yes, Jim VerShaw.

7 The upcoming change for
8 residential air conditioning pumps, we go
9 regional. If that were the only change, I
10 wouldn't think that would cause a need to
11 resubstantiate because we haven't taken any
12 products off the market. We are still doing
13 the same descriptors. There might be
14 different levels for certain places. You had
15 it in standby power or whatever we call it.

16 There, that wasn't done before.
17 That portion of the AEDM would have to be
18 adjusted and substantiated for that portion of
19 it, but the other part shouldn't have to be
20 touched.

21 MS. ARMSTRONG: Right.

22 MR. VerSHAW: So, you need some

1 kind of language that kind of spells out that
2 type of thing.

3 MS. ARMSTRONG: Okay. Thank you.

4 Sure, Mark.

5 MR. FLY: Yes, Mark Fly with AAON.

6 Now, I mean, most of the test
7 standards that are included in your standards
8 by reference are changed -- Karim, help me --
9 if they are ANSI standards, they change every
10 three years, I think, three or five.

11 MR. AMRANE: Five.

12 MR. FLY: Five. So, every five
13 years, we are going to have the standard
14 change. Now, I mean, a lot of times that
15 standard change is just a reaffirmation or,
16 like several people said, either we clarified
17 something or it is a minor change in there.

18 How does the DOE synch up with
19 these changes in the reference test standards?

20 MS. ARMSTRONG: So, for your
21 products that you are speaking about, the
22 ASHRAE 90.1 products, when ASHRAE 90.1 goes

1 through their process, DOE is, then, triggered
2 to review it. So, we just did this back in
3 April and brought all the test procedures and
4 standards up for the 90.1-2010. So, we have
5 the latest that are with 90.1-2010, but there
6 is some lag time there.

7 MR. FLY: So, it is by reference
8 then?

9 MS. ARMSTRONG: Parts are by
10 reference, yes. So, yes, for the most part.

11 MR. GARST: Mike Garst, Lennox.

12 The one example I can think of in
13 the commercial industry has been going from
14 IPLV to IEER. And I am assuming that would be
15 an example of one that would require that, but
16 I can't think of anything else. That was the
17 only thing for control, but it is still a
18 different number that we put out there.

19 MR. AMRANE: This is Karim Amrane.

20 I have a question not really
21 related to the discussion we are having right
22 now, but it has to do with other descriptors.

1 I mean, the Department of Energy is coming up
2 with additional requirements, let's say, for
3 example, off-mode energy consumption. Is off-
4 mode going to be something that the AEDM could
5 do, for example? Or for the furnace fan, or I
6 don't know, all those things that are coming
7 up.

8 MS. ARMSTRONG: So, as proposed,
9 the AEDM is drafted to be applicable to
10 certain product types. So, for CACs, the
11 answer as proposed off-mode, yes.

12 MR. AMRANE: Okay.

13 MS. ARMSTRONG: For furnace fans,
14 it is no because there is nothing for furnace.

15 MR. AMRANE: There is no AEDM,
16 yes, you're right.

17 MS. ARMSTRONG: There is no such
18 thing as AEDMs for furnaces. But, yes.

19 MR. AMRANE: Okay.

20 MS. ARMSTRONG: Okay?

21 Yes, please.

22 MR. DAUGHERTY: Roger Daugherty,

1 Baldor Electric.

2 I guess it is just a request for
3 some clarification. In the past, I think that
4 the test standards I have heard being referred
5 to at present are the industry test standards
6 as to how to do the tests. In the past, when
7 DOE has used the term "DOE test procedure," it
8 has been in reference to how to determine the
9 average of the sample and make that comparison
10 against either the representative efficiency,
11 being either the standard or against the
12 nameplated efficiency of a motor.

13 Is it real clear what you are
14 meaning here by referring to DOE test
15 procedure?

16 MS. ARMSTRONG: Motors is
17 different.

18 (Laughter.)

19 MR. DAUGHERTY: It also applies to
20 transformers.

21 MS. ARMSTRONG: Right. So, we do
22 mean the actual like, in your case, the IEEE

1 testing protocol and the version specifically
2 incorporated in our regs, not necessarily the
3 comparison. So, that is what we are referring
4 to with respect to motors.

5 I understand that the term rating
6 also has a completely different meaning for
7 motors. I have come to appreciate that over
8 time.

9 (Laughter.)

10 Okay. So, with that, any last
11 questions or comments?

12 While you think of them, I have a
13 question from Craig on the line. It has to do
14 with, for independent coil manufacturers, is
15 "current model" based on the indoor unit or
16 the outdoor unit? And ICM only manufactures
17 the indoor unit.

18 And the answer would be, while you
19 only manufacture the indoor unit, you certify
20 a combination. So, "current" would refer to
21 the combinations that you have certified.

22 MR. VerSHAW: I'm sorry, I've got

1 a question. This is Jim VerShaw.

2 So, if a third-party coil
3 manufacturer doesn't know how to rate
4 appropriately, is that going to get the OEM of
5 the outdoor unit in some kind of hot water
6 that they shouldn't be in?

7 MS. BARHYDT: All of the
8 certifications that the Department receives
9 are certifications from a particular party.
10 And an outdoor unit manufacturer is only
11 responsible for the certifications that they
12 make.

13 MR. VerSHAW: Okay.

14 MS. ARMSTRONG: Yes, please.

15 MR. LORD: I know it is not that
16 we are going to discuss it today, but we still
17 have that issue on the table that, when we add
18 up all of our basic model groups on
19 commercial, we have that 11-trillion-plus
20 number. And where do we start populating a
21 database? And is there another alternative?
22 We have got to work that out.

1 MS. BARHYDT: So, one thing I did
2 want to mention before we adjourn today, the
3 Department is exploring the possibility of a
4 negotiated rulemaking for certification of
5 commercial HVAC, commercial refrigeration
6 equipment, and I believe commercial heat --

7 MS. ARMSTRONG: And water heating.

8 MS. BARHYDT: Water heating, that
9 is the one I forgot.

10 The first phase of that
11 exploration is actually conducted by an
12 independent third party who speaks to
13 interested parties and gets information and
14 then writes a report which is presented to the
15 Department.

16 So, we will be having -- I think
17 they are called the convener -- start
18 contacting parties, hopefully, over the course
19 of the summer. So, various people in the room
20 may be getting a phone call. If he says he is
21 calling about this, you will know what he is
22 talking about.

1 The conversations with the
2 convener are confidential. We only receive
3 the summarized general gist of the views that
4 were presented in the course of those
5 discussions.

6 MR. FLY: Will the basic model
7 group question be resolved before this goes
8 into effect, since it is so deeply ingrained
9 in this whole rulemaking?

10 MS. BARHYDT: This is Laura
11 Barhydt with DOE.

12 One would hope.

13 (Laughter.)

14 MS. ARMSTRONG: Let's go up there,
15 and then we will go down.

16 MR. KLEISS: Thanks. Jeff Kleiss
17 with Lochinvar.

18 Going back to the number of tests
19 that we are required to do, we do a couple of
20 tests to validate the AEDM, and then sample
21 within the different product classes. Each
22 one of those basic models that is tested, is

1 that a single test of a single unit within the
2 basic model or is that the rating for the
3 basic model with statistical -- for those that
4 are listening, they are shaking their heads
5 no.

6 (Laughter.)

7 MS. ARMSTRONG: Okay. So, for
8 substantiation, single model, single test, if
9 you are rating based on testing, at least two
10 or more.

11 Does that make sense?

12 MR. KLEISS: I'm sorry. Say it
13 again?

14 MS. ARMSTRONG: So, for the AEDM
15 substantiation, single model, single test,
16 substantiation requirement. If you are purely
17 basing a model's rating on testing, two or
18 more.

19 MR. KLEISS: Thank you.

20 MS. ARMSTRONG: Sure.

21 Next?

22 MR. LORD: Yes, Dick Lord with

1 Carrier.

2 This brought up the question,
3 which is, if we did elect to rate based on
4 tests, commercial equipment has a 95 percent
5 confidence level; residential has a 90
6 percent. It just doesn't make sense.

7 MS. ARMSTRONG: Thank you.

8 (Laughter.)

9 MR. LORD: Okay.

10 MR. DAUGHERTY: Roger Daugherty,
11 Baldor Electric.

12 I have several items here that
13 haven't been covered yet today from the NOPR.

14 One of them deals with the definition of the
15 AEDM that has been proposed. I have noted
16 that you did delete the definition of AEDM for
17 small electric motors from 431.442, but you
18 left it in for 431.12 for electric motors.

19 And it is important because that
20 definition that was in there for small
21 electric motors and is in for electric motors
22 makes reference to total losses as being one

1 of the criteria for the determination. And
2 that is not in your present definition. So,
3 if you are going to move to a common
4 definition, then we would like total losses to
5 be included in that definition.

6 MS. ARMSTRONG: Okay. Thank you.

7 MR. DAUGHERTY: The next is that,
8 looking at the present version of Part 429 on
9 the website, 429.41 is marked as reserved for
10 electric motors. There is nothing in this
11 NOPR that includes what is to go into that
12 section, the same as there is no section
13 reserved for small electric motors and there
14 is no proposal in the NOPR for small electric
15 motors.

16 I guess I would like to know
17 whether it is the Department's intent to issue
18 a separate NOPR to cover those, so that we
19 have the opportunity to comment prior to a
20 final rule.

21 MS. ARMSTRONG: Yes, sir.

22 MR. DAUGHERTY: Okay.

1 MS. ARMSTRONG: That will be in
2 the certification, compliance, and enforcement
3 rulemaking round two.

4 MR. DAUGHERTY: Even though it
5 deals with AEDM?

6 MS. ARMSTRONG: The AEDM, so any
7 provisions that relate to the AEDM should be
8 dealt with here. Any provisions that relate
9 to certification and enforcement will be dealt
10 with there.

11 MR. DAUGHERTY: Well, what I am
12 talking about is the --

13 MS. ARMSTRONG: I understand.

14 MR. DAUGHERTY: -- part that deals
15 with AEDM.

16 MS. ARMSTRONG: Yes, sir.

17 MR. DAUGHERTY: Okay. And the
18 reason I brought up the issue about new final
19 rules is that the May 4th final rule that just
20 came out for small electric motors revised
21 431.445(b), and this NOPR ends up deleting
22 that and possibly the other parts, because

1 they are not included in text in this NOPR.

2 I wasn't clear whether you were
3 intending to delete all of (b), not
4 recognizing the new parts that were in the new
5 final rule.

6 And the other is that that final
7 rule expanded 431.445(c)(2) that was
8 previously reserved, and it added a Part 3
9 that states the criteria for determining that
10 the test of a sample passes requirements for
11 certification for a basic model. That part is
12 important not necessarily for the AEDM, but it
13 is for certification by testing of the basic
14 model. Yet, this NOPR deletes that.

15 MS. ARMSTRONG: Okay.

16 MR. DAUGHERTY: And so, I don't
17 know what the Department's intent is to try to
18 keep that somewhere.

19 And I believe that is all. Thank
20 you.

21 MS. ARMSTRONG: Thank you.

22 Anyone else?

1 MR. NESHAN: Yes.

2 MS. ARMSTRONG: Yes?

3 MR. NESHAN: This is Massoud
4 Neshan with Southern Store Fixtures.

5 I was going to, as a closing,
6 bring up the basic model definition again and,
7 also, on the AEDM development and the
8 timeline. However, based on what I just
9 heard, that there is possible negotiating
10 approach, at least as far as the commercial
11 refrigeration equipment is concerned, I am
12 going to hold back until we see what is the
13 outcome of that before we discuss this
14 further.

15 Thank you.

16 MS. ARMSTRONG: Charlie?

17 MR. HON: What does that do to the
18 reporting requirements due January 1st?

19 MS. ARMSTRONG: I don't know.

20 MS. BARHYDT: Until the Department
21 modifies the current regulations, the current
22 regulations stand.

1 MR. HON: Thank you.

2 MR. AMRANE: Karim Amrane, AHRI.

3 I guess I understand the comment,
4 but at the same time manufacturers need some
5 certainty. As I said in my opening statement,
6 there is no way that the industry can be ready
7 by January 1st, 2013, at least for the
8 industry that AHRI represents.

9 So, we ask for an 18-month delay,
10 based on the final date of this final rule.
11 And we will, of course, put that in writing in
12 our comments, but I would hope that the DOE
13 would seriously consider that request.

14 Thank you.

15 MS. ARMSTRONG: Okay. And just
16 one more on the line.

17 Can you unmute Aaron?

18 Okay, Aaron, you should be good.

19 MR. MEYERS: All right. Thanks,
20 Ashley.

21 Two general comments regarding the
22 AEDM. The first one is regarding the time to

1 resubstantiate. From our perspective, 30 days
2 is unrealistic, and we would request something
3 closer to like 120 days.

4 The reason being we are not
5 building units specifically for testing and
6 then throwing them away. We are needing to go
7 into our production schedule and check what
8 units are ordered and then build those ahead
9 of time, so that we can put them through the
10 specific DOE test procedures and then still
11 satisfy our customer delivery dates. So, that
12 is the reason for the 120 days versus the 30.

13 And it especially becomes tough on three-
14 phase transformers.

15 The second comment is regarding
16 reducing the testing burden. And
17 specifically, my comment here is regarding
18 testing in the highest-loss configuration
19 versus testing in the as-shipped
20 configuration. So, transformer manufacturers
21 are required by ANSI/IEEE standards to do
22 electrical testing sort of as a quality check

1 before the units leave the factory.

2 And we have an issue because we
3 are unable to correlate the test data that we
4 acquire by doing this ANSI testing to the
5 DOE's standards, and the big disconnect is
6 really in the fact that DOE requires testing
7 in the highest-loss configuration versus the
8 as-shipped configuration.

9 All of the investigation that we
10 have done in the past shows that it is very
11 small, like on the order of maybe 2 or 3
12 percent difference between the two. And I
13 think this topic has come up several times in
14 the past, but it has never quite made it into
15 one of the standards. I am hoping that this
16 time that might be incorporating into the
17 standard.

18 Thank you.

19 MS. ARMSTRONG: Okay. Thank you.

20 Yes?

21 MR. ROBERTS: Carl from Zero Zone.

22 I would agree with what Aaron said

1 about 120 days. We have got some components
2 that have eight- or ten-week lead times. The
3 tests take a long time to set up. So, if
4 there is any retesting, physical testing
5 required, 90 days probably isn't enough.

6 MS. ARMSTRONG: Okay. Any other
7 closing remarks?

8 (No response.)

9 Anyone else on the line?

10 (No response.)

11 No?

12 Sure.

13 MR. LORD: Actually, you know, a
14 positive thing, I think it is a much better
15 proposal. So, I appreciate the listening
16 before --

17 MS. ARMSTRONG: Sure.

18 MR. LORD: -- I think for a lot of
19 us probably.

20 And I like the idea of a
21 negotiated discussion. That would be a good
22 way to get at some of these problems.

1 MS. ARMSTRONG: Okay. Well, with
2 that, we thank everyone for coming today,
3 especially on some of the short notice.

4 Thirty days, the comment period
5 closes July 2nd, I believe. So, we welcome
6 all your comments and questions up until then.

7 And I hope everyone has a safe
8 trip home.

9 Thank you.

10 (Whereupon, at 2:40 p.m., the
11 meeting was adjourned.)

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A	
AAON 2:8 3:12 7:6 14:2 63:1 98:6 113:8 118:8 127:9 211:18 233:10 244:5	actual 19:6 21:7 22:20,22 58:3 72:15 85:11 96:4 96:7 98:20 105:5 105:20 120:8 197:19 217:17 221:2 230:12 247:22
Aaron 143:12 145:15 258:17,18 260:22	AC/heat 57:2
ABB 8:12	Adam 2:6 8:8
ability 60:11 61:18 88:17 180:5 236:20	adapted 158:10
able 69:1 88:17 109:17 150:8 194:16,22 197:13 198:16 226:11	add 16:2 28:5,15 58:19 66:13 70:6 82:11 91:13 92:20 94:7 107:12 130:10 140:10,22 166:17 185:6 190:22 249:17
absolute 144:6 207:6	added 58:11,13 59:22 159:1 256:8
Absolutely 155:10	adding 48:21 142:3
AC 29:5	addition 23:9
accept 136:10	additional 17:15,18 20:9 38:1 39:21 62:7 71:12 91:13 111:1 210:22 211:7 214:19 224:12 246:2
acceptable 126:12	address 183:15 241:4
accepted 201:15	addressed 11:18 16:12,20 24:1 153:19 154:6 238:3
accessible 226:15	adjourn 250:2
accommodate 215:3	adjourned 262:11
account 18:9 22:6 88:14 90:2 141:20 141:22	adjust 119:12,13
accreditation 96:21 97:9 175:20	adjusted 240:20 243:18
accredited 96:18 176:1	adjustment 93:21
accurate 198:17	adjustments 158:14,18
accurately 152:1 223:2,7 236:19,22	administrative 242:22
ACEEE 3:18 17:2 30:7 54:9 63:17 90:12 135:17	adopt 120:12
achieve 199:10	adopted 27:11,12 105:15
acknowledging 169:10	advance 15:17
acquire 260:4	advantage 42:4 109:18
ACs 25:7 45:1	advisement 230:11
active 72:3	advocate 41:12
actively 216:16	advocating 34:2 83:10 172:12 224:13
	AEDM 12:7,16 17:12 20:15,17,19 21:17,22 23:18,20 24:4,7 25:19 29:1 29:10 30:10 32:1 32:7,11,14,21,22 33:8,18 36:19,20 37:8,14,15 41:21 52:13,17 53:7,10 55:3 58:11,15,16 58:18,21,22 59:3 61:19 62:10,12 63:6,10 64:3,10 64:15,16 65:14 67:1 70:19 71:12 71:18 72:2,6,10 72:12 76:4,7,9 77:4,11,20 79:7 79:18 85:6 88:13 88:19 89:11,12,13 90:1 91:12,15 92:18 97:15 102:2 103:11,14,21 104:3,7 106:5,16 108:16,17 110:4 111:2 112:5 115:3 115:8,9 116:9,14 117:3 118:2 119:14 121:11 122:11,15 125:11 126:2,21 127:2,13 127:21,21 128:2 131:6,11,12,19 132:7,12 133:3,11 133:17 134:1,5,11 134:18 135:9,15 136:18 137:13 138:1,22 139:2 140:17 141:4
	142:12,18,19 145:4 146:9 148:2 148:4 150:7 154:3 156:2,6 157:1,3 157:15,19 159:17 163:1 166:13,17 167:4,22 169:11 170:14 172:5 173:11 175:9 176:9 180:5 181:2 182:5,7,11,15,18 185:2,7 186:1,8 186:21 187:1,3,17 191:8,17 193:16 196:16,18 201:8 203:10 204:9,12 204:20 205:10 209:3 210:19,21 211:2,19 212:2,11 213:7,9 215:1,8 220:3 221:3 230:22 231:11,17 231:19 233:20,21 234:8,12,14 235:14,15 236:18 236:21 240:10,16 240:20 241:7 242:3,11 243:17 246:4,9,15 251:20 252:14 253:15,16 255:5,6,7,15 256:12 257:7 258:22
	AEDMs 10:18 12:6 18:22 19:4 20:1 23:6 28:16,17,18 35:6,8,14 36:15 46:2 48:20 49:11 50:16 51:3,18 57:13 60:2,12 61:1,19 62:11 64:7 65:8 76:15 92:3 114:15 141:15 157:20 159:19 177:7 180:21 182:13 230:13 246:18
	AEDM's 54:15
	affect 14:16,17 43:14 86:22 238:15 243:1,3
	affiliation 6:4 7:21 11:5
	afford 15:18
	afraid 226:4
	agenda 3:5 10:12
	aggregate 43:12
	aggressive 34:12
	ago 23:16 29:20 41:9,17 139:10
	agree 78:15 90:8 148:11,16 160:19 167:10,16 173:5 190:17 219:9,12 219:14 230:5 260:22
	ahead 11:3,9 17:1 20:12 26:17 54:8 69:6 90:21 93:3,3 94:6 140:12 141:8 163:12 170:4 175:14 180:6 188:8 199:15 210:15 240:5 259:8
	AHRI 3:7 8:3,5 11:11 12:13 17:8 17:19 29:4 50:13 52:11 53:2 69:7 80:20 81:17 83:4 103:7 114:2 120:3 161:19 170:6 172:16 177:19 184:15 195:12 225:8 237:22 238:7 239:16 240:7 242:6 258:2 258:8
	air 6:15 11:14 23:11 24:11 26:7 48:19 51:11 54:22 60:1,3 73:13,15 73:17 74:6 80:13 81:22 82:6 83:16

86:16 87:15 107:8 137:5 243:8 airfare 15:20 airflow 82:1 137:8 Air-Conditioning 2:2,16 air-cooled 44:10 45:5 163:2 air-source 113:14 113:17 akin 53:7 Alex 2:4 3:13 7:8 15:7 algorithm 21:9,10 22:19 54:16 algorithms 30:14 76:14 alike 154:7 allow 11:1 24:10 53:14 58:1,2,3 64:14 67:16 81:9 218:1 allowable 202:7 allowed 23:12 39:16 49:11 53:9 94:19 allows 19:4 36:20 77:5 89:11 alluded 112:14 alter 176:15 alternative 1:6 5:8 242:1 249:21 alternatives 75:4 alters 176:19 altogether 234:14 aluminum 141:22 ambient 86:4 amended 36:5 61:11 238:4 American 2:12,15 3:9 6:18 7:2 12:21 225:19 amount 41:5 80:8 87:1 93:21 195:4 207:14 amounts 151:8 Amrane 2:2 3:7	6:15,15 11:10,11 24:14,19 25:5,17 38:8,9 50:13,13 52:11,11 69:7,7 69:15 83:11,11 93:7 153:17 154:13 161:19,19 162:1,4,7 177:19 177:19 185:15,15 185:21 186:11,14 210:16,16 225:8,8 237:19,21,21 239:15,16,21 240:2 244:11 245:19,19 246:12 246:15,19 258:2,2 analogous 125:21 analogy 237:2 analysis 61:7 99:5 99:15,19 229:16 and-forth 198:9 Aniruddh 8:3 annual 53:16 142:16 167:3 169:16,18 ANSI 244:9 260:4 ANSI/IEEE 259:21 answer 26:22 29:16 31:18 51:13 66:1 66:6 67:9,14 89:2 92:12 101:12 110:18 112:6 140:5 141:12 145:17,20 159:18 170:17 171:15 212:10 219:21 241:17 242:15 246:11 248:18 answering 20:7 anybody 11:7,9 13:3 14:1 17:22 32:3 153:16 167:10 196:9 219:17,17 anymore 210:1 anyone's 121:5 206:3	anytime 95:2 anyway 20:3 228:21 229:4 apart 81:10 apologize 56:10,19 121:4 appeal 195:8 Appliance 2:6 8:9 appliances 98:16 applicability 36:20 49:8 51:2 55:13 58:7 64:17 65:3 115:8 116:6 applicable 37:9 102:19 103:21 119:5,11,12 122:12 131:19 136:17,18,19 171:10 194:5 238:13 246:9 applications 56:4 174:10,11 applied 37:9 85:7 103:11,15 148:4 203:6,6 240:11 242:11 applies 125:4 247:19 apply 58:22 60:2 102:2 108:15 124:3,8 133:15 141:13 159:5 167:19 169:15 172:4 177:12 179:18 appreciate 15:14 18:5 60:11 103:8 248:7 261:15 approach 64:2 140:17,20 257:10 appropriate 93:19 113:3 161:13 189:8 appropriately 249:4 approval 35:6 202:18	approved 29:19 35:22 approving 50:16 approximately 200:21 April 245:3 arduous 174:12 area 34:19 152:11 ARI 87:16 232:10 ARM 19:19 24:4,9 24:15 26:14 27:1 27:1 57:2,3 123:8 137:13 158:16 ARMs 19:22 23:12 24:20,21 25:3 28:19 29:6,18 33:5 35:6,9,14 42:16 48:20 160:9 Armstrong 1:15 2:2 3:2,5 4:6,9,12 4:15 5:3,5 6:11,11 7:17 9:11 12:19 13:2,22 15:6 16:6 17:1,22 18:13,20 20:6 21:2 22:12 24:18 25:1,13,21 26:9,11,17,21 28:3,6 30:17,20 32:8 33:11,22 34:2,20 36:9 37:16,22 38:19 40:4 41:11,18 42:1,11,20 43:11 44:6,12,15,17,20 45:1,5,9,12 47:21 48:4,8,17 49:2,5 50:12,17,22 52:19 52:22 54:8 55:10 59:15,20 60:5 61:2 63:11 64:11 65:18 69:5,16 71:5,7,15,20 73:4 73:9 74:22 75:15 76:19 77:1 78:10 79:1,3 80:15 83:8 83:21 84:18,22 89:1,18 90:4,9,19	91:6,17,19 92:1 93:1 94:6,22 95:5 95:11,18 96:8 98:4,14 99:10,21 101:7 104:11,16 104:19 106:6 107:1,4,14,21 108:4,11,20,22 109:6 110:7,10,13 110:16,20 111:22 112:6,12,20,22 113:6 114:4 115:4 117:6 118:14,18 119:16 121:8,19 124:5,15 125:6,17 128:8,15 129:18 129:21 130:16,22 135:16 138:2 140:12 141:7 143:1,10 145:14 145:19 148:7,10 149:5,8,12,15,21 150:2,11 154:11 154:18 156:17 158:4 159:13,20 160:10,21 161:8 161:18,22 162:3,6 162:9,16,19 163:7 166:3 167:14,18 168:17,20 170:21 172:6 173:13 175:3,13 177:18 179:21 180:6,14 183:18,22 184:3 185:19 186:5,12 186:15,22 187:10 188:2 189:16,18 189:21 192:2,15 192:18 193:3,14 196:20 197:3 198:20 199:3,11 200:10 204:15 208:5,18,21 209:11,13,20 210:2,7 211:13 212:17 213:10 214:6,13 215:11
---	--	--	--	--

217:9 219:14	assemble 152:22	B	214:1,7 216:15	140:10 143:17
221:3,6,11,21	asserts 30:13	b 2:13 72:8 256:3	217:11 218:8,9,17	144:1,10,11,13,18
228:1,5,18 229:3	assessment 49:19	back 7:18 20:15	218:21 219:2	145:22 146:2,20
230:9 233:6,11,15	180:11,12,15,20	21:7 23:15 40:19	221:1,4,9 222:13	147:3 154:2,5,15
234:4,21 235:4	184:6,9,18 219:10	46:16 48:15 49:6	223:20,21 225:3,7	154:17,22 155:19
236:3 237:1,20	222:6 230:15	51:1,14 63:18	225:14 226:8,21	172:3 173:20,21
238:17 239:20	Association 2:5 7:9	75:8,10,14,16	227:18 228:6	174:19 200:19
240:1 241:14	8:16 9:2	76:5 83:22 93:7	232:13 235:11	201:6 231:14,18
243:5,21 244:3,20	assume 59:6 161:4	97:21 101:2 106:3	236:8 249:7 250:1	231:22 233:14
245:9 246:8,13,17	162:7	108:13 116:21	250:8 251:10,11	249:18 251:6,22
246:20 247:16,21	assuming 27:10,12	124:13 129:1	257:20	252:2,3 256:11,13
249:14 250:7	28:12 35:7 59:12	133:8 137:5 139:7	Barry 75:20,21,22	257:6
251:14 252:7,14	128:4 245:14	142:13,15 163:22	base 120:17 141:2	basically 18:22
252:20 253:7	as-shipped 259:19	164:9 166:4 174:3	151:7	26:14 27:7 32:6
254:6,21 255:1,6	260:8	186:6,17 187:12	based 13:15 24:21	46:12 47:9 87:19
255:13,16 256:15	atmospherically...	192:18 194:10	27:1 29:21 30:3	88:2 92:10 113:10
256:21 257:2,16	155:14	195:10 196:19	57:4,17 65:6	173:17 197:21
257:19 258:15	attending 5:11	197:5,6 198:8,22	85:13 98:10 100:7	198:5 233:12,16
260:19 261:6,17	attributable 94:2	200:16 209:11	100:9 110:8 126:5	basing 252:17
262:1	attributes 43:14	214:16 230:13	127:7 129:9 138:6	basis 53:16 66:17
articulate 121:20	audio 15:12	245:2 251:18	145:6 147:21	66:20 83:15 116:1
Ashley 1:14 2:2 3:2	audit 198:16	257:12	201:1,21 202:2,20	152:15 166:11
3:5 4:6,9,12,15	auditing 182:16	background 20:12	215:2 217:19	167:3 169:16
5:5 6:11 19:16	audits 169:11	23:5 97:11	248:15 252:9	174:6 186:2
22:12 25:21 26:3	Australia 68:11	back-breaking	253:3 257:8	197:19 198:15
29:5 31:5 37:2,19	authority 69:9	67:3	258:10	234:11
53:3 54:10 64:12	175:11	bad 217:15 235:9	bases 151:9	batch 31:10
71:6,22 78:8,13	automatic 51:5	balance 35:5 55:2	basic 13:14,15,19	Bathrooms 10:3
95:12 110:20	automatically 55:7	63:6 86:14 210:8	13:21 14:4,13	Bauman 197:1,2,4
115:5 128:22	available 31:2	balances 197:11	15:3,5 16:3 19:3	197:7
162:18 172:6	57:13 86:2 161:12	Baldor 2:7 4:3 8:12	27:5 33:6,16	bear 179:19
180:17 199:2	222:1,6,11,11	20:14 45:15 58:9	36:11,16,18,22	bears 235:15
222:8 258:20	226:20	69:18 84:2 96:1	37:8,12,13 38:5	becoming 69:19
ASHRAE 40:14	Avenue 1:13	104:22 131:2	40:2,3 41:14	befuddled 174:8
44:9 59:22 81:18	average 59:1 89:17	141:11 146:12	45:17,19 46:1	behave 136:5
107:9 120:3,10	91:11 92:16 94:17	175:16 200:13	47:8,15,16 57:6	believe 19:8 40:11
239:6 244:22,22	96:10 127:22	214:15 247:1	58:14 63:2 65:9	59:21 60:20 61:17
Asia 233:4	202:21 204:3	253:11	66:19 70:5,11,17	89:14 175:2
asked 136:17 143:8	218:6 247:9	bankrupt 31:13	78:19 97:14	188:19 207:3,7
207:22 221:17	aware 170:7 174:17	bar 18:11	101:19 102:7,12	230:17 250:6
asking 21:19 30:8	Awareness 2:7 8:9	Barhydt 2:3 6:8,8	103:12,14,15	256:19 262:5
38:13 52:13 74:16	A-F-T-E-R-N-O...	29:14,15 69:13	107:11 114:12	Beloit 8:20
89:14 91:8 110:17	166:1	122:16,17 123:7	115:20 116:12,20	benefit 23:17 63:13
114:13 169:22	a.m 1:14 5:2 75:13	124:4 132:19,20	121:11,13,15,16	BERRY 76:22
186:6 213:8,10	75:14	188:8 191:11	122:20 123:15	best 137:6 164:6
218:18	A.O 42:13 48:14	206:15 207:1,17	129:12,16,20	better 10:22 74:7
aspect 137:20	147:9	208:2 213:19	131:8 137:9	115:17 184:2

205:7 212:14,21 215:7 221:19 233:8 261:14 beverage 51:6 beyond 39:18 72:16 88:16 136:5 224:10 big 18:6 87:22 127:12 193:20 196:13 209:4 218:5 260:5 bigger 73:21 186:20 212:1 biggest 160:14 BIL 144:5,19 Bill 9:1 bit 10:1 20:8 21:5 35:15 44:2 45:16 83:3 89:5 91:7 96:11 158:19 171:15 191:15 224:7,10 238:18 black 30:12 32:3 black-box 76:12 blatant 174:16 blind 198:15 blow 153:7 blower 80:6 board 24:6 115:9 171:11 body 175:12 Boesenberg 2:4 3:13 7:8,8 15:7,7 235:18 boiler 109:21 112:3 155:13 159:6 161:11 boilers 42:16 48:22 51:22 53:6,13,13 53:15,22 54:7 55:20,22 109:3,4 109:11,12,15,19 125:1,2 147:17 148:13,14 155:12 155:17,20 bookends 159:7 books 167:7	bothered 242:13 bottom 10:5 37:5 146:18 183:13 209:16 bought 15:22 bound 115:7 140:7 bounding 116:4 bounds 140:6 236:6 box 30:12 32:3 57:15 82:16 boxes 81:19 82:4 break 63:12 69:14 73:5 75:7 76:2 108:7 114:7 163:13,21 167:7 bridges 109:9 bridging 113:1 brief 9:17 10:12 bring 70:21 94:8 137:5 158:13 182:2 229:15 233:18 257:6 brings 158:19 broad 64:17 152:16 157:11,20 broadly-applicable 64:10,15,16 broke 46:11 brought 86:18 163:11 166:8 245:3 253:2 255:18 Brunk 2:5 9:3,3 BTP 2:2 btu's 44:10 build 158:17 209:14 232:12 259:8 building 1:3,13,15 223:13 259:5 built 41:1 77:11 116:1 153:4,14 197:21 209:10 224:11 231:2 bulb 81:21 bunch 56:7 140:21	burden 19:9 38:18 42:2,4 52:16 54:19 116:11,17 117:4 223:11 259:16 burdens 31:17 burdensome 223:3 229:17 business 156:11 175:19 213:7 buy 152:21 227:1 229:15 buying 145:7 <hr/> C <hr/> C 206:16 cabinet 86:5 114:20,22 CAC 123:8 CACs 51:11 79:16 246:10 cafeteria 164:2,4 166:5 calculate 131:21 calculated 134:13 calculating 22:1 142:2 calculation 21:9 106:1 158:9 calculations 105:19 106:10,15 134:12 142:4 calibrate 88:12 90:1 calibrated 87:19 88:4 161:15 196:6 calibration 136:6 California 174:19 178:21 198:4 call 3:2 18:14 64:2 126:5 157:1 166:12,16 170:13 171:4 172:22 180:22 233:20 243:15 250:20 called 5:19 45:18 46:14 250:17	calling 250:21 capabilities 50:16 capacity 27:5,6 102:7,9,10 115:20 115:22 123:15 130:8 capacity-related 43:13 capital 151:9 care 142:18 Carl 2:14 3:19 7:12 18:3 50:7 65:4 86:19 119:1 159:14 162:12 179:22 260:21 Carrier 2:12 3:16 7:16 16:9 50:1 62:18 140:14 157:9 161:1 183:12 217:21 228:9 232:6 253:1 carrying 177:14 case 2:6 9:7,7 38:17 53:5,12,15,21 66:21 100:19 104:3 109:2 116:15,16,16 156:6 159:15 192:3 204:7 208:11 233:8 237:17 242:2 247:22 cases 66:17 74:18 114:14 120:9 129:10 222:3 case-specific 233:7 cast-iron 53:9 catalog 66:18 categories 173:22 category 38:17 39:16 125:16 152:10 cause 14:22 224:7 243:10 causes 120:15 causing 56:20 114:16	caution 177:8 187:11 cautioning 179:13 caveat 189:19 CBI 226:5,18 center 89:21 centered 193:7 central 23:11 24:11 25:7 51:11 54:22 certain 38:6,10 55:22 56:2 78:3 93:20 139:17 142:15 159:16 169:15 171:12 174:10,10 176:17 224:20 243:14 246:10 certainly 53:11 54:4 64:1 103:8 123:21 198:9 224:2 232:21 certainty 258:5 certification 11:21 12:15 31:11 36:17 125:21,22 175:22 176:3,8 177:4,6 178:3,4 183:16 184:7 186:7 201:22 215:15 217:6 224:5,11 250:4 255:2,9 256:11,13 certifications 249:8 249:9,11 certified 92:4 157:16 184:14 187:13 188:13 192:5 199:7 201:9 202:9 203:5 204:22 205:2,6 210:11 214:20 221:7 248:21 certifies 203:7 certify 19:5 28:21 174:6 203:11 248:19 certifying 52:6
--	--	---	---	--

202:19	169:17 170:10	circumstances	129:17 130:1,5	come 20:9 29:11
cetera 33:1 222:6	192:19 206:2,4	127:6	147:18 148:5,13	36:10 61:10,18
CFR 100:9 186:17	238:1,5,12,14,14	claimed 197:22	148:14 251:21	75:8,10 82:16
challenged 31:11	241:13 242:19,22	claiming 198:5	classification 52:2	86:6 99:6,8 146:8
challenges 216:19	244:19	clarification 13:13	78:20 129:20	150:17 151:18
challenging 115:22	changing 120:6	16:4 22:9 34:5	130:14,15	152:5 155:2
chamber-to-cha...	123:19 137:20	62:7 247:3	classifications	160:14 169:17
87:17	179:17 200:7	clarified 244:16	40:22 78:19 129:8	174:3 176:6
chance 89:5	209:3	clarify 24:12 44:1	129:15	179:11 185:8
change 15:3 28:19	characteristics	71:21 83:9 84:3	classified 19:18	191:13 194:10
47:19 55:20 65:21	38:7 117:22 118:1	85:3 106:8 118:22	59:10 109:4	205:11 213:2
71:17,18 72:12	176:16 239:11,12	132:21 215:21	clause 124:10	231:5 248:7
84:16 85:12	characterization	219:15 239:13	clear 14:14 47:3	260:13
100:21 101:6	52:2 160:4	clarifying 145:18	50:5 75:2,3	comes 46:18 49:17
102:20,21 105:10	characterize 42:18	class 16:4,5 27:4	136:14 149:10	80:3 212:13 233:3
106:2 123:1	characters 14:8,8	37:11,17,21 38:4	178:7 180:9 184:8	comfort 216:20
125:15 127:6	charge 93:19	38:6 40:16 41:13	204:16 205:22	comfortable
131:20,21,22	218:22	41:15 42:14 44:3	210:19 247:13	160:13
132:9 133:1	charged 93:13	47:4,11,13 48:3	256:2	coming 116:21
134:14,15 135:3,4	187:9	56:9,10,14,15	clearly 6:6 178:19	168:12,12,13
135:5,5,11 137:15	CHARLES 2:10	61:10,10 62:22	climb 31:12	175:8 187:22
137:21 138:9,11	Charlie 7:14 40:20	64:4,19,20 65:11	close 86:16 128:5	190:20 205:2,17
138:13,14 140:9	85:18 168:18,19	65:12,15 70:11	191:13	205:18 234:12
141:20 143:18	173:14,15 178:20	101:20 102:8	closed 117:17	246:1,6 262:2
159:17 192:10,22	221:16 257:16	107:12,16 108:18	closely 78:11	comment 23:21
193:18 194:3	Charlie's 177:21	110:4,6 114:13,18	closer 82:22 259:3	38:2 48:9,11
216:21 221:20	CHBs 79:16	118:11,12,15,16	closes 262:5	55:11 56:22 78:14
233:21 239:4	cheat 174:15	122:19,22 123:4,5	closest 126:7	80:12 93:10 100:4
240:9 241:1,12,20	cheated 42:8	123:12,13,13,16	closing 257:5 261:7	119:3 139:6
242:9,14 243:7,9	check 60:6 169:7	123:18 124:17	code 31:10 32:2	179:13,15 241:15
244:9,14,15,17	198:16 259:7,22	129:13 130:3,10	codified 100:8,18	254:19 258:3
changed 84:11 85:9	checking 150:15,16	130:16,17,17	coefficients 140:19	259:15,17 262:4
132:6 133:2 141:2	172:21	136:3,13,16	Coffee 10:4	commented 139:18
142:14 153:12	checks 171:22	233:14	coil 192:13 248:14	comments 4:7,10
193:20 199:20	197:11	classes 17:10 38:12	249:2	4:13,16 10:20
200:9 238:8	cherry-pick 74:19	38:15,22 39:15,20	coils 74:3 137:7	11:8 35:4,21 49:7
240:21 241:4,9	chime 9:18 138:3	40:1,5 43:9,19	153:4 168:13	51:16,17,21 52:12
244:8	choice 157:6	45:18,19 46:5,8	column 37:6	55:15,16 58:6
changes 23:19	choices 159:18	46:13,15,19,22	146:18 183:14	62:4 74:20 84:8
27:19 36:18 84:6	choose 62:14 224:3	47:20 55:8 60:14	combination 33:7	84:14,22 98:21
84:7,10,20 105:18	chooses 64:6	60:15,20 61:4	33:16 36:21 57:5	101:14 103:4
105:19 120:15,21	chose 103:11	62:4,5,13 63:19	158:14 248:20	150:22 163:9
122:4 126:14	Christensen 2:6	64:1 70:9 71:2	combinations	181:16 183:4
132:12 133:18	8:8,9	76:15 101:21	14:10 29:2 33:21	184:5 219:18
134:15 138:7	circumstance	102:1 107:8,9	176:15 248:21	223:9 230:10
141:22 142:19,21	103:20 155:21	108:2,14 117:15	combustion 109:13	232:15,22 235:3
144:9 168:9	240:22	118:20 123:10	111:7,8,10,11,16	237:18 248:11

258:12,21 262:6	comparing 87:16	comprehensive	conducted 96:22	236:7 239:12
commerce 67:21	comparison 97:15	198:18	97:6 250:11	258:13
commercial 11:20	247:9 248:3	compressor 74:3	conducting 200:17	consideration
13:10 20:3 23:7,7	comparisons	82:19 137:7 153:1	confidence 18:8	132:8 197:17
38:11,14,21 40:7	184:16	227:13,14	88:21 128:11	considered 48:21
40:18 44:9 45:10	competition 151:18	compressors 82:16	176:21 253:5	55:14 77:7 94:3
51:4,8 56:3,14,15	competitors 30:16	82:17 140:4 153:2	confident 92:20	95:3 197:9
56:18 59:8,13	94:14 174:15	168:11 179:16	217:1,7	considering 61:6
60:1,2 66:15	228:20	computer 18:22	confidential 251:2	consistent 24:16
79:15 85:21 94:10	competitor's	57:8,9 168:7	configuration 70:4	153:12,13 211:9
94:21 107:8 109:3	228:13	212:5,8	144:18,20 259:18	211:12
109:3,10,19,20,22	complete 14:7	concept 131:6	259:20 260:7,8	consistently 234:11
117:11 125:4	153:3,4 225:3,5	172:3 203:3	configurations	constant 153:9
130:18 147:17	225:10,12	concern 17:3 20:4	39:10	174:13
148:14 156:21	completed 12:3	31:5,14 49:16	confirm 195:11	constantly 119:6
159:15 160:20	151:4 174:2	54:10 87:22	Confirmed 48:4	120:5 124:12
184:13 218:5	completely 248:6	139:15 202:16	confirms 30:13	229:18
245:13 249:19	complex 66:2 94:10	212:4,6 226:6	confused 45:17	constantly-chang...
250:5,5,6 253:4	218:6	concerned 29:18	216:9	122:9
257:10	compliance 12:14	29:20 35:20 219:8	confusing 140:15	construction 109:9
commission 218:2	25:2,14 27:20	223:8 227:22	204:14	consultation
commissioning	29:12 30:13 50:10	257:11	confusion 56:20	203:15
94:15 95:2 218:20	65:9 120:13	concerns 9:15	78:17 84:4 118:19	Consulting 2:5,11
committees 120:3,4	131:13 135:8	127:10 177:9	130:21	2:17 8:22 9:4,6
120:4	184:10 204:10,11	211:19 232:3	conjunction 96:20	consume 152:6
common 40:8	204:13,13 208:13	conclusion 146:15	connected 93:16	consumer 212:20
202:3 254:3	221:13 231:6	condensing 77:10	cons 170:1	223:13 225:11
communicate 5:15	234:9 255:2	77:13,19 78:1	conservation 43:2	consumes 220:4
compact 74:9	compliance/enfo...	155:17,20 228:15	102:18 162:1	consumption 15:4
companies 179:2,2	183:16	condensing-gas	conservative 89:12	198:2 220:2
227:11	compliant 27:8	136:20	91:5,16 92:6	239:18 246:3
company 2:10,13	231:12	conditioner 54:22	224:1,2,7,12	consumptive 194:8
6:4 7:21 11:5	complicated 40:15	73:18	conservatively 83:5	233:22
14:5 103:13 118:4	compliment 119:21	conditioners 23:11	88:11,12 89:22	contact 187:15
118:6 144:1	comply 11:21 12:4	24:12 51:12 74:6	212:13,19 219:16	207:11,18 228:2
155:13,22 170:11	25:7,11 65:17	83:17	220:11,12 222:20	contacting 250:18
173:12	131:15	conditioning 6:16	222:21,22 223:3	contain 226:18
company-sensitive	component 63:5	11:15 26:7 48:19	223:18	contained 85:22
227:16	197:18 198:10	60:1,3 73:14,15	consider 23:19	contaminate 215:7
comparable 22:21	componentry	80:14 81:22 86:17	25:14 27:21 42:22	contemplate 77:3
compare 58:15	152:22	87:15 137:5 243:8	51:14 52:3,4 75:4	context 36:15
72:15 210:20	components 57:17	conditions 16:14	76:14 77:16 78:3	151:22 241:10
220:7	58:2 63:3,7	20:19 21:15,18	94:20 120:19	Continental 197:7
compared 80:14	134:16,17 152:20	39:10 67:19 76:3	127:5 131:4	continual 142:17
155:2 184:11	153:5 179:16	114:16 143:22	149:17 151:7	continually 173:10
224:21	197:20 198:13	199:20	170:2 177:14	173:10
compares 129:3	261:1	conduct 184:9	183:6 220:22	continuation 146:4

continue 51:8 133:10,20,22 135:2 145:4 146:9	correctly 107:10 108:16 147:19 187:8,9 189:4	creating 175:20	damper 81:19	247:19 253:10,10 254:7,22 255:4,11 255:14,17 256:16
continued 4:1,2 126:20	correlate 260:3	criteria 27:2 28:13 29:11 146:22,22 147:3 160:2 192:21 198:12 202:18 224:21 243:4 254:1 256:9	Danfoss 2:18 7:5 68:17 80:11 93:5 124:7 139:14	David 2:6 8:13 9:7
continuing 142:12	correlation 32:6 126:7	critical 13:20	data 10:20 28:5,9 29:21 30:14 32:7 32:10 35:10 41:20 41:21 58:15 71:13 76:15,17 89:20 98:12 102:14,15 106:14 110:5 111:16 112:2,4 119:4,10,14 127:16,18 128:6 133:3 149:10 153:11 161:11,16 162:5 182:13 185:2 186:7 187:17 190:7,9 196:11 205:13 213:16 214:22 215:8,10,17 217:20 220:9 221:2,8,10 222:1 222:5 228:11,13 229:7 230:6 260:3	day 157:19 174:15 196:1 199:19 220:3,4,6 223:13
continuous 170:13	cost 34:18	cross-check 59:21 61:8	41:21 58:15 71:13 76:15,17 89:20 98:12 102:14,15 106:14 110:5 111:16 112:2,4 119:4,10,14 127:16,18 128:6 133:3 149:10 153:11 161:11,16 162:5 182:13 185:2 186:7 187:17 190:7,9 196:11 205:13 213:16 214:22 215:8,10,17 217:20 220:9 221:2,8,10 222:1 222:5 228:11,13 229:7 230:6 260:3	days 15:18 109:16 185:2 207:14 209:10 213:14 214:8,9 232:7,14 233:5 259:1,3,12 261:1,5 262:4
contrast 54:11	costly 67:4	CSA 177:3	153:11 161:11,16 162:5 182:13 185:2 186:7 187:17 190:7,9 196:11 205:13 213:16 214:22 215:8,10,17 217:20 220:9 221:2,8,10 222:1 222:5 228:11,13 229:7 230:6 260:3	deal 15:5 61:12 69:1 97:10 193:20 194:1 217:16 229:17
contribute 179:16	Council 2:15 6:19	curious 99:5	database 249:21	dealing 53:15 83:2 147:17
control 151:17 153:1,3,3,5 176:5 203:1 245:17	Counsel 2:4,6,14 6:10 9:8,10	current 27:9,9 30:5 57:1 67:19 71:14 86:4 102:16 119:15 123:8 139:1 166:7,20 167:21 170:19 171:17 183:1 248:15,20 257:21 257:21	dataset 136:6	deals 201:7 253:14 255:5,14
controllable 93:14	count 108:6	currently 23:5,13 28:17,18 29:18 48:18 52:8 79:8 137:10 148:12 170:22 180:21 228:20	date 11:19 12:1,5 12:14,16 18:19 25:2,3,14 27:20 120:13 258:10	dealt 255:8,9
controls 152:20 153:6	countries 68:9	curves 158:15	dates 259:11	Debra 2:5 9:3
convened 1:11	couple 65:7 74:4 99:22 105:1 109:16,16 121:2 137:7 196:21 251:19	custom 55:17	Daugherty 2:7 4:3 8:11,11 20:13,13 21:20,20 45:14,14 58:8,8 69:17,17 84:1,1,21 85:2 95:22,22 96:9 100:5 104:21,21 106:21 107:3 131:1,1 134:3,4 141:10,10 146:11 146:11 172:8 175:15,15 200:12 200:12 214:14,14 216:1,1 246:22,22	decades 224:9
convener 250:17 251:2	covered 14:20 48:20 56:12,13 70:5,18 110:2 253:13	customer 68:21 145:7 219:1 259:11	decide 211:4,22 241:21	decades 224:9
convention 24:5	covering 58:19	customers 67:5 156:14	decides 239:13	decide 211:4,22 241:21
conventions 24:3	Craig 3:22 19:12 19:17 20:10 36:12 57:12 199:1,4 248:13	customization 54:13	decision 188:21 189:4	decisions 217:19
conversation 21:5	Craig's 24:8	custom-built 51:18 52:1	decision 188:21 189:4	decrease 34:22
conversations 10:3 222:19 251:1	crank 200:5	cut 139:8	deemed 231:15	decrease 34:22
cooled 45:6 107:9	CRE 86:21 116:9 181:12 220:4	cutoff 69:3 207:6	deeper 93:8	decrease 34:22
cooler 51:6 57:14	create 14:11 96:21 156:5	C-O-N-T-E-N-T-S 3:1 4:1	deeper 93:8	decrease 34:22
coolers 57:15,16 60:13 147:13	created 97:8 105:7 177:1	C-1 96:4	deeply 251:8	decrease 34:22
cooling 161:7			defect 187:6	decrease 34:22
coolings 192:14			defend 168:15	decrease 34:22
Cooperative 8:16			define 14:13 15:2 39:20 42:18 43:15 50:4 70:8	decrease 34:22
copper 141:21			defined 20:20 39:14,18,22 40:1 40:7 43:13 60:16 82:4 116:20 117:15 176:13 188:21	decrease 34:22
core 141:17,19			defining 40:3 115:2	decrease 34:22
Corey 2:17 9:5			definitely 55:11	decrease 34:22
Corporation 2:9,12 7:11 8:18 31:21 203:20				decrease 34:22
correct 26:9 30:18 37:16 38:7 44:12 44:15,16,17 45:9 59:15 91:17,20 93:1 95:18 108:19 108:20 109:6 111:22 124:5 130:17 161:8 186:9 189:8,16 192:15 193:3 213:11				decrease 34:22

162:9,10 188:15 definition 13:12,14 13:18 14:4,14 20:15 21:6,8 32:6 33:8 36:11,16,18 37:20 42:21 45:22 46:17 47:3,15,18 60:14 110:5 116:12 235:8,20 253:14,16,20 254:2,4,5 257:6 Definitions 4:5 definitive 189:22 190:11 231:9 definitively 231:5 degree 54:12 175:11 delay 35:22 258:9 delete 253:16 256:3 deleted 19:20 deletes 58:18 256:14 deleting 255:21 delivery 259:11 Department 1:1,12 1:15 3:3,6 4:6,9 4:12,15 6:9,12 9:10 11:22 15:10 23:19 27:21 28:21 30:11 31:1,2 32:18 49:9 51:17 61:17 75:1 77:16 79:8 149:17 154:20 166:14 169:14 170:2 174:17 175:9 181:14 183:6 204:21 205:3 206:8 207:2,7 221:15,22 226:15 226:17 233:8 236:6 238:21 239:12 246:1 249:8 250:3,15 257:20 Department's 9:13 10:22 51:20 138:5	205:15 216:22 254:17 256:17 depending 14:12 44:18 82:3 157:5 157:20 158:19 168:2 depends 26:22 139:12 141:3 deploying 140:1 derate 191:8 derated 187:3 derived 19:8 described 242:12 describes 21:8 59:2 describing 182:13 descriptor 21:11 descriptors 243:13 245:22 design 66:20,21 67:17 74:9 114:18 131:12 158:8,22 159:17 171:20 192:8 designed 16:13 132:4 designers 17:7 designing 116:15 132:4 157:10 designs 47:15 details 32:18 determination 1:6 5:8 190:1,12 192:4 210:9,13 214:9,12 231:6,9 254:1 determine 22:2,7 96:22 105:21 110:4 134:12 141:18 172:20 173:7,9 203:4 235:7 247:8 determined 126:10 189:13 determines 188:12 determining 256:9 develop 25:18 60:22 151:9	developed 63:5 174:20 developing 67:1 155:17 development 257:7 device 14:20 dialog 135:18 187:16 217:17 235:19 Dick 2:12 3:16 7:16 16:8 49:22 62:18 64:1 140:13 157:9 160:22 183:11 217:21 228:8 230:5 232:5 252:22 difference 15:21 44:21 47:7 56:9 73:22 85:16 139:19 200:2 260:12 differences 152:18 168:2 203:16 different 14:12,17 22:8,19 33:13 39:6,9,10,15 41:2 43:2,3 45:2 47:10 47:14 48:5 53:20 57:18,19,20 64:2 64:4 66:17 91:8 102:5 106:11,12 108:17 109:14 111:2,21 114:16 115:16 117:13,14 117:14 120:15,16 123:8 129:2,6,7,8 129:15 130:5 133:15 138:14,15 138:19 142:2 147:18,22 148:4,5 149:17 152:8 153:17,18 154:2 157:1,4,14 159:6 159:8,19 169:22 173:22 176:11,14 190:11 196:4 201:3 203:3,6	205:5,20 208:8,15 210:14 215:4 220:22 223:9 229:19 243:14 245:18 247:17 248:6 251:21 differently 96:14 difficult 65:17 83:7 97:20 152:4 170:17 digging 80:20 dimensions 93:9 direction 18:6 38:3 200:6 220:19 dirty 174:22 177:21 178:15,17 179:3 197:9 disagree 178:13 disallow 234:7,13 disconnect 260:5 discontinue 122:13 237:15 discontinued 72:2 72:9 77:10,18,19 discontinuity 114:1 discounted 201:14 discouraging 224:14 discover 211:21 discretion 61:20 62:2,12,20 64:14 77:6 110:22 169:6 172:14 discuss 5:7 32:16 63:9 78:21 249:16 257:13 discussed 67:8 116:10 197:15 discussing 157:18 206:14 discussion 10:19 11:1 78:15 96:3 187:19 189:9 192:12 193:6 213:4 231:7 237:9 245:21 261:21 discussions 190:2,8	214:2 251:5 display 66:17 disproportionate... 54:2 disqualify 138:1 distinction 208:6 distribution 23:8 51:9 102:4 105:2 105:6 124:2 135:12 143:21 docket 85:1 document 50:3 184:2 documentation 161:14 182:11,12 183:20 213:9 documented 50:4 178:22 DOE 2:2,3,6,14 4:14 9:7 11:12 12:15 13:13,16 15:14 20:18 22:4 22:13,22 23:14 24:3 25:7,13 28:8 28:15 29:8,15 30:15 34:13,17 35:10,17 49:18 50:15 52:12 56:18 59:22 64:5 69:8,9 71:22 76:14 77:4 79:13 81:8 83:15 84:5 85:9,11 95:12 98:8 99:6 105:14 110:21 113:10 114:3 119:5,11,12 120:8 120:11 122:17 132:20 136:9 144:6 166:5 172:7 180:18 182:10 183:14 184:8 186:1,2 188:12,18 189:12,22 197:16 199:9 201:4,14 202:8 203:15 207:7,8 211:4 217:2,8 218:9
--	---	---	---	---

220:1 222:19 223:2,4,11,17,21 225:15 230:17 232:18 234:7,12 238:7 242:13 244:18 245:1 247:7,7,14 251:11 258:12 259:10 260:6 DOE's 21:11 23:5 170:19 172:19 185:20 260:5 DOE-initiated 181:7 185:3 DOE-supplied 214:21 doing 18:11 46:3 57:8 60:22 66:4 71:2 74:15 80:4 81:2 112:9 117:1 141:6 151:15 160:15 167:2 179:7 198:15 216:16 237:3 243:12 260:4 door 87:4 153:15 213:2 doors 57:21 87:3,4 Doppel 2:8 3:20 18:15,15 59:16,16 60:4 71:6,8,8,16 175:4,4 193:4,4 195:3,6 214:10 224:15,15 225:5 227:6,6,21 228:3 228:7 233:1,1 240:3,3 242:16,16 doubts 236:20 Doug 2:14 9:9 downside 211:16 downstairs 164:2 down-rate 220:19 draft 15:11 drafted 38:19 235:12 246:9 drift 26:20 driven 128:3	driving 34:14 35:2 drop 14:22 31:11 145:9 drops 137:22 ductless 227:10 due 15:21 120:2 214:19 257:18 duplicate 34:18 duplicative 17:14 dynamic 168:5 dynamics 154:12 D.C 1:14 <hr/> E <hr/> earlier 23:10 24:8 86:18 105:16 110:9 136:17 166:9 172:9 182:5 183:2 186:17 195:18 218:16 219:7 236:4 238:18 earth 145:10 easier 10:1 155:6 easy 74:17 economizer 14:19 Economy 2:15 6:19 EER 208:10,11 EERE 2:2 effect 41:10 72:5 82:10 132:7 133:9 145:5,12 146:8 232:19 251:8 effective 11:19 12:5 12:14 18:19 84:7 effectively 133:9 efficiencies 86:13 110:1,3 199:9 efficiency 1:3,6 5:8 16:19 19:7 21:10 22:3,16 85:8,10 85:14,17 100:7,11 100:14,16,18,22 101:3 109:12,13 109:20,22 131:13 132:1,5,11 134:8 134:15,19,22	135:9,13 136:7 138:10 140:8 141:16 142:20 143:19 144:5,8,21 172:4 176:16 179:17 200:18,22 201:22 204:4 238:9,15 239:18 247:10,12 efficient 6:19 90:16 152:12 185:9 194:7 233:22 effort 17:14 42:10 66:5,22 efforts 15:14 eight 46:7 147:22 148:5 150:8 163:17 261:2 EISA 135:1 either 43:13 72:8 89:11 98:18 117:16 120:2 125:9 126:15 127:2 156:20 157:2 159:10 170:15 171:11 244:16 247:10,11 elaboration 240:12 elect 253:3 electric 2:7,8 4:3 8:12,16 20:14 22:1,2 23:9 43:5,7 45:15,20 46:6,11 46:18,19 47:1,2,4 47:5,17,17 51:5 51:10,11 58:9,10 58:20 59:4,9,10 59:17 63:19 69:18 69:22,22 70:3,7 70:10,12,13,15,15 70:22,22 71:9 74:11 84:2 85:5,5 85:12,13 96:1,5,6 96:13,13 97:16,16 100:5,6 104:22 105:4,5,8 131:2,5 131:19 136:18	141:11,14,14 142:9,10,16 146:12 175:16 177:13,13 200:13 200:16 202:1 214:15 247:1 253:11,17,18,21 253:21 254:10,13 254:14 255:20 electrical 2:4 7:9 9:2 86:3 259:22 eliminate 34:10 eliminated 59:4 135:11 eliminating 33:20 50:9 elimination 140:9 else's 57:9 151:13 Energy 8:14 emphasized 32:4 employ 138:17 enclosures 57:14 encompass 150:8 encompassing 152:17 encourage 9:16 10:19 39:11 55:4 70:13 88:8 132:14 181:15,20 182:1 encouraging 224:1 ends 255:21 energy 1:1,3,3,12 1:15 3:3,6 4:6,9 4:12,15 6:9,12,19 9:10 11:22 14:16 14:18 15:1,3 16:15,16 18:10 19:7 39:15 43:2 43:15 68:3 80:3 102:17 104:17 152:7 174:18 197:16 198:1 220:2 222:5 238:9 238:15 239:18,18 246:1,3 Energy-Efficient 2:15	enforcement 34:12 35:1 95:20 174:13 184:7 202:15,17 202:22 203:5,12 203:14 207:9,11 207:20 208:14 216:16 222:3 230:18,20 231:4 232:20 255:2,9 engine 73:16 137:9 engineer 228:10,12 engineering 19:2 21:9 22:18 168:9 235:9 engineers 234:17 ensure 90:2 enter 67:21 entire 62:13 68:5 204:9 224:20 226:4 230:2 entirely 224:3,12 entropy 86:14 entry 31:17 EPA 197:15 EPAAct 96:16 134:20 equal 53:13 129:20 equating 129:14 equation 22:18 equipment 11:16 11:16,20 13:11 19:6 23:7,8,13,16 30:11 38:21,22 39:17 40:5,16 41:13,15 44:3 45:19 51:4,9,15 51:19 52:6 56:9 56:13,15 59:8,9 59:11,14 60:2,3 61:10 62:5 65:11 65:15 66:15,20 67:11,18 71:3 73:22 78:20 79:15 79:16 83:5 85:21 86:13 88:4,12 93:11,12,15 94:3 94:10,11,13,18,21
---	--	--	---	---

96:17 98:13,17 113:20 117:5,12 117:15 119:8 128:5 129:8,15,22 130:3,5,10,14,17 152:22 156:20 157:10 159:16 184:13 200:2,15 218:5,11 227:12 233:14 250:6 253:4 257:11 equivalent 130:14 error 37:4,4 82:3 195:2 especially 14:5 19:22 76:12 82:18 91:2 127:11 193:8 234:16 259:13 262:3 essentially 29:8 81:6 establish 201:4 established 68:10 68:10 128:10,12 128:17 establishment 105:7 estimation 146:5 estimation-type 56:5 et 33:1 222:6 Europeans 68:9 evaluate 184:10 evaluation 198:18 evap 45:6 everybody 14:6 15:9 19:15 30:2 162:8 everyone's 30:4 evidence 234:9 evolve 17:13 evolving 155:22 exact 106:10 exactly 21:3 25:9 29:16 32:18 38:4 50:4 57:10 91:19 112:7 147:8	192:15 212:18 226:21 examined 203:4 example 14:6 16:14 43:4 54:21 63:18 68:11 69:9 94:5 101:2 105:11 111:8 117:9 118:3 118:5 125:11 136:13 138:16 143:20 147:12,12 147:16 148:19 150:7,18 155:12 161:6,21 191:21 192:3 195:9 220:1 232:9 245:12,15 246:3,5 examples 240:13 exceed 66:4 104:15 exceeds 46:20 exception 96:6 181:11 227:8 excess 41:3 exchanger 137:16 exchangers 82:6 140:3 exclude 55:7 exempt 68:13 exercise 78:16,18 205:8 existed 105:17 existence 113:16 existing 55:21 98:19 102:17 146:4 exists 44:4,5 116:13 expand 51:3 52:13 100:4 203:21 expanded 26:6 146:3 256:7 expanding 35:13 35:14 51:14 expansive 104:4 expect 168:6 expected 102:12 121:14 145:22 expended 151:8	expenditure 225:15 expense 15:19 experience 216:17 explain 65:19 164:6 241:10 explained 58:1 172:9 explanation 44:8 93:2 exploration 250:11 exploring 250:3 express 17:3 expressed 177:9 extent 78:2 106:2 224:18 external 200:1 extra 112:19 extrapolate 55:4 136:5 extrapolation 56:4 136:12 139:16,21 140:6,9 141:13 142:5 extreme 80:8 extremely 13:19 97:20 218:5	factory 93:12 94:15 95:2 198:15 218:20 260:1 factory-sealed 93:13 fail 190:17 195:9 failed 190:17 192:5 198:7 fails 188:12 192:4 193:15 199:6 failure 184:19 fair 160:3 162:13 228:5,6 fairly 73:19 faith 50:18 fall 52:1 88:19 114:22 falling 192:22 falls 89:20 110:6 127:18 206:18 familiar 42:17 173:1 families 147:22 150:9,13 family 74:14 109:8 148:3 149:11 159:8,12 fan 14:18,22 168:12 246:5 fans 74:4 80:6 246:13 far 55:16 65:16 77:9 81:10 83:1 98:15 141:15 151:1 152:11 197:16 220:18 227:18 230:11 257:10 fast-moving 119:18 favor 62:19 167:19 features 43:13 140:22 federal 21:1 37:5 44:4,5 71:10 102:17 109:4 173:8 225:15 239:5,7	feed 106:16 feedback 9:13 10:10 feel 9:18 14:1 39:8 58:4 92:20 147:13 176:4,10 field 31:15 93:15 95:3 102:8 162:8 179:6 field-charged 93:16 94:5 field-connected 94:4 field-topoff 93:17 Fifteen 107:14 figure 16:21 22:3 96:4 filter 14:17 final 10:22 27:12 45:20 58:12,13 61:9 69:21 84:5,9 84:13,14,15,17,19 105:13,14 106:22 120:12 131:17 176:19 213:20,21 214:9,12 241:19 254:20 255:18,19 256:5,6 258:10,10 finalized 12:9,17 finally 31:10 240:19 find 59:2 79:21 99:15 105:3 115:2 158:18 196:15 finding 202:8 203:17 213:20,22 217:10 236:13 finds 217:14 fine 28:14 31:6 104:9 138:4 186:14 220:13 fined 179:2 fine-tune 105:21 finish 26:17 fire 241:5 fired 241:8 first 33:4 61:2 73:8
F				
		face 24:3 145:10 153:8 Facilitator 2:2 facilities 41:4 97:3 202:13 facility 87:11 96:19 97:4 176:1 196:7 201:19 202:3,6 215:3,4,9 facility-to-facility 81:4 fact 81:16 82:2 86:1 87:3 99:16 132:6 168:11 170:11 189:1,7 240:20 260:6 factor 92:21 97:1 192:13 factors 140:21		

75:19 77:2,5 84:4	63:1,1 87:14	233:17 237:6	gaps 113:2	Glatt 2:9 7:10,10
89:7 109:1 119:16	89:16,19 92:8	foundation 116:21	Garst 2:9 3:14 6:13	31:20,20 203:19
123:12 130:1	98:2,6,6 113:8,8	four 12:10 46:13	6:13 16:2 49:14	203:19
139:9 155:18	118:8,8,17 127:9	80:4 96:10 129:16	49:15 90:22,22	go 6:2 10:3 11:2,6,9
164:5 187:14	127:9 128:13	163:16 231:2	167:15 180:7,7	13:4 17:1 20:12
196:17 207:5	196:5 211:14,18	236:16	219:3,3 245:11,11	26:17 27:10 28:18
250:10 258:22	213:5 233:10,10	framework 224:9	Gary 8:19	31:12 33:8 39:17
first-day 196:2	233:12 234:3	Frank 2:16 8:4	gas 43:6,7 63:20	40:17,19 49:5
fit 114:21 156:16	244:5,5,12 245:7	29:3 53:1 55:19	74:11 241:2	54:8 69:6 72:9
163:1 173:21	251:6	103:5,6 112:13	gather 9:13	74:5,14 75:19
177:12	folks 206:11,12	125:8,18 150:3	general 2:3,6,14	76:20 77:9 83:21
fits 152:6,9	follow 47:22 134:4	170:4,5 172:15	6:10 9:8,10 21:8	84:14 85:1 88:21
five 27:4 39:19 43:8	143:2 146:17	188:8 240:6 242:5	49:12,13 78:14	90:5,21 93:3,3
47:14 64:21,22	147:7 158:16	free 9:18 14:1 39:8	79:4 178:7 221:14	94:6 97:20 106:18
70:16 72:6 73:19	200:14 206:12	229:1,1	223:15 234:7	108:7 125:8
81:20 82:8,9 91:9	followed 122:12	freely 5:21 39:9	251:3 258:21	126:21 129:1
92:11,14,15 97:13	following 14:3	freezer 51:7	generally 21:6 35:6	138:4 139:7
101:11,19,21,22	234:8	freezers 57:17	118:19 159:22	140:12 141:8,9
102:1,3 103:12,13	followup 65:19	60:13	204:17 221:11,22	142:13,14 143:2
103:16,21 107:19	follow-on 150:12	frequency 182:7,22	generate 15:4	149:13 150:19,19
107:22 108:2	172:8	183:6 227:14	112:2,4	158:15 163:12
127:22 129:11	follow-up 168:21	frequency-type	generated 161:15	164:4,5 170:4
132:22 141:18,19	218:10	183:5	generator 31:9	171:5 174:2
142:2 148:1,19,22	footing 30:2	frequently 123:1	gentlemen 178:19	175:14 176:19
148:22 149:1,13	forbid 206:12	fresh 93:8	getting 26:20 35:5	180:6 186:17
150:18 154:1,2,16	force 140:8	friendly 213:3	35:10,22 45:16	188:8 190:6
155:19 156:5	forcing 223:17	front 12:8	75:15 88:6 110:11	192:18 194:16
163:16 169:20	foregoing 75:12	fuel 43:14	115:12 188:13	196:15 199:15
185:6 186:22	164:7	full 6:3 140:18	197:6 206:9	200:6 205:13
190:18,19 191:4	foreign 229:21	157:11 181:2	223:14 250:20	210:12,15 211:15
201:6,10 202:1,5	foresaw 43:20	fully 89:6 170:7	gist 251:3	220:8 224:19
204:2 239:8	forget 171:17	full-load 100:11	give 16:14 43:4	229:14 230:20
244:10,11,12,12	forgot 194:4 250:9	fundamentally	66:8 96:11 117:8	231:1 240:5 243:8
fix 193:22,22	forgotten 192:13	30:10 137:14	143:20 175:17	251:14,15 254:11
fixes 192:16	formal 169:9	158:21	180:4 184:21	259:6
Fixtures 2:13 3:10	172:10	funds 225:15 226:2	185:4 187:3	God 124:13 206:11
8:2 13:7 39:13	formalized 169:13	furnace 246:5,13	191:20 212:5	goes 15:12 48:15
66:12 116:8 257:4	Forrestal 1:12	246:14	217:13	66:22 72:5 97:11
flexibility 140:11	forth 89:8	furnaces 246:18	given 66:22 123:4	106:8 108:13
156:13 158:1	Fortran 158:11	further 44:8	132:8 138:18	125:12,13 137:10
floor 11:3 52:9	forum 10:18 11:1	183:15 239:13	146:22 175:11	142:5 144:9 145:5
62:16 103:4 117:2	forward 15:11	257:14	gives 42:4 158:2	145:11 182:14
163:12 164:5	126:21 177:15	<hr/>	giving 15:17 17:15	195:15 244:22
167:2 198:22	230:20	G	173:20	251:7
flow 87:8	found 81:17 82:2	gag 216:12	glad 54:13	going 5:13 6:1 9:22
Fly 2:8 3:11 7:6,6	85:3 86:16 135:18	game 41:8	glass 87:2,3,4	11:2 12:11 15:2,4
14:2,2 37:2 44:1	200:1 231:11,19	gap 109:9	152:10	16:18 25:10 26:15

27:16 32:9 35:16 37:13 38:13,18 40:6,18 42:9 46:10 49:3 63:3,9 64:18 65:1,16,18 67:11 75:6,7,19 76:5,20 77:2 79:6 80:12 82:6 88:1 89:2,2 90:6,7 92:11,20 94:8 99:13 101:2,17 106:3 109:1 110:12 116:14,17 117:3,8 125:14,15 127:12,20 131:4 138:1,11,13,17 139:22 140:21 141:4,8 143:2 145:19,20 147:11 151:12,16,21 154:19 155:8,19 156:10,18 157:17 157:21 160:14 161:16 163:14 166:6 167:1 168:9 168:15 171:14 172:22 173:9,20 174:22 175:18 179:8 183:20 184:17 190:18 191:9 192:20 195:1 197:5 200:16 202:15 206:22 208:10 212:9,14,20 213:2 213:6 216:10 219:9 222:19,21 224:19,19 225:12 228:19 229:11,13 230:1 233:13 238:19 241:9,22 242:1,21 244:13 245:13 246:4 249:4,16 251:18 254:3 257:5,12 gold 212:15 good 5:3 16:14	19:14 27:10 37:18 37:20 45:22 50:9 53:17 65:22 94:9 104:20 106:7 118:6 126:11 143:6 152:2 154:11 160:2 166:4 175:18 176:5 182:17 191:2,6,12 197:3 197:10 210:17 211:5,5 219:12 220:8,10,12 228:10 234:19 258:18 261:21 Goodman 8:14 gotten 53:4 62:3 142:8 government 151:17 188:6 223:7 225:17 226:1 grandfathered 29:13 grandfathering 29:8 granted 29:19 156:10 great 28:7 97:10 120:2 171:3 184:1 212:20 greater 31:17 100:12 ground 10:7 160:6 181:17 196:19 grounds 90:14 group 8:12 44:3 86:12,12 105:11 251:7 grouped 113:10 groups 34:14 78:20 148:6 198:13 249:18 guess 21:21 24:14 38:10 42:15 51:19 66:8 69:8 83:12 95:15 96:9 108:14 114:13 123:20	138:5 148:22 154:18 155:5 160:7 163:19 172:12 175:17 177:20 181:15 186:16 188:9 210:4,17 211:5 216:2,6 221:11 225:9 235:19 238:1 247:2 254:16 258:3 guessing 184:4 guilty 194:22 guy 94:17 guys 10:19 52:5 62:9 87:15 141:4 163:13 184:14 215:14 <hr/> H <hr/> H 2:7 half 73:19 153:20 194:5 207:4 hand 5:18 54:15 Handbook 97:8 handle 16:22 Hang 121:1 happen 83:2 88:15 150:15 187:18 215:22 239:8 happened 178:22 198:4 204:7 happens 187:8 192:6 happy 21:4 189:11 212:19 hard 87:6,8 127:20 171:21 235:7 hardest 74:9 Harmon 2:12 3:8 7:2 12:20 Harvey 2:15 3:17 6:18 17:2,18 30:7 31:4 54:8,9 63:16 63:17 90:12 135:16,17 138:2 139:7	head 108:6 111:10 117:7 118:10 219:22 heads 252:4 hear 13:16 25:15 43:20 51:21 78:9 78:10 heard 119:17 247:4 257:9 hearing 136:1,9 144:16 181:10 189:9 216:4 heat 14:20 23:12 24:12 26:7 45:2 48:3,19 51:12 73:14,17 74:11,11 82:6 87:4 113:14 113:14,17 137:16 140:2,18 161:6 163:3,4 193:8 227:12 250:6 Heatcraft 2:17 8:7 60:10 heater 136:19 heaters 42:16 43:5 43:9 48:22 51:22 53:19 63:20,20 64:9 heating 2:2,16 6:16 11:15,15 23:8 44:18 51:9 60:1,3 73:14 161:7 250:7 250:8 heavy-duty 158:9 Hello 121:9 Helmuth 2:9 7:10 31:20 203:19 help 10:21 17:15 23:3 65:20 116:14 131:3 132:17 217:22 244:8 helpful 25:15 52:17 88:22 137:1 hey 78:13 185:13 213:3 hi 75:21 78:8 121:8 128:22 143:7	199:2,3 high 113:13 136:7 199:22 200:6 higher 115:14 131:15 134:8 196:8 200:21 220:16 higher-efficiency 145:8 highest 27:6 33:7 33:15,21 57:4 102:8,11,13 107:16,17 118:15 118:16 121:18 122:2,3,18,22 123:2,11,14,17 124:10,17,19 125:2,14 131:8 134:10 137:12 143:22 144:22 145:1 146:1,6 148:20 158:13 174:4 175:11 highest-loss 259:18 260:7 highest-volume 121:11,13,15 123:5 143:16 144:13 148:3 highly 32:4 highly-customized 66:16 high-performance 191:16 high-side 91:4 hinted 16:11 history 29:12 97:21 200:16 hit 237:13 238:17 hold 10:2 173:11 257:12 hole 31:12 Holt 8:15,15 home 262:8 homework 42:5 Hon 2:10 7:14,14 40:20,20 41:16,22
--	---	---	---	--

42:3 85:18,18 150:21 168:5,19 168:19 173:15,15 178:18,20 199:16 220:15 221:16,16 257:17 258:1	hypothetical 221:1 221:10	imposing 31:16 improved 81:14 improvement 124:21 140:8 inadequacies 81:18 inappropriate 178:14 inches 141:17,19 incidents 178:21 include 26:6 187:22 194:2 226:3 included 59:19 136:7 202:14 244:7 254:5 256:1 includes 51:4 254:11 including 23:20 51:10 55:12 101:19 129:12 inconceivable 70:12 incorporate 185:14 215:1 incorporated 7:7 193:21 248:2 incorporating 260:16 incorrect 189:14 increase 15:1 34:21 35:17 42:2,3 Independence 1:13 independent 113:17 176:3 177:3 248:14 250:12 indicate 90:16 154:20 230:16 indicates 220:3 individual 79:14,17 89:3 105:22 159:11 indoor 248:15,17 248:19 induction 47:6 136:3,15 industrial 59:10,13	industries 31:8 216:5 industry 34:14 152:3 157:5 161:11 171:4 174:21 175:1 177:21,22 178:2 178:15,17 197:9 197:10 216:3,8 239:5 245:13 247:5 258:6,8 inference 30:9 infers 37:12 inform 10:22 information 17:4,6 17:9,16 23:15 31:1,3 35:18 38:3 58:19 60:19 61:3 70:21 91:3 96:12 97:22 98:3,8,10 111:1 123:22 151:9 222:10 224:18 225:2,18 226:5,8,9,20 227:4,17 228:4,21 250:13 informed 98:18 Ingersoll 2:18 6:21 7:1 33:3 50:20 73:13 79:22 80:19 95:7 137:3 158:6 186:19 ingrained 251:8 inherent 56:1,4 80:13 inherently 126:19 170:12 initially 155:18 242:8 initiative 17:8 innocent 194:21 innovation 54:19 55:5 67:5 input 212:6 241:8 inside 20:16 86:5 173:11 inspector 198:14	198:14 instance 100:10 114:19 146:19 241:18 instances 234:8 235:20 236:1,9 instantaneous 43:7 43:8 Institute 2:3,16 6:17 instructed 217:5 instructive 135:20 instrumentation 81:13 195:15 instruments 161:15 insulated 55:1 intact 56:6 169:4 intended 22:10 intending 256:3 intends 183:14 intent 20:21 71:19 85:11 254:17 256:17 intention 34:21 38:20 60:8 120:22 intents 106:9 166:18 interest 64:6 199:10 217:18 221:14 interested 27:19 62:6 171:18 225:12 250:13 interesting 79:22 85:4 intermediate 159:10 internal 171:20 173:12 199:22 internally 192:12 International 2:9 3:15 6:14 interoffice 154:12 interpolation 55:21 159:9 interpreting 212:11
honest 229:3 Honestly 12:2 216:21 honesty 214:7 Hootman 2:10 6:22 6:22 44:7,7,13,16 44:19,22 45:3,7 45:11,13 90:7,10 107:6,6,19 108:3 108:9 114:10 160:19 162:18,20 162:20 173:3,4 hope 37:4 136:22 166:4 251:12 258:12 262:7 hopefully 75:3 197:8 250:18 hoping 37:10 260:15 horsepower 47:7,8 47:13 hot 148:14 249:5 hotels 15:20 hour 153:21 163:18 164:1 hours 82:21 163:20 163:20 house 55:1 household 98:16 Hoyt 9:1,1 huge 151:8 172:18 174:5 human 195:2 humidity 87:9 hundreds 151:4,8 hurt 223:14 HVAC 23:7 44:9 51:8 79:15 80:2 98:13 107:8 113:9 181:11 250:5 HVAC-type 86:13	icemakers 51:5 ICM 19:18 57:3 76:6,10 77:11,15 248:16 ICMs 20:1 36:12 57:3 76:2,16 77:9 78:4 91:2 idea 30:1 37:15 55:17 61:16 69:4 70:10 103:8 115:11,18 133:16 141:13 143:2 152:5 167:2 173:20 182:17 236:16 261:20 ideas 39:5 170:1 181:19,20 182:1 IEC 120:4 IEEE 22:7 97:7 105:11 247:22 IEER 245:14 imagine 108:5 168:1 171:21 immediately 206:20 impact 216:18 238:9 239:17 impacts 148:15 implement 22:18 implementation 18:19 implication 71:17 implications 220:6 implicitly 136:9 imply 72:11 import 68:12 important 11:14 14:4 54:13 91:2 114:8 146:14 202:13 229:6 253:19 256:12 impose 14:21 imposed 147:2,7			

interval 18:8
introduce 7:20
introduced 46:13
 146:2
introductions 3:4
 6:2
invalidate 196:18
investigation
 230:18 260:9
involved 108:18
 142:5
involves 188:14
in-house 237:3,4
IPLV 245:14
ISO 86:12 88:2
issue 11:17 16:3
 40:2 60:13 74:12
 80:21 114:18
 135:10,14 142:18
 160:14 187:5
 194:13 198:10
 200:14 201:8
 216:7 221:13,13
 232:8 249:17
 254:17 255:18
 260:2
issued 23:14 51:16
issues 9:15 23:22
 38:1 69:2 83:1
 86:18 120:12
 158:18 160:16
 198:3 223:19
issuing 11:12
item 105:9 119:4
items 10:17 105:1
 147:8 253:12
iterations 168:13

J

JAMES 2:18
January 12:2,11
 60:17 257:18
 258:7
Jeff 2:11 42:12
 48:13 147:9 159:3
 197:1,7 251:16
Jeff's 155:12

Jill 2:10 6:22 44:7
 107:5,6 114:9
 162:20 173:3
 209:8
Jim 6:20 33:2
 73:12 79:22 80:18
 86:9 95:6 137:2
 158:5 186:18
 194:11,14 195:16
 206:6 208:17
 235:5 243:6 249:1
John 8:15
judgmentally 30:8
July 58:12 262:5
jump 128:18
JUNE 1:9
justification 126:5

K

Kapoor 101:9
 143:4,7
Karen 2:13 26:3
 99:1,2 222:9,14
 225:20 229:8
Karim 2:2 3:7 6:15
 11:9,10 26:13
 38:8 50:13 52:11
 69:6,7 83:11 93:7
 150:20 161:19
 177:18,19 178:12
 185:15,20 210:16
 211:13 225:8
 237:21 239:16
 244:8 245:19
 258:2
Karim's 18:17
 27:18
keep 18:7 86:6 89:2
 90:6 92:11 103:9
 109:1 112:14,16
 116:20 163:14
 169:3 184:17
 241:1 256:18
keeping 167:21
kept 150:14
key 10:17 81:22
 229:6 230:6

kicked 133:19
kilowatts 220:3,4,6
kind 14:17 16:11
 26:12 28:20 31:8
 31:22 35:5 37:2
 37:12,14 43:20
 48:1 53:4 54:6
 55:5 67:4 71:16
 77:4 86:17 104:6
 109:9 113:12
 117:4 122:8
 125:20 139:8
 141:5 149:22
 155:21 156:2,8
 157:6 161:16
 178:11 179:14
 182:4,19 187:6
 191:2 198:8
 218:15 235:7
 237:10 242:9
 244:1,1 249:5
kinds 10:20 69:2
 124:9 207:14
Kleiss 2:11 42:12
 42:12 43:10 48:13
 48:13,18 49:4
 108:13,21 109:2,7
 110:8,11,15,19
 111:20 112:1,11
 112:17,21 113:1
 124:22 147:9,9
 148:9 149:4,7,9
 149:14,19 150:1
 159:3,3 161:10
 179:12 251:16,16
 252:12,19
knock 212:2
knocking 213:2
know 10:6 12:1,6
 13:18 20:10 31:18
 35:7 39:8,8,17
 40:9 51:15 66:7
 67:8,14 73:10,13
 73:18 74:7,18
 77:13 84:12 87:14
 88:4 94:13,16,19
 99:5,7,17 111:9

112:8,15 117:7
 118:5 120:11
 127:1 131:3 137:4
 137:6 138:9,10,12
 138:16 141:4,15
 145:16,17 147:12
 151:17 152:14
 155:9 156:12
 157:6 158:11
 163:1 168:6,10,15
 174:15,21 176:10
 178:6,22 179:7
 181:16,18 186:4
 187:2,9,11,20
 191:3 192:2,16
 194:19,21 195:12
 196:14 198:3
 205:5 206:2
 207:16 208:9
 209:1 211:14,16
 212:4 215:5,16
 220:21 221:14
 223:12 226:1
 227:21 228:2
 229:5 230:21
 234:11,16,19
 238:2 240:16
 242:2,20 246:6
 249:3,15 250:21
 254:16 256:17
 257:19 261:13
knowing 27:20
 55:3 62:6
knows 196:9
Kunal 101:9 143:4
kV 144:5,19
kVA 144:3,18,19

L

L 2:8
lab 80:8,13,21 81:1
 87:7,17,20 88:14
 93:17,22 194:17
 195:15,19 196:6
 205:4 215:14,14
 215:16 217:5
 227:2
laboratories 201:3
 201:15 216:6
laboratory 95:4
 175:20 195:10,11
 201:16 213:17
 214:22 237:9
labs 88:1 113:16
 195:14
lab-to-lab 90:3
 98:12 158:18
 160:16 196:7
 211:22
lack 42:9 205:7
 215:7
lag 245:6
language 177:11
 178:13 232:19
 233:7 244:1
large 41:5 53:18
 54:12,17 62:21
 66:3 94:21 109:5
 109:12,21 127:17
 136:3 147:20
 232:9
larger 111:14
largest 102:7,9,10
 107:11 114:12,17
 115:1,3,20,20,21
 118:11,13 123:15
 130:8
large-scale 55:9
last-minute 163:8
late 41:7 145:3
 197:6
latest 245:5
Laughter 17:21
 25:20 26:18 48:12
 50:19 63:14 73:6
 90:17 92:13 95:17
 119:19 125:5
 139:11 149:18
 154:8 167:13
 188:3,7 208:1
 212:16 214:5
 227:20 229:2
 234:18 235:10
 239:2 247:18

248:9 251:13 252:6 253:8 Laura 2:3 6:8 8:17 29:14 122:16 132:19 135:19 218:8 223:20 225:20 251:10 laws 179:9,10 lay 207:13 lead 31:15 232:10 261:2 leading 17:14 leads 170:9 learned 171:1 leave 62:20 169:5 218:4 260:1 leaving 62:11 167:5 left 10:4 58:20 62:2 146:18 172:13,20 236:4 253:18 left-hand 183:14 legal 200:3 Legett 2:11 8:21,21 legislation 69:12 legitimate 31:6 199:20 Lennox 2:9 3:15 6:13 49:15 90:22 167:15 180:7 219:4 245:11 letter 29:10 let's 54:4 73:4 82:14 104:4 108:9 110:17 112:9 126:5,12,19 132:22 150:19 154:3 155:11,12 155:22 178:7 185:22 189:10 190:19 205:6,12 211:9,10 229:3 230:13 240:14 241:2,3 246:2 251:14 level 30:2 31:15 39:15 48:5 131:14 131:16 132:5	134:15 138:10,18 144:5,8,21 162:8 171:22 172:21 199:10 216:20 253:5 levels 18:10 43:12 88:21 97:11 131:18 134:22 135:13,14 243:14 Lewis 2:12 3:8 7:2 7:2 12:20,20 194:10 216:9 lieu 76:16 life 10:1 68:5 light 76:12 171:1 lightning 238:22 limb 171:15 limit 67:10,13 69:10,10 128:11 203:1 limited 91:3 181:22 limits 69:4 114:3 136:11 147:2 200:3 line 5:14,17,20 36:10 118:13 125:14 126:17 143:5 155:17 156:1,7 194:5 248:13 258:16 261:9 linear 55:21 159:8 lines 11:7 88:20 117:8 235:13 240:9 lineup 71:18 listed 93:11 113:10 114:3 147:2 listening 222:18 252:4 261:15 listing 113:12 literature 218:20 little 10:1 16:18 20:8 23:15 36:17 40:15 44:2 45:16 50:17 91:7 96:11 104:2 124:9	140:11 152:8 158:19 171:14 191:15 197:5 223:12 224:7,10 234:15 238:18 240:12 livelihood 194:21 LLC 2:11 load 157:11,12 Lochinvar 2:11 42:13 48:14 147:10 159:4 251:17 long 29:11,19 49:20 122:11 139:10 219:11 232:11 236:12 240:17 261:3 longer 33:9 57:7 72:7,13 121:15 231:20 233:4 long-established 31:6 look 38:16 43:1,18 47:4 68:8 73:11 78:19 80:22 88:10 93:8,8 112:7 114:6 123:10 125:20 129:10 132:16 136:12 145:1 148:13 169:3 186:8 190:9 228:13 235:13 looked 70:1 98:8 looking 15:11 33:5 34:17 70:5 85:20 86:12 88:9,18 98:2,7 114:19 136:2 171:6 254:8 looks 184:22 187:12,13 loophole 31:9 Lord 2:12 3:16 7:16,16 16:8,8 26:12,19 27:22 28:4 37:19 47:22 48:6,10 49:22,22	62:18,18 91:7,18 91:21 92:7,10 94:7 104:13,17,20 125:10 140:13,13 157:8,9 160:22,22 161:9 183:11,11 183:19 184:1 190:16 191:19 192:11,16 193:2 195:7 212:9 213:13,21 214:3 217:21,21 218:15 218:19,22 228:8,8 228:22 229:5 230:5 232:5,5 234:15 235:2 249:15 252:22,22 253:9 261:13,18 Lord's 64:1 lose 150:5 loss 194:4 losses 22:1,7 43:3 85:8,14 97:19 100:7,10,12,15 101:1 105:22 131:22 134:13 141:18 142:2 200:20 202:20 253:22 254:4 lost 53:4 230:8 lot 16:20 34:10,13 62:3 76:20 80:21 88:6 94:14 114:14 120:1,21 137:11 140:15,16 141:3 145:12 151:1 154:14 157:3 160:12 170:11 176:14 187:8 211:14 218:1,4 244:14 261:18 lots 83:1 214:4 love 32:1 low 196:1,2 199:22 200:6 204:5 206:10 lower 16:19 90:1	100:15 115:13 135:13 194:3 203:1 lowest 27:5 107:17 118:15 148:21 low-volume 51:18 55:17 231:2 luck 145:7 luckily 209:7 lumped 70:1 122:5 lunch 163:14,21,22 164:8 166:4 <hr/> M <hr/> machine 142:3 machines 51:6 machining 80:6 made-to-order 52:1 magnitude 26:1 53:22 80:16 maintain 28:10 32:15,20 168:7 173:10 182:17 maintained 30:22 maintaining 35:10 183:7 major 27:19 80:22 majority 35:3 55:15 159:22 181:10 making 74:20 155:13 156:7 179:20 180:4 222:1 maneuver 173:18 manufacture 13:10 66:16 67:2,17,22 68:1 117:13 248:19 manufacturer 30:13 54:20 64:6 64:8,14 66:2,14 67:17 76:3,16 77:12 93:14,20 95:14,16 116:14 117:12 131:11
--	--	--	--	---

135:7 138:17	2:10,13 7:15	62:19 65:20 68:3	196:16 199:7	137:16 140:2
146:20 150:6	40:21 41:4 66:5	72:17 74:22 81:9	210:1 223:11	microchannels
152:19,20 154:4,5	68:4 85:19 87:2	83:14 89:17 92:2	226:2	138:18
154:15 157:5	88:15 99:3 116:15	93:2 99:8,12,17	meeting 1:5,11 5:7	microphone 6:6
171:10,16,21	171:18 173:16	106:6,9 115:11	5:22 9:12 10:16	10:9 52:20
172:14,20 173:6	March 184:7	120:19 124:15	11:8 13:9 15:10	microphones 7:19
181:1 187:16	Mark 2:8 3:11 7:6	128:10,16 149:15	29:10 78:18	10:10
190:10 194:12,15	14:2 63:1 98:6	150:9 154:18	105:12 194:15	microprocessors
197:22 198:5	113:7,8 118:8	155:3,8 158:1	201:9 214:20	94:11
201:17 202:3,19	127:9 211:18	159:21 160:4	230:12 262:11	middle 181:17
203:7 206:22	233:10 244:4,5	173:7,8 177:22	meetings 179:11	213:6
207:12,18,19	marked 254:9	181:21 185:17,18	meets 27:2 126:11	migrate 144:21
214:18 217:4,13	market 49:17,20	192:7,9 194:20	171:19 192:21	migrated 124:16
217:14 218:1	53:15,21 54:21	195:2 208:8 210:4	203:4	migration 138:19
220:5,16 222:12	56:17,18 151:2	210:8 212:17,18	member 8:12	Mike 2:9,17 3:14
223:1 224:13	168:5,10 243:12	216:14 217:17	mention 18:7 250:2	6:13 8:6 49:14
226:13 227:5,9,15	marketplace 68:6	224:18 225:11	mentioned 59:19	60:9 90:22 167:15
233:9 236:18,21	markets 168:10	226:22 228:10	194:14	180:7 219:3
249:3,10	market-at-large	229:12 238:6	Messmer 3:22	245:11
manufacturers 2:5	68:22	239:21 244:6,14	19:12,14,17 36:13	million 53:21
7:9 9:2 11:14,20	marry 24:4	246:1 247:22	199:2,4,5	millions 14:11
12:4,5,11 19:4	mass 87:7	meaning 20:22	met 28:13 62:15	million-dollar 87:7
22:14 23:11 24:20	Massoud 2:13 3:10	188:22 247:14	106:17 133:4	mind 18:7 74:14
25:11 29:6 31:7	8:1 13:6 39:12	248:6	145:2	179:19 186:12
31:16 35:7,19	66:11 116:7 178:9	meanings 56:16	meter 87:20	minimizes 10:9
38:13,20 41:19	257:3	means 36:2 42:18	method 21:22 22:7	minimum 28:12
52:15,18 60:19	matched 57:4,6	72:4 84:16 89:19	23:1 57:15,17	44:4,5 64:21
61:18 65:17 66:9	material 132:1	130:1 151:6	158:9 241:20,22	69:10 101:19
76:6,8 77:6 82:18	141:17	152:11 191:21	242:3	112:13 129:11
90:14 91:4 95:9	materiality 68:19	236:10 239:14	methodology 13:17	144:6 154:1 185:6
98:22 113:18,19	68:19,21 69:2	meant 22:15,20	30:4 109:14	199:8
138:15 159:6	materials 85:22	120:18 186:10	111:21 114:11,13	minimums 126:15
163:5 169:6,10	math 59:21 190:18	measure 87:6	methods 1:6 5:8	220:2
175:7 177:2 180:3	191:2	109:13	24:6,11 29:9 34:9	minor 14:18 27:15
185:1 215:6 223:6	mathematical 19:1	measured 21:11	56:5 76:13	27:16,17 244:17
223:10,18 224:3	mathematically...	110:3 243:4	metric 111:6,7	minorly 14:16
225:1 227:10,10	48:7	measuring 81:13	193:15 224:22	minus 81:3 87:5
229:20,21 248:14	matter 26:1 31:19	81:21 82:1 109:11	metrics 111:3	88:18 89:17 92:16
258:4 259:20	60:19 75:12	mechanical 70:4	161:4,6 193:9,10	92:17 96:2 100:20
manufacturer's	112:18 164:7	meet 25:3 36:5 41:9	229:6	100:22 101:12
68:20 76:13	mature 82:17	72:7 102:17	Meyers 2:13 26:3	190:21 191:4,9,22
202:10	ma'am 45:12	104:14 117:21	26:10 99:2,3,12	196:10,11,13
manufacturer-in...	McDermott 8:14	118:1 119:5,11	143:12,13 145:16	200:4 211:17
181:6	mean 21:18 22:9	132:1 133:13,21	222:8,9,14,14	212:4,12
manufactures	27:10 30:20 32:10	138:8,18 160:1	225:20,21 226:19	minute 151:14
248:16	35:12 36:14 38:15	184:19 188:12	229:8,9 258:19	156:4 189:3
manufacturing	55:10,20 57:14	191:21 193:11	microchannel	mislead 90:14

misrating 234:10 237:7	148:5 150:9,13 152:4 159:11	moderator 9:21	204:3 247:16	232:1
missed 129:5 195:1 239:1	168:7 170:15	modern-day 171:21	248:4,7 253:17,18 253:21,21 254:10 254:13,15 255:20	need 10:2 14:14 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21 243:10,22 258:4
missing 209:2 216:14	188:12,19 191:13 200:19 201:6 220:20 231:15,18 233:14,18 237:16 248:15 249:18 251:6 252:2,3,8 252:15 256:11,14 257:6	modifies 257:21 modify 105:12 modifying 97:7 modules 64:2 157:1 monitor 122:10 175:1 month 84:10 monthly 122:10 months 12:10,10 12:16 18:18 144:11 236:17 morning 5:3 19:14 171:1 motion 13:1 motor 2:9 7:11 22:5 31:21 46:18 47:12 82:15 100:6 100:6 131:9 132:3 133:4 152:19,20 175:18 202:1 203:3 216:3,8 247:12 motors 22:1,2 23:9 45:20 46:6,7,11 46:20 47:2,2,5,5,6 47:17,17 51:5,10 51:11 58:11,20 59:4,9,10 69:22 69:22 70:3,7,10 70:12,13,15,15,22 70:22 80:1,4,14 83:10,16 85:5,5 85:12,13 96:5,6 96:13,14 97:4,16 97:16 105:4,5,8 131:5,12,14,19 133:5,12 134:7,19 134:21 135:9,12 136:3,7,13,15,16 138:20 141:14,14 142:9,10,17 166:9 168:12 172:9,22 177:13,13 181:4 181:12 200:16	mouthful 145:17 move 16:7 18:5 49:8 79:5 166:6 177:15 254:3 moving 18:21 119:7,8 120:9,10 124:19 237:9,12 multiple 61:19 62:1 62:11 118:20 123:10 157:1,20 159:5 166:11 190:3 193:9 234:8 235:20 236:1,9,11 237:4,8 241:5	232:1 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21 243:10,22 258:4
Mitsubishi 2:8 3:21 18:16 59:17 71:9 175:5 193:5 195:6 224:16 227:7 233:2 240:4 242:17	modelers 17:7 modeling 13:21 19:1 76:10 151:15 220:22 221:20 models 13:21 14:12 15:5 19:4 27:5 31:12 36:3 39:3,7 41:3,8 45:17,20 53:18 54:2 58:14 63:5 64:4,21,22 65:9,11 66:19 70:5,17 71:14 72:1,15 74:8 101:19 102:7,21 103:12,14,15,22 104:6,8,9,14 107:11 117:13,14 120:17 123:10,15 126:6,22 127:1 129:2,6,7,12,16 129:22 130:6 131:8 132:22 142:15 146:2,21 147:3,22 149:11 151:4,7 152:2,3,6 152:13 154:2,2,5 154:16,17 155:1 155:19 156:12 166:19 167:5,20 169:17 171:12 173:21 192:22 221:19 231:14,22 233:17,19 241:6,8 251:22 model's 189:13 252:17	motor 2:9 7:11 22:5 31:21 46:18 47:12 82:15 100:6 100:6 131:9 132:3 133:4 152:19,20 175:18 202:1 203:3 216:3,8 247:12 motors 22:1,2 23:9 45:20 46:6,7,11 46:20 47:2,2,5,5,6 47:17,17 51:5,10 51:11 58:11,20 59:4,9,10 69:22 69:22 70:3,7,10 70:12,13,15,15,22 70:22 80:1,4,14 83:10,16 85:5,5 85:12,13 96:5,6 96:13,14 97:4,16 97:16 105:4,5,8 131:5,12,14,19 133:5,12 134:7,19 134:21 135:9,12 136:3,7,13,15,16 138:20 141:14,14 142:9,10,17 166:9 168:12 172:9,22 177:13,13 181:4 181:12 200:16	name 5:5 6:3 7:20 11:4,10 98:4,5 121:5 nameplated 247:12 naming 24:3,5 National 2:4 7:9 8:15 9:1 nature 76:12 117:10 153:8 Navigant 2:5,11,17 8:22 9:3,6 NAVLAP 97:8 near 54:4 113:13 necessarily 33:15 72:11 98:17 104:5 106:14 132:10 133:19 136:19 138:12 153:2 156:4 160:5 185:11 192:9 205:19 207:4 214:18 215:3 216:7 230:4 248:2 256:12 necessary 25:15,18 50:10 58:5 65:13 70:20 74:17 155:4	232:1 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21 243:10,22 258:4 needed 32:16 62:7 138:8 167:17 175:10 needing 259:6 needs 17:15 32:3 55:14 146:2 202:14 223:16 negate 37:15 negative 88:22 90:5 90:8,18 91:1 150:22 212:22 213:1 negotiated 250:4 261:21 negotiating 257:9 NEMA 3:13 15:8 96:19 176:22 200:17,22 204:4 Neshan 2:13 3:10 8:1,1 13:6,7 39:12 39:12 66:11,11 116:7,7 178:9,10
N				
mixed 36:12,17 76:11	63:5 64:4,21,22 65:9,11 66:19 70:5,17 71:14 72:1,15 74:8 101:19 102:7,21 103:12,14,15,22 104:6,8,9,14 107:11 117:13,14 120:17 123:10,15 126:6,22 127:1 129:2,6,7,12,16 129:22 130:6 131:8 132:22 142:15 146:2,21 147:3,22 149:11 151:4,7 152:2,3,6 152:13 154:2,2,5 154:16,17 155:1 155:19 156:12 166:19 167:5,20 169:17 171:12 173:21 192:22 221:19 231:14,22 233:17,19 241:6,8 251:22 model's 189:13 252:17	name 5:5 6:3 7:20 11:4,10 98:4,5 121:5 nameplated 247:12 naming 24:3,5 National 2:4 7:9 8:15 9:1 nature 76:12 117:10 153:8 Navigant 2:5,11,17 8:22 9:3,6 NAVLAP 97:8 near 54:4 113:13 necessarily 33:15 72:11 98:17 104:5 106:14 132:10 133:19 136:19 138:12 153:2 156:4 160:5 185:11 192:9 205:19 207:4 214:18 215:3 216:7 230:4 248:2 256:12 necessary 25:15,18 50:10 58:5 65:13 70:20 74:17 155:4	name 5:5 6:3 7:20 11:4,10 98:4,5 121:5 nameplated 247:12 naming 24:3,5 National 2:4 7:9 8:15 9:1 nature 76:12 117:10 153:8 Navigant 2:5,11,17 8:22 9:3,6 NAVLAP 97:8 near 54:4 113:13 necessarily 33:15 72:11 98:17 104:5 106:14 132:10 133:19 136:19 138:12 153:2 156:4 160:5 185:11 192:9 205:19 207:4 214:18 215:3 216:7 230:4 248:2 256:12 necessary 25:15,18 50:10 58:5 65:13 70:20 74:17 155:4	232:1 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21 243:10,22 258:4 needed 32:16 62:7 138:8 167:17 175:10 needing 259:6 needs 17:15 32:3 55:14 146:2 202:14 223:16 negate 37:15 negative 88:22 90:5 90:8,18 91:1 150:22 212:22 213:1 negotiated 250:4 261:21 negotiating 257:9 NEMA 3:13 15:8 96:19 176:22 200:17,22 204:4 Neshan 2:13 3:10 8:1,1 13:6,7 39:12 39:12 66:11,11 116:7,7 178:9,10
mixed/matched 57:1	63:5 64:4,21,22 65:9,11 66:19 70:5,17 71:14 72:1,15 74:8 101:19 102:7,21 103:12,14,15,22 104:6,8,9,14 107:11 117:13,14 120:17 123:10,15 126:6,22 127:1 129:2,6,7,12,16 129:22 130:6 131:8 132:22 142:15 146:2,21 147:3,22 149:11 151:4,7 152:2,3,6 152:13 154:2,2,5 154:16,17 155:1 155:19 156:12 166:19 167:5,20 169:17 171:12 173:21 192:22 221:19 231:14,22 233:17,19 241:6,8 251:22 model's 189:13 252:17	motors 22:1,2 23:9 45:20 46:6,7,11 46:20 47:2,2,5,5,6 47:17,17 51:5,10 51:11 58:11,20 59:4,9,10 69:22 69:22 70:3,7,10 70:12,13,15,15,22 70:22 80:1,4,14 83:10,16 85:5,5 85:12,13 96:5,6 96:13,14 97:4,16 97:16 105:4,5,8 131:5,12,14,19 133:5,12 134:7,19 134:21 135:9,12 136:3,7,13,15,16 138:20 141:14,14 142:9,10,17 166:9 168:12 172:9,22 177:13,13 181:4 181:12 200:16	name 5:5 6:3 7:20 11:4,10 98:4,5 121:5 nameplated 247:12 naming 24:3,5 National 2:4 7:9 8:15 9:1 nature 76:12 117:10 153:8 Navigant 2:5,11,17 8:22 9:3,6 NAVLAP 97:8 near 54:4 113:13 necessarily 33:15 72:11 98:17 104:5 106:14 132:10 133:19 136:19 138:12 153:2 156:4 160:5 185:11 192:9 205:19 207:4 214:18 215:3 216:7 230:4 248:2 256:12 necessary 25:15,18 50:10 58:5 65:13 70:20 74:17 155:4	232:1 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21 243:10,22 258:4 needed 32:16 62:7 138:8 167:17 175:10 needing 259:6 needs 17:15 32:3 55:14 146:2 202:14 223:16 negate 37:15 negative 88:22 90:5 90:8,18 91:1 150:22 212:22 213:1 negotiated 250:4 261:21 negotiating 257:9 NEMA 3:13 15:8 96:19 176:22 200:17,22 204:4 Neshan 2:13 3:10 8:1,1 13:6,7 39:12 39:12 66:11,11 116:7,7 178:9,10
mode 246:4	63:5 64:4,21,22 65:9,11 66:19 70:5,17 71:14 72:1,15 74:8 101:19 102:7,21 103:12,14,15,22 104:6,8,9,14 107:11 117:13,14 120:17 123:10,15 126:6,22 127:1 129:2,6,7,12,16 129:22 130:6 131:8 132:22 142:15 146:2,21 147:3,22 149:11 151:4,7 152:2,3,6 152:13 154:2,2,5 154:16,17 155:1 155:19 156:12 166:19 167:5,20 169:17 171:12 173:21 192:22 221:19 231:14,22 233:17,19 241:6,8 251:22 model's 189:13 252:17	motors 22:1,2 23:9 45:20 46:6,7,11 46:20 47:2,2,5,5,6 47:17,17 51:5,10 51:11 58:11,20 59:4,9,10 69:22 69:22 70:3,7,10 70:12,13,15,15,22 70:22 80:1,4,14 83:10,16 85:5,5 85:12,13 96:5,6 96:13,14 97:4,16 97:16 105:4,5,8 131:5,12,14,19 133:5,12 134:7,19 134:21 135:9,12 136:3,7,13,15,16 138:20 141:14,14 142:9,10,17 166:9 168:12 172:9,22 177:13,13 181:4 181:12 200:16	name 5:5 6:3 7:20 11:4,10 98:4,5 121:5 nameplated 247:12 naming 24:3,5 National 2:4 7:9 8:15 9:1 nature 76:12 117:10 153:8 Navigant 2:5,11,17 8:22 9:3,6 NAVLAP 97:8 near 54:4 113:13 necessarily 33:15 72:11 98:17 104:5 106:14 132:10 133:19 136:19 138:12 153:2 156:4 160:5 185:11 192:9 205:19 207:4 214:18 215:3 216:7 230:4 248:2 256:12 necessary 25:15,18 50:10 58:5 65:13 70:20 74:17 155:4	232:1 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21 243:10,22 258:4 needed 32:16 62:7 138:8 167:17 175:10 needing 259:6 needs 17:15 32:3 55:14 146:2 202:14 223:16 negate 37:15 negative 88:22 90:5 90:8,18 91:1 150:22 212:22 213:1 negotiated 250:4 261:21 negotiating 257:9 NEMA 3:13 15:8 96:19 176:22 200:17,22 204:4 Neshan 2:13 3:10 8:1,1 13:6,7 39:12 39:12 66:11,11 116:7,7 178:9,10
model 13:14,15,19 14:4,7,13 15:3 16:3 19:10 33:6 33:16 36:11,16,18 36:22 37:8,12,13 38:6 40:2,3 41:14 46:1 47:16,16 54:5 57:6 67:3 70:11 71:18 72:3 72:16 77:18 78:19 97:14 102:10,12 114:12 115:20 116:12,20 121:12 121:13,15,16 122:14,20 124:19 125:22 128:5 129:20 132:7 136:4 137:22 140:18 143:17 144:1,10,11,13 145:22 146:10	63:5 64:4,21,22 65:9,11 66:19 70:5,17 71:14 72:1,15 74:8 101:19 102:7,21 103:12,14,15,22 104:6,8,9,14 107:11 117:13,14 120:17 123:10,15 126:6,22 127:1 129:2,6,7,12,16 129:22 130:6 131:8 132:22 142:15 146:2,21 147:3,22 149:11 151:4,7 152:2,3,6 152:13 154:2,2,5 154:16,17 155:1 155:19 156:12 166:19 167:5,20 169:17 171:12 173:21 192:22 221:19 231:14,22 233:17,19 241:6,8 251:22 model's 189:13 252:17	motors 22:1,2 23:9 45:20 46:6,7,11 46:20 47:2,2,5,5,6 47:17,17 51:5,10 51:11 58:11,20 59:4,9,10 69:22 69:22 70:3,7,10 70:12,13,15,15,22 70:22 80:1,4,14 83:10,16 85:5,5 85:12,13 96:5,6 96:13,14 97:4,16 97:16 105:4,5,8 131:5,12,14,19 133:5,12 134:7,19 134:21 135:9,12 136:3,7,13,15,16 138:20 141:14,14 142:9,10,17 166:9 168:12 172:9,22 177:13,13 181:4 181:12 200:16	name 5:5 6:3 7:20 11:4,10 98:4,5 121:5 nameplated 247:12 naming 24:3,5 National 2:4 7:9 8:15 9:1 nature 76:12 117:10 153:8 Navigant 2:5,11,17 8:22 9:3,6 NAVLAP 97:8 near 54:4 113:13 necessarily 33:15 72:11 98:17 104:5 106:14 132:10 133:19 136:19 138:12 153:2 156:4 160:5 185:11 192:9 205:19 207:4 214:18 215:3 216:7 230:4 248:2 256:12 necessary 25:15,18 50:10 58:5 65:13 70:20 74:17 155:4	232:1 16:4 27:15,16 28:13 34:10,16 38:4,16 42:22 43:20,21 51:17 57:7 64:10 65:3 67:11,18 69:11 71:21 72:3,9,12 72:14,18 74:5,13 77:22 83:12,13 94:20 97:20 103:1 117:20,21 118:1 118:22 120:17 122:21 130:2 150:16 154:13 164:4 174:10 175:7 186:4 192:20 194:6,22 195:8 209:13 212:3 213:3 220:21 221:20 234:1 237:8 238:11 240:21

257:1,3,4	96:4 100:21	observed 201:2	69:16 72:19 75:15	246:20 248:10
net 55:13	153:22 166:17	203:16	76:19 77:1 78:5,7	249:13 252:7
never 47:3 72:21	253:13 254:11,14	obstacles 147:6	78:13 79:3 83:9	253:9 254:6,22
125:15 186:12	254:18 255:21	obtained 71:13	84:21 85:2 90:5,9	255:17 256:15
260:14	256:1,14	185:3 215:4	92:22 94:6,22	258:15,18 260:19
new 5:13 17:3 25:2	NOPRs 69:21	226:13	95:5 96:8 99:21	261:6 262:1
25:12,18 26:16	normal 143:22	obvious 40:12	100:3 101:7,14,17	old 24:15 233:20
36:4,5 49:17 55:7	232:17	69:19	104:11,15,20	once 19:6 33:18
66:20 68:11 72:4	note 17:18 59:6	obviously 22:19	107:7 108:9,11,13	70:2 92:3 126:9
78:1 102:15,22	236:9	44:11 55:22	108:21 109:1,2	126:10 128:6
120:12,13 122:21	noted 23:10 62:6	102:14 108:1	110:10,15,19	131:10 145:4,11
132:1 133:4,6,7,8	253:15	156:11 169:4	112:11 113:7	149:12 189:22
133:12 137:17	notice 1:5 5:10	173:7 182:14	114:4 117:6 118:7	190:12 210:9
144:21 145:2,4,11	10:17,21 15:16,22	190:1 225:14	118:17 123:7	217:9 240:18
156:2,6 168:11,12	20:16 75:2 89:4	231:13 232:13	124:4 125:6,6,17	onerous 173:8
168:13 169:17	262:3	occur 36:3 126:21	126:3,8,11 130:13	ones 27:8 39:21
185:7,12 209:16	noticed 19:19	138:10 181:3	130:20,21 135:16	40:6,8,11 62:1
233:18 255:18	notification 28:20	OEM 77:15 78:2	139:4 141:7 143:1	74:8 111:14,18
256:4,4	notified 194:16	249:4	143:6,10 144:20	134:9 137:14
newcomer 50:5	notify 220:15	offended 178:16	145:14 148:7,9	157:4 179:8
newly 146:1,3	notifying 194:12	offer 155:8	149:4,7,14 150:2	192:10 231:19
Nidec 2:9 7:10	notion 155:5	offering 19:10	150:9,21 154:7	235:21
31:20 203:19	nozzles 82:3	61:21 62:14	155:12,15,18	one-by-one 76:20
NIST/NAVLAP	NSF-type 198:14	155:18 156:12	156:2 158:4	one-size-fits-all
96:20	nuance 208:15	offerings 117:8	159:20 160:21	177:10 179:14
Noe 8:19,19	nuances 41:1	Office 2:3,6,14	161:9,18 163:7,8	one-tailed 18:8
nominal 100:11	number 4:11 26:2	6:10 9:7	163:19 164:1	one-time 127:5,6
101:3 200:22	53:18 54:2,5	officially 12:13	166:3 167:14	ongoing 34:11,16
204:4	64:21 86:22 87:5	offline 21:5	168:17 171:17	61:5 153:9 170:22
non 231:5,11 234:8	87:16 128:14	off-mode 246:3,11	173:13 175:3,13	174:6 222:3
non-compliance	142:15 148:19	oh 73:9,12 95:15	182:3,4 183:9,21	open 5:14 10:13
203:18 236:10,13	151:19 166:7	96:9 98:6 101:9	184:4 186:1,5,16	11:7 21:4 52:9
237:6,7	169:19,20 196:12	110:7 124:13	187:10 188:13,19	55:18 62:16 74:10
non-compliant	196:12 205:5,18	143:10 154:7	189:10,12,17,20	74:13 75:1 103:3
144:11,14 146:10	208:10 212:5	180:6 183:10	194:10 197:4	115:8,17 116:3
207:3 231:15,20	245:18 249:20	189:20 199:15	198:12 199:14	120:20,21 151:16
233:18	251:18	221:4	201:20 202:11	154:21 163:12
non-complying	numbers 83:6 99:8	oil 43:6	204:10,11 209:19	167:1,9 182:2
31:12	106:11 107:15	okay 10:15 13:22	211:7 215:11	234:15 236:4
non-regulating	127:14,14 236:15	20:6 21:2 23:3,4	218:21 219:2,20	241:14
111:6	numerical 105:19	24:13,19 25:5	220:14 221:4,21	opened 117:17
non-tested 19:3		26:21 34:1 36:9	228:7,14 230:9	opening 3:5 4:2
noon 163:15	O	40:4 42:11 43:10	233:6 234:3,6	11:4 13:3 18:1
NOPR 11:18 12:8	object 225:1	43:21 45:11 47:21	235:4 237:12	116:5 151:10
20:16 22:11 53:5	objection 90:13	49:2,4 50:22 51:1	240:1,13,14,18,21	153:15 258:5
58:3,17 59:7 84:9	observation 68:18	56:7,21 59:20	241:5,6 243:5	operated 194:19
84:11,15,17 85:9	137:1 223:16	60:4 61:15 64:13	244:3 246:12,19	operating 143:22

opinion 168:2 206:3,4 215:20	package 122:6 182:19 192:20 237:17	particular 38:17 65:14 100:19 114:21 122:20 124:3 136:1 170:15 187:7 200:19 201:18 203:11 204:8 214:20 227:1 249:9	85:7 86:7,15,16 87:5,11,12,12,13 87:18 89:17,21 91:10,11,14,14 92:9,15,16,17,21 96:3 99:6,7,7 100:11,12,14,15 100:16,20,22 101:3,5,5,11 102:9 114:5 115:19 127:19 128:11 130:8 140:7 150:16 151:19 185:18,20 186:9,13,20,21,22 187:14 190:20,21 191:1,4,5,22 192:6 194:3 195:20,21,21 196:1,1,10,11,13 196:15 200:1,9,21 201:7,12 202:20 204:4,6 205:6 206:10 207:5 210:1,21 211:6,10 212:1,2,12,14 213:1,1 236:2 253:4,6 260:12	permit 23:6 224:2
opinions 170:1	packaged 48:2 125:11	parties 218:14 250:13,18	percentage 86:22 171:12	permutation 66:9
opportunity 54:18 254:19	page 20:22 71:10 78:15 129:3,10 146:16 183:13	parts 84:7 176:15 177:12 245:9 255:22 256:4	percentages 83:14 99:16,20	permutations 14:10 63:22
opposed 233:20	paid 225:18 226:10 226:17	partway 162:15	percentile 203:2	person 5:10 218:6 227:1
opposite 31:22 200:6	pair 76:8	party 81:1 87:11 95:13 177:3 226:10 249:9 250:12	performance 17:6 19:3,5 30:11 43:15 60:16 81:6 82:17,20 139:16 157:11 158:8 228:16	personally 178:16
option 53:14 144:15,17	panel 2:12 3:9 7:3 12:21 57:18	pass 66:9 74:17	performed 201:16 202:2	perspective 51:20 138:6 139:12 205:16 217:1 259:1
options 14:9,11,15	paragraph 71:11 146:14	passes 256:10	period 64:22 171:13 232:17 239:20 262:4	pertaining 76:1
order 3:2 54:3 55:18 65:8 93:21 109:17 112:1,4 147:1 173:11 207:10 260:11	parameters 199:21 200:7	pathway 160:3 171:6	periodic 167:22 169:1 172:11 181:5	Petrosino 121:4,7,9 122:7 123:2 124:1
ordered 259:8	paramount 229:12	patiently 121:3 196:22	percentage 86:22 171:12	phase 250:10 259:14
original 198:1	Pardon 208:20	Paul 2:8 3:20 18:15 59:16 71:8 175:3 175:4 193:4 195:6 224:15 227:6,18 233:1 240:3 242:16	physical 71:13 261:4	PhD 2:2,6,7,15
other's 229:18	pare 39:4	pay 227:2	physics 137:15 142:4 152:15 176:13	phone 5:12 75:18 78:12 99:22 101:9 121:2 128:19 141:9 143:3 196:21 198:21 219:21 250:20
outcome 106:13 200:9 243:1,4 257:13	part 30:1,21 32:9 46:3 58:11,18,19 59:5,11,11 66:4 70:6,14,21 73:2 89:8,9 97:14,17 100:9 115:7 118:21 128:16 142:7,9,9 156:7 157:12 162:16,16 170:7 177:11,15 177:16 189:19 201:13 204:1 206:16 211:10,20 223:16 224:8 225:16 226:7 237:13 239:1 243:19 245:10 254:8 255:14 256:8,11	pathway 160:3 171:6	physics-based 140:18	physically 137:15 142:4 152:15 176:13
outcomes 184:18 230:15	PARTICIPANT 167:12	patiently 121:3 196:22	pick 204:8	physically 137:15 142:4 152:15 176:13
outdoor 248:16 249:5,10	participate 5:22 171:3 177:2,5	payback 42:9	picking 83:15 114:11	physically 137:15 142:4 152:15 176:13
outliers 114:15 115:2	participating 170:12	penalties 173:8	picture 67:21 122:9 94:18 200:2,15	physically 137:15 142:4 152:15 176:13
outlined 129:3 158:2	participation 9:16 176:2	people 5:15 7:18 15:20 78:17 88:3 121:2 139:17 140:16 196:21 197:8,12 216:18 244:16 250:19	pieces 67:10 218:11	physically 137:15 142:4 152:15 176:13
output 32:7		percent 15:21 18:8 27:6 72:17,18 79:11,11,12,17,18 80:1,2,3,5 81:3 82:10,12,12,15,20 82:22 83:3,7,9,10 83:14,15,16,16	piston 80:5	physically 137:15 142:4 152:15 176:13
outset 11:8 190:2			pit 125:1	physically 137:15 142:4 152:15 176:13
outside 10:3 34:13 110:3,6 112:3 127:19 139:16 196:17 202:7			place 13:17 84:13 88:3 132:15 178:4 197:11 204:2	physically 137:15 142:4 152:15 176:13
overall 76:11 77:4			places 243:14	physically 137:15 142:4 152:15 176:13
overcome 147:6			plan 10:13 34:12	physically 137:15 142:4 152:15 176:13
overdue 11:13			planning 34:11	physically 137:15 142:4 152:15 176:13
overlooked 146:13			plans 222:4	physically 137:15 142:4 152:15 176:13
oversight 59:7			plausible 106:12	physically 137:15 142:4 152:15 176:13
Overview 4:4				physically 137:15 142:4 152:15 176:13
overwrite 84:19				physically 137:15 142:4 152:15 176:13
owner 223:13				physically 137:15 142:4 152:15 176:13
o'clock 164:1				physically 137:15 142:4 152:15 176:13
P				physically 137:15 142:4 152:15 176:13

play 17:5	pointing 61:13	predictability	242:12 261:5,19	38:15 42:14 43:3
players 179:6,6,10	points 161:12	126:13	problem 19:21	43:8,19 44:3
playing 31:15	230:6	predicts 21:10	25:6 47:1 49:21	45:17 46:5,8,13
162:8	policing 177:22	158:8	58:17 66:7 112:9	46:14,19,21 47:4
please 5:17 6:3	178:2	preliminary 61:7	114:1 142:7	47:11,13,20 48:3
7:22 10:3 11:4	policy 90:13	premise 61:16	161:13 192:17	56:10,12,14 60:14
14:1 39:8,11	polyphase 47:5	148:11,17 149:2	216:3 219:15	60:15,20 61:4,10
42:18 58:7 75:10	136:2,15	premium 134:21	222:22 223:4	61:21 62:4,13,14
95:21 108:12	popular 126:6	135:8,13	problems 174:19	62:21,21 63:5,19
143:5 179:19	144:2,10	preparing 84:8	261:22	63:22 64:4,19,20
183:10 246:21	populating 249:20	85:8	procedural 23:18	65:11 70:9,11
249:14	population 200:20	prequalify/preap...	procedurally 29:7	71:1 73:11 74:14
Plenty 190:8	portion 243:17,18	34:8	procedure 21:14	78:19 79:12 89:3
plug 175:18 209:20	posed 199:17	prerogative 158:3	30:6 55:21 56:1	89:15 101:20,21
209:22	position 168:16	present 2:1 9:22	58:13 85:4 86:1,2	102:1,8 107:8,12
plus 81:3 87:5	226:16	10:16 46:9 47:14	102:19,20 105:20	107:16 108:2,14
88:18 89:17 92:16	positive 261:14	70:6 85:4 105:13	119:6,7,11,13,15	108:17 109:8
92:17 96:2 100:19	possibility 66:8	218:13 247:5	120:13,16 203:14	110:3,5,6 114:12
100:22 101:11	202:4 232:16	254:2,8	217:8 226:22	114:18 117:7
107:20,21 190:20	250:3	presentation 9:18	237:15 238:2,6,13	118:13 122:19,22
191:1,3,5,10,11	possible 146:20	13:5 16:7 23:10	239:5,6,7 240:18	123:4,5,10,12,12
191:12,21 196:10	203:22 257:9	presented 250:14	240:19 241:1,4,13	123:13,16,18
196:11,12 200:4	possibly 26:22	251:4	241:21 242:14	124:17 126:16
211:17 212:4,12	156:1 159:9 168:6	presenter 9:21	243:3 247:7,15	129:13,17 130:15
219:6,8	174:11 188:15	presently 70:14	procedures 16:20	130:16,17 133:15
point 16:18 19:13	197:15 204:5	100:8,18 105:12	27:9 36:4 98:19	145:2 147:18
24:8 27:18 32:4	236:17 255:22	105:15	120:2 126:15	148:3,5,12,14
37:3 40:5 41:18	postponed 12:15	presiding 1:16	183:1 189:2 217:3	151:13 152:17
52:10 53:3 55:19	potential 54:21	pressure 14:22	238:4 245:3	156:1,7 159:8,12
58:7 63:10 68:20	90:11 124:22	pretty 43:12 55:5	259:10	161:4,20 168:2
68:22 72:19 75:1	184:18,21 230:14	78:11 166:4 174:2	proceed 207:9,10	171:19 174:14
75:6 77:7 85:15	potentially 95:20	209:4	207:9,10	176:12,13 178:1,6
92:8 93:6 94:9	188:16	previous 100:4	process 28:16 46:3	184:13 185:22
103:3 104:6,9	power 46:7 243:15	102:11 144:14	46:6 67:3,4,12	189:3 192:9 194:5
106:7,8 111:16	practical 50:11	previously 127:18	116:17 147:15	198:6,7 202:19
112:2,4 115:8,12	65:9 68:14	226:16 256:8	188:15 189:14,15	203:8 204:12
119:22 132:21	practice 224:14	price 15:22	190:7 195:9	207:3 220:20
136:22 137:19	preapproval 28:8	primarily 20:2	202:14 205:14,19	207:3 220:20
140:11 155:16	28:16 34:15 49:9	primary 144:3	206:1 210:13	233:3 238:10,15
158:15 163:6	49:12,13,16 50:2	prior 194:15	223:19 224:9	239:19 246:10
167:10 170:9	50:9,21	254:19	236:11,12 245:1	251:21
182:3,11 188:14	preapprove 35:16	priority 147:1	processes 43:17	production 54:18
188:18 189:6	49:10	probably 53:16	203:14 206:13,15	55:9 69:10 126:1
208:12 209:18,22	preclude 21:19	56:11 82:21 94:9	produce 236:21	135:12 138:1
210:8 213:5,8	preconceived 155:5	157:14 163:5,18	producing 236:18	143:17 145:11
237:10,11 241:3	predict 19:3 22:16	166:22 169:10	product 16:3,5	172:1 259:7
pointed 101:6	157:10	175:6 195:8 242:7	17:9 27:3 37:17	production-line
			37:21 38:4,6,12	54:11

products 2:17 16:12 19:22 20:2 28:22 38:11 40:13 52:14 53:6 54:11 55:7 56:13,14 61:22 63:4 70:2 79:8 90:15 96:15 113:9,11,15 132:18 147:17 151:1 155:14 158:7 172:18 177:6 179:19 194:8 197:21 228:20 231:3 235:22 236:19,22 240:10 241:3,4 242:10 243:12 244:21,22	62:1,17 77:3 89:15 103:17 133:14 156:16 162:14 167:11 169:14 190:4 215:12 234:7 254:14 261:15 proposals 35:2 183:5 propose 182:6 185:1 proposed 1:5 5:7 10:21 11:12 24:1 24:17,22 25:9 27:13 36:1 51:2 55:12 84:19 100:20 166:14 181:8 182:6 190:14 197:15 246:8,11 253:15 proposing 24:4,7,9 24:10 28:8,15 123:9 149:16 232:14 pros 170:1 protest 151:13 protests 153:14 protocol 248:1 proven 194:21 196:13 proves 91:11 provide 10:18 25:10 48:10 149:10 207:19 235:2,18 provided 227:15 providing 67:5 76:14 154:21 225:17 provision 69:3 provisions 25:2,4 37:10 123:8 155:3 232:20 255:7,8 psychrometers 81:19 public 1:5,11 5:6 9:12 10:19 30:15	90:15 222:12,13 223:17 225:18 226:17 227:1 229:10,19 230:12 publication 21:1 publicly 226:15,20 publish 91:15 92:19 published 45:21 84:6 220:5 pull 204:21 pulled 189:10 pump 26:7 48:19 57:2 73:17 113:17 161:7 193:8 227:12 pumps 23:12 24:12 45:2 51:12 73:14 113:14,15 163:3,4 243:8 purchased 226:10 purely 252:16 purpose 9:12 10:15 13:20 223:6 225:9 230:7 purposes 76:17 106:9 166:18 210:10 pushed 35:5 pushing 74:8 82:22 86:15 put 10:8 13:17 16:15 37:20 63:7 66:5 69:10 82:14 112:9 127:13 132:1 134:16 135:1 137:15,16 140:6,21 141:16 141:17,21 142:1 145:22 146:15 159:1 192:13 195:22 197:17 211:10 213:7,17 216:12 218:19 237:17 245:18 258:11 259:9 puts 69:3 150:5	putting 171:19 176:14 230:7 P-R-O-C-E-E-D-... 5:1 p.m 164:8,9 166:2 262:10 <hr/> Q <hr/> QC 171:20,22 qualifications 88:19 qualified 26:15 qualify 241:11 quality 259:22 question 20:4 24:15 29:17 31:19 34:7 36:10 41:12 51:13 55:6 56:8 56:22 57:12 64:5 66:1,1 67:13 69:8 73:8 76:1 78:5 84:5 99:4 101:8 101:10 110:12,14 116:13,18 121:10 123:3 124:6 143:8 143:14,15 144:12 151:11 153:18,19 154:10,19 159:18 159:21 161:3 168:21,21 170:8 170:10,18 171:2 185:16 199:16 202:9 208:11 210:17 213:16 218:10 219:20 220:17 221:12,18 228:19 236:4 237:19 238:19 239:4,10 241:16 245:20 248:13 249:1 251:7 253:2 questioning 191:14 questions 4:7,10,13 4:16 9:15 20:9 33:4 34:19 56:8 58:6 75:17 76:21 79:4 99:22 103:4	143:9 145:18 156:18 232:2 237:18 248:11 262:6 quick 119:3 138:3 218:10 quicker 35:6 quickly 48:1 quite 21:3 33:12 35:15,17 83:3 115:22 148:8 178:19 260:14 quote 152:9 <hr/> R <hr/> raise 5:17 11:17 170:8 216:20 raised 131:18 raises 34:19 135:14 raising 18:11 216:6 ramifications 209:2 ran 129:2 Rand 2:18 6:21 7:1 33:3 50:20 73:13 79:22 80:19 95:7 137:3 158:6 186:19 random 145:7 randomly 147:4 randomly-selected 127:1 range 61:20 62:13 86:3 87:18 90:5,8 93:11 94:1 101:4 112:3 115:7,13,14 116:4,5 118:21 127:19 139:17 157:11 191:20 199:22 236:6 ranged 230:11 Ransom 8:13,13 rare 103:20 104:3 rate 16:17 19:5 23:12 29:1 51:18 65:8 81:9 83:5 88:11 89:22
---	--	---	--	---

113:13 130:12	147:5 153:22	55:16 98:21	247:14 248:3	257:21,22
156:20 212:13	187:21	receives 249:8	reflected 54:14	regulatory 21:7,13
219:15 222:20,20	reading 107:10	recently-announ...	refrigerant 93:19	119:17 224:8
222:22 223:1,3,7	ready 12:11 136:10	17:8	refrigerated 13:11	reiterate 93:6
223:18 224:4	258:6	recertify 185:9	refrigeration 2:3	relate 10:18 38:5
236:19,22 241:9	reaffirmation	194:6 231:22	2:16,17 6:16 8:7	78:21 255:7,8
249:3 253:3	244:15	234:2	11:16 38:11,14,21	related 37:3 124:2
rated 32:21 82:20	real 54:11 132:9	recognition 170:10	40:8,18 51:4,7	143:15 153:18
152:1 188:20	138:3 218:10	recognized 176:2	57:20 58:4 60:10	245:21
189:3 208:9	247:13	177:4	60:12 66:15 85:21	relates 121:10
212:18 220:11,12	reality 65:7,10	recognizes 204:18	117:12 159:16	143:16 210:10
230:22 231:10	128:6	recognizing 166:20	250:5 257:11	relating 22:4
233:19 235:16	realize 36:15 96:12	239:7 256:4	refrigeration-type	relative 126:14
rates 241:5	115:21 118:18	reconfirm 26:13	79:15	relatively 73:18
rating 16:13,17	120:1 131:10	reconsider 70:14	Refrigerator 197:7	release 17:8 224:19
39:9 52:6 57:2,4,5	184:13 203:13	97:17	reg 60:6 185:20	230:6
92:2,2 114:2	208:7	record 6:4 7:21	Regal 8:19	releasing 224:17
137:13 184:12,15	really 9:13,15	11:5 75:13,14	Regal-Beloit 8:18	225:2,10 226:3
184:22 185:22	10:16 14:20 16:11	164:8,9 223:17	regard 58:10 86:21	228:4 230:2
186:7,21 187:1,13	16:12,19 19:20	230:1	96:2	reliable 197:10
188:13 189:13	41:5 62:1 74:16	records 28:10	regarding 57:13	relying 153:10
192:5 193:22	81:20 82:12 91:2	30:22 32:5,14,17	182:22 258:21,22	remain 56:6
199:7,8,12 201:9	97:21 113:19,20	179:1	259:15,17	remains 123:18
201:22 202:9,10	128:3,14 132:6,8	recovery 14:20	regardless 193:14	remarks 3:5 4:2
202:11 203:5	139:18 141:6	16:15	205:9 209:4	11:4 13:4 18:1
204:22 205:2,6	143:15 145:12	redefining 41:7	212:19 230:21	261:7
209:2 210:11,12	155:1,7,9 157:2	redesigned 72:8	231:16	remember 162:22
210:20 214:20	163:19 177:12	redo 124:14 126:16	regional 243:9	178:19 195:18
220:5,9,16 224:7	195:7 211:17,18	209:3 217:15	Register 21:1 37:5	196:5 214:11
224:12 242:10	213:3 215:6	redone 231:21	71:10 109:4	remote 117:18
248:5 252:2,9,17	228:16,18 240:21	reduce 19:8 34:16	regs 120:8 128:10	removed 20:1
ratings 30:3,5 46:7	245:20 260:6	116:11,17 117:4	149:6 248:2	RENEWABLE 1:3
52:7 70:17 76:17	Reamer 8:17,17	122:21 173:18	regular 116:1	reoccurring 36:2
87:19 90:16 91:15	reason 31:3 49:1	reduces 54:19	166:11 197:19	72:20
92:4 120:15	122:14 207:2,7	reducing 52:16	198:15 234:11	repair 15:15
140:21 157:16	221:17 230:17	259:16	regulate 87:9	repeat 122:14
159:2 170:14	240:11 255:18	reduction 174:5	regulated 151:2	154:9
172:4 184:14,19	259:4,12	refer 59:8 248:20	regulating 111:6	repeatability 80:22
185:8,10 192:10	reasonable 27:20	reference 244:8,19	239:22	81:11
193:18 194:3,6	39:6 63:8	245:7,10 247:8	regulation 21:12	repeatable 88:6
208:7,12 209:3	reasonably-equi...	253:22	172:19 211:3,11	repeating 106:4
221:13 223:10	87:10	referenced 238:7	regulations 19:20	repercussion
224:1,2 229:7	reasons 124:16	references 59:12	23:6,18,20 28:18	189:21 190:13
233:21 234:12	145:21	referred 20:17	35:9 103:1 120:14	repercussions
237:5 240:10	Rebecca 2:11 8:21	247:4	166:8 171:7	231:10
Rawald 2:14 9:9,9	receive 251:2	referring 21:3	180:21 207:12	replace 72:3 77:22
read 77:17,21 89:6	received 51:16	59:13 95:19 178:5	223:2,5 224:6	121:17 133:12

replaced 84:17	requirement 33:20	130:19 156:21	215:4 221:14	44:22 45:3 46:10
replaces 84:15	47:14 62:15 102:6	158:7 243:8 253:5	224:20 230:16	48:5 61:9 71:15
report 225:10,13	121:11 122:8	resistance 63:20	231:5,7	77:2,5,16 91:16
226:4,12,14 227:5	124:3 126:19	136:18	retain 34:3 182:12	93:2 99:16 101:13
230:3,7 250:14	130:1 171:9	resolve 203:15	retained 102:5	106:19 107:21
reported 42:7	172:13 175:7	resolved 251:7	retaining 41:12	108:3,9 111:20,20
reporting 42:6	182:9 209:15	resonated 155:11	retest 26:16 72:14	115:4 117:19
257:18	225:22 226:3	resources 155:1	106:14 121:17	118:21 125:10
reports 12:15	252:16	respect 36:19 46:11	142:15	128:8,10,14
225:4,6 226:9,17	requirements 4:8	105:1 135:15	retested 103:2	142:16 149:1,3
represent 66:3	11:22 12:7 24:16	170:3 238:1 248:4	120:17	162:3,6 163:21
119:14	25:8 41:13 42:7	respond 54:20	retesting 197:19	166:6 169:19,20
representation	58:22 68:13 75:9	69:13 177:20	261:4	170:9,18,20 171:5
118:6	75:17 77:14 79:5	response 13:16	revalidate 24:21	171:20 173:22
representative	95:13 97:13	35:4 98:21 101:16	25:18	175:2 182:10
46:14 89:8,10	104:14,15 106:17	163:10 183:8	revalidation	184:3 186:8 188:6
247:10	112:13 126:12	219:19 234:5	142:10,17	189:15 190:4,5
representatives	150:17 158:16	261:8,10	revealed 60:17	193:20 195:12
218:12	160:5 166:17	responsibility 35:8	reverse 228:11	196:12 197:13
represented 129:7	167:6,19 169:9,15	76:6,10 150:6,13	reverse-engineer	210:22 212:18,21
235:22	193:11 201:5	180:4	229:22	218:7 220:8,11
represents 42:19	246:2 256:10	responsible 249:11	reverse-engineer...	228:3 234:13
258:8	257:18	rest 5:21 130:12	229:11	238:17 239:4,22
reprimanded 179:2	requires 193:16	135:21 150:12	review 3:5 10:12	240:16 243:21
requalify 204:9	260:6	restate 28:1	49:10 83:20	245:21 246:16
request 12:14	requiring 24:15	restrictions 93:18	167:22 169:2	247:21 258:19
23:14 30:22 31:3	167:21	restructured 89:4	194:17 245:2	rise 184:21
35:11 182:10	rerate 210:12	resubstantiate	reviewed 231:8	risk 172:14 173:6
247:2 258:13	231:22 233:13	72:10 76:4,7,9	reviewing 35:18	173:11
259:2	234:1	132:12 185:1,7	revised 233:21	Robert 2:18 7:4
require 27:17,19	rerated 72:8	243:11 259:1	255:20	68:16 75:20,22
28:20 64:17 67:6	rerun 72:13 106:15	resubstantiated	revision 120:5	80:10 93:4 124:6
94:15 106:3 156:1	193:17	36:7	revisions 234:1	139:13
166:9,19,21	resemblance	resubstantiation	revisit 83:13	Roberts 2:14 3:19
185:11 193:11	235:15	193:12,16 195:4	154:14	7:12,12 18:3,3
210:9,12 211:15	reserved 254:9,13	237:14	revisited 83:18	50:7,7 65:4,22
218:11 242:4	256:8	result 97:5 184:19	rewriting 119:6	86:19 119:1
245:15	reserves 182:10	187:12,14 191:14	RFI 35:4 51:16	159:14 162:12
required 95:2	234:13	192:19 194:7	98:22	179:22 260:21
96:17 144:6 145:8	residential 6:21	233:22	Rheem 2:13 26:4	robin 97:2,7 98:15
148:1 167:20	25:6 26:7 29:5	resulted 237:5	99:3 222:9,15	robins 81:1
172:11 174:1	33:5 45:10 48:19	results 19:7 22:21	225:21 229:9	robust 34:12 54:16
187:18 214:19	48:21,22 51:15,21	36:21 72:13 76:10	rid 24:9 166:15	150:7
218:20 220:2	51:22 52:14 53:5	79:19 87:20 88:6	181:8	Roger 2:7 4:3 8:11
227:14 230:4	53:12 54:7 56:13	98:11 176:6,8	right 9:11 17:19	20:13 21:20 45:14
251:19 259:21	56:15,17 73:15	184:11,21 185:13	18:6,21 24:2	58:8 69:17 84:1
261:5	79:16 98:16 125:4	189:11 192:22	33:12 36:8 44:19	95:22 100:4 101:6

104:21 131:1 134:3 135:19 136:2 141:10 146:11 175:15 200:12 203:22 214:14 216:1 246:22 253:10 Ron 78:6,7 128:19 128:20 143:7 rooftop 16:16 rooftops 48:1 125:12 room 1:12 5:16,21 6:2 10:11 11:6 14:6 22:15 81:7,8 81:11,11 86:4 88:5 121:22 153:20 156:19 179:7 180:3 195:22 196:9 199:19 218:4 223:6 250:19 rotor 142:1 roughly 46:19 round 81:1 97:2,6 97:6 98:14 166:9 166:15 180:16 181:9 255:3 rounds 4:11 166:7 166:11,12 167:3 167:16 180:22 237:4 round-robin 96:22 98:9,12 195:18 196:14 200:17 201:1,11 Roy 8:3,3 Ruffing 100:1,3 rule 5:7 10:22 11:13 12:9 24:1 24:17,22 25:9 26:6 45:21 53:8 56:6 58:12,13 59:22 84:6,9,13 84:14,15,17,20 95:1 99:14 103:10 105:13,14 106:20	106:22 120:12 131:7,17 176:19 201:13 202:22 203:6,9 204:2 241:20 254:20 255:19 256:5,7 258:10 rulemaking 1:5 4:4 10:21 12:17 40:10 43:16 46:5,10,18 61:5 79:13 170:8 170:20,22 183:17 184:8 250:4 251:9 255:3 rules 10:7 57:2 69:21 81:9 105:5 179:20 206:13 255:19 ruling 110:2 179:14 run 16:13 82:21 98:15 148:1 200:5 213:17 running 88:3 98:9 205:1 218:3 runs 228:14 Rural 8:16 <hr/> S <hr/> S 2:12 Sachs 2:15 3:17 6:18,18 17:2,2,19 30:7,7,19 31:4,4 54:9,9 63:17,17 73:1 90:12,12 135:17,17 safe 180:2 262:7 safety 92:21 sale 134:10 sales 33:7,15,21 54:1,5 57:5 102:11,13 107:17 118:16 122:3,3,18 122:22 123:11,14 123:17 124:17,19 125:2,14 137:12 146:1,6 148:20	158:13,13 sample 82:5,6 97:13 187:7 201:5 201:10 202:1,18 202:21 204:2 231:2 232:11 247:9 251:20 256:10 samples 174:4 175:21 sampling 58:13 98:19 205:1 satisfy 259:11 saturated 228:15 save 16:16 68:5 saying 17:19 26:8 29:9 44:2 92:22 112:18 121:5 123:4 129:16,19 136:4 191:7 198:5 203:22 209:17 214:17 242:19 says 57:11 88:2 96:5 124:10 129:11 154:1 183:14 186:7,19 188:11 196:11 198:12 207:1 209:15 219:10 233:16 239:4 250:20 scenario 129:1 schedule 170:19 259:7 schedules 15:19 science 152:15 scope 4:5 26:6 35:14 48:15 49:8 55:12 86:3 116:5 scroll 80:5 82:18 scrutinized 190:8 second 12:22 34:7 40:4 49:3 68:4 83:22 89:9 97:6 121:1 123:12 144:17 166:15 167:16 180:16	181:9 204:20 232:11 259:15 secondary 41:1 144:4 section 59:2 146:15 160:15 254:12,12 sectionals 53:9 sections 89:4 see 15:12 32:19 34:9 38:17,18 47:19 54:14 73:22 88:16 97:2,3 110:18 120:21 141:1 145:20 172:3 176:18 184:14 187:19 205:13 209:15 215:17 229:6 235:1 257:12 seeing 214:11 seek 63:12 seemingly 19:19 seen 30:15 31:8 98:11 SEER 137:8,9 139:19,20,22 140:1 segue 28:7 select 58:14 70:16 123:14 131:7 146:20 selected 147:3 selecting 101:18 163:9 selection 104:13 selectively 196:15 self 85:21 self-contained 93:12 94:4 117:18 sell 66:21 67:10,17 67:22 126:7 127:8 selling 90:15 116:16 136:8 semi-vertical 117:16 send 212:15 sending 205:3	sense 23:2 68:2,7 103:19 104:10 111:19 116:4 119:9 126:4 134:2 154:15 156:3 188:22 194:9 252:11 253:6 separate 77:14 214:22 254:18 serious 55:6 150:22 236:20 seriously 258:13 set 12:2 18:10 20:11 23:4 28:12 67:10 94:16,17 99:13 109:21 112:13 134:11 153:13 169:15 187:8 198:11 202:17 218:6,14 224:6 261:3 sets 69:3 89:8,10 setting 13:8 34:11 109:15,18 113:4 settings 156:21,22 settled 116:22 setup 34:8 95:16 218:12,13 seven 163:17 seventh 210:3 shady 31:10 shaking 252:4 share 32:2 Shebik 78:6,8,13 79:2 128:22 129:19 130:13,20 143:7 shift 124:12 shifted 124:13 shipping 201:19 shop 10:4 short 5:10 15:16,22 87:6 262:3 show 16:18 28:4,9 115:19 127:2 149:5 151:18 showed 133:4
---	--	---	---	--

204:12	sit 228:11	solely 237:10	21:14 40:14 53:8	102:18 105:9,10
showing 221:18	sitting 85:20 88:5	solution 67:7,15	59:18 77:10,14	105:14 114:2
shows 260:10	situation 32:16	solve 66:7	101:15 116:19	117:15 120:11
shrink 111:16	54:6 106:12 139:1	Somebody 140:19	121:20 171:7	132:2,6,11,13
side 32:1 73:15	156:3,9 173:1	someplace 37:21	214:10 232:18	133:1,8 134:20
88:10,22 160:20	176:11,17 242:11	somewhat 50:3	248:1 259:5,17	135:3,11 137:22
197:18 211:16	six 12:10 39:20	156:10	specification 86:6	138:6,8 142:20,20
219:6	103:21 108:1	sorry 19:15 37:17	specifics 234:22	142:21 146:8
sidebar 10:2	141:17,19 148:19	63:16 125:3 129:1	specifies 93:20	162:2 183:1
sides 7:19	148:20 163:17	130:19 149:1	specify 153:2	184:10 199:14
sight 150:5	sixth 185:6 190:22	153:19 154:7	232:17	208:14 238:6,7
significant 16:16	size 47:10 114:20	180:17 186:9	speed 171:1 238:22	244:7,7,9,19
150:6	114:22 134:16	208:4 248:22	spells 244:1	245:4 247:4,5
significantly	159:10 201:18	252:12	spending 34:17	259:21 260:5,15
179:17	231:2	sort 131:4 232:19	226:1	standby 243:15
similar 23:17 30:3	slide 28:7 30:9	259:22	split 57:2	stands 190:5
39:3 63:4 99:4	35:13 119:4	sought 23:21 38:1	spoke 183:2	Stanonik 2:16 8:4,4
simple 67:8 84:5	146:16 186:17	55:11	squirrel-cage 47:6	29:3,3 52:21 53:1
103:9 112:14,15	188:11 199:6,11	sound 54:16	stage 20:11 23:4	53:1 103:6,6
112:16 168:7	199:13	sounded 191:16	194:12	125:19 150:4
simplistic 140:20	slides 163:17	sounds 159:21	stand 257:22	155:10 170:5,5
simulate 20:18	slower 120:10	181:9	standard 14:21	171:14 172:15,15
21:17 33:10,11	small 22:2 46:6,18	source 32:2	16:13,17 18:10	188:9 189:17,20
57:3 74:2	47:2,17 51:5,10	Southern 2:13 3:10	40:9 41:9 42:8	240:6,6 242:5,5
simulated 19:7	54:22 58:10,19	8:2 13:7 39:13	44:4,5 66:18 72:5	star 104:18 174:18
simulating 36:21	59:3,9 62:21	66:12 116:8 257:4	72:7 76:15 86:1	197:16 212:15
simulation 24:6,11	65:17 66:2,9,14	span 117:14 118:20	88:2 92:6 97:8	222:6
30:10 34:9 36:1	67:16 69:22 70:7	129:22	102:21 105:13	start 6:1 77:2 87:22
57:8,9 58:1,3	70:9,12,15,22	speak 5:20 6:5,6	106:3 131:14	151:14 160:6
61:22 72:14,16	85:5,13 96:5,13	10:7 22:15 39:9	132:10 133:2,5,6	189:14 249:20
76:13 79:18	97:16 100:6 105:4	39:11 80:15	133:7,13,18,18,21	250:17
111:17 136:4,14	109:5,10,10,19	168:22 197:1	135:5 137:10	started 116:10
155:2 192:7	111:10 116:13	speaking 10:8	138:18 142:14	151:22 199:19
193:18 205:17,18	141:14 142:10	244:21	143:19 145:3,4,9	starting 188:15
221:7	147:20 154:4,4	speaks 43:19 61:7	145:11 184:12	startup 66:3
simulations 19:1,2	177:13 253:17,20	250:12	199:21 200:3,8,18	state 28:22 98:4,5
73:16 79:9 134:12	254:13,14 255:20	specific 19:22	207:5 208:12	stated 20:16 22:10
156:20	260:11	34:21 39:5 42:21	220:10 221:5	37:7 50:3 60:15
single 24:5 61:19	smallest 102:6	43:2 53:3 54:5	237:15 242:20,21	66:14 239:15
62:10,12 64:3,19	107:11 114:11,17	78:4 103:17	244:13,15 247:11	statement 177:21
70:4 81:1 184:20	115:1,3 118:11,13	106:19,22 157:15	260:17	178:7 214:17
187:5 241:8 252:1	123:15 130:7	182:7 192:8	standardized 151:3	218:16 258:5
252:1,8,8,15,15	smart 88:3	207:13 234:16	standards 2:6 8:9	states 1:1 256:9
single-phase 45:8	Smith 42:13 48:14	236:5 238:12	27:9 30:6 36:6	static 14:22
144:3	147:10	241:7 242:19	40:7 43:1,3,16,18	statistical 99:15
single-unit 230:16	smooth 136:12	259:10	60:16 61:6,11	173:18 252:3
sir 254:21 255:16	sold 68:6	specifically 21:12	70:6,18 81:18	statistics 205:1

224:6,11	122:13 205:4	suggest 53:11	115:15 123:3	take 21:5 22:6 64:1
stay 122:15 169:5	subset 19:9 33:13	97:15 125:20	124:15,20 129:13	73:5 75:7 86:1
step 18:6 76:5	167:5	suggested 242:8	137:21 138:22	91:8 109:16
188:1 195:2	substantial 82:2	suggestion 18:18	140:12 148:10,16	125:11 140:19
209:11	85:16 101:6 238:5	65:20 121:21	150:14 159:13	142:18 144:18
stepping 93:7	substantiate 32:11	122:1,7	161:22 162:19	162:17,17 190:21
steps 188:14 206:8	32:22 33:18 36:3	suggestions 75:5	168:4 169:11	195:10,20 204:20
Steve 100:1	46:2 58:14,16	78:3 234:22 236:5	170:4 173:14	206:9 209:17,21
stick 87:20	59:3 72:2,6 77:20	suggests 61:3	175:14 178:1	214:8,21 228:12
stop 152:1	99:19 106:4 111:2	summarized 251:3	179:21 180:8	230:10 233:4
storage 43:6,6,6	133:10,22 134:5	summer 250:19	188:10 190:15	240:17 261:3
Store 2:13 3:10 8:2	141:1 144:12	supervise 194:17	196:10 197:12	taken 18:9 41:6
13:7 39:13 66:12	148:2 154:3 156:5	super-insulated	200:11 204:17	199:18 226:16
116:8 257:4	161:12 231:19	64:9	209:9 213:12	243:11
straightforward	237:16	supplemental 17:9	217:19 218:3	takes 80:2 232:11
73:20 241:12	substantiated	supply 82:18	219:5,13,21	talk 5:17 10:14
Straub 2:17 8:6,6	33:19 92:4 126:2	support 18:17	226:22 229:17	21:12,14 32:17
60:9,9	131:11 134:6,18	49:12,13,15 50:2	232:4 237:20	53:19 75:8 78:11
stretch 82:13	139:2 243:18	50:14,21 62:22	244:4 252:20	101:17 120:1
strict 174:12	substantiates 92:18	63:2 90:18 91:1	261:12,17	178:14 199:12
string 14:7	substantiating 85:6	149:21 161:16	surface 152:11	204:19 206:22
strong 178:4	114:15	175:12 176:9	surprising 87:1	207:13 208:19,22
strongly 88:8	substantiation 4:8	178:12 179:12	surrounded 55:17	213:13 224:17
178:12 181:20	62:15 70:19 71:1	182:18 212:7	SW 1:13	241:2
182:1	73:2 75:8,16	supported 71:13	swap 111:18	talked 61:15 76:2
stuck 217:16	76:18 79:5 101:18	201:12 202:11	swing 195:20	127:17 167:6
studies 198:1	102:16 106:17	supporting 182:13	switch 46:4 111:5	182:4 183:3
study 196:14	119:5,10 122:2,5	supports 32:13	196:20	194:15 219:7
stuff 32:19 146:17	126:10,20 127:4	86:18 97:21	synch 244:18	talking 13:17 39:21
209:8 213:11,11	127:11 150:17	181:10	synonymous 56:16	40:12,13 52:16
226:2	166:10,20 167:20	supposed 37:10	130:18 237:11	53:20 112:8
subcategory 104:2	180:10 181:1	60:17 191:17	system 57:2,6,20	116:11 117:1
subclass 53:6	182:19 185:5	198:8	58:4 76:11 153:6	120:7 157:13
subcommittee	192:20 219:7,12	sure 11:9 12:19	153:10 220:18	172:18 176:12
81:17	231:21 233:17	13:5 15:6 16:7	systems 26:8 36:12	178:1 180:15,19
subject 171:17	252:8,15,16	18:2,14 21:3	51:7 59:18 60:12	183:19 194:20
222:3	substantive 238:14	25:13 30:4 35:8	76:18 94:20	196:3 199:8,13
submit 10:20 35:19	243:2	43:22 50:15 57:10	system's 57:4	201:7 206:19
52:12 181:20	subsystems 81:15	60:5,7 61:6 63:15	S-E-S-S-I-O-N	208:7 230:14
submitting 60:19	Subway 164:3	66:6 69:6,15	166:1	250:22 255:12
Subpart 206:16	166:5	72:10 73:9 76:22		tank 63:21 64:9
subroutines 158:12	succeed 156:11	78:11 79:1 88:13	T	136:18
subsequent 32:11	suction 228:15	90:19,19 91:6	table 40:14 44:9	tankless 63:21
166:10,16 167:2	sudden 209:22	95:21 103:5	49:3 114:6 182:2	136:20
169:16 181:1,7	suddenly 142:14	104:12 106:16	249:17	target 119:7,8,18
182:15	153:7	107:4,5 108:11	tables 43:1,19	120:9,10 124:19
subsequently	sufficient 232:15	113:2,6,6 114:5,9	tailored 157:15	151:19

taxpayers 225:19	91:22 95:8 96:18	226:14,16,22	121:12 129:11	thank 11:12 12:18
tear 229:16	97:3,7,12 98:9,12	227:2,3,4 228:13	134:19 146:21	13:2,8,22 15:10
technically 134:6	98:20 101:19,22	228:20 229:7,16	151:3 152:14	15:12,17 16:1
technique 221:20	102:2,6,10,14,15	229:18 230:3,6,7	153:9 155:3	17:17 18:12,20
technologies 140:2	102:19,20 103:13	230:16 237:14	159:10 160:1,8,11	19:15 20:5,6 23:3
technology 1:3,16	103:16,22 104:5,8	238:2,3,5,13	160:13,16 166:7	30:19 37:17 42:11
131:20 132:9	104:8 105:10,12	239:6,7 240:18,19	166:13,15,22	50:22 60:4 61:13
134:14 135:4	105:14,20 106:3	241:1,3,12,21	167:4 173:18	68:15 69:5 71:4,5
137:17,21 138:7,9	106:10,14 109:14	242:20 244:6,19	174:13,19 175:21	79:1 90:20 98:1
138:13,14 140:10	109:20,22 111:4	245:3 247:4,5,7	176:5,7,8 180:11	101:7 104:11
156:6 157:2 241:2	111:21 113:16,20	247:14 252:1,8,15	180:12,15,16,20	107:3,4 119:20
tell 9:20 13:13	115:12,15 117:20	256:10 259:10	181:7 183:7 184:6	125:7,17 130:21
35:12 85:22	118:3,10,12,14	260:3	184:9 185:3,4,12	130:22 141:7
216:10,11,17	119:4,6,10,14,15	tested 19:11 22:5	188:16,16 194:14	142:22 143:10
telling 47:12	120:2,13,16	27:3,4,8 28:2	194:18 199:18	149:14,20 150:2
temperature 86:5	121:12 122:21	33:21 36:4 41:9	200:17 201:1,3,5	161:18 163:7
228:15	126:15 127:16,18	57:5,7 64:20	201:9,11,16,18	173:13 175:13
temperatures 86:4	128:3,6,9 129:10	79:17 96:18	202:2 204:8,19,22	177:17 179:21
200:1	130:2,4,7,11	102:18,22 123:6	205:9,12 207:9,11	198:20 200:10
ten 127:22 239:9	132:10 133:3,11	126:22 132:22	207:20 208:14	211:13 230:9
tend 82:16	135:5 142:21	133:7 134:7	209:14,16 210:10	235:4 244:3
ten-week 261:2	144:20 149:10	143:18 175:22	210:11,22 211:7	252:19 253:7
term 24:7,9 42:14	152:4 154:1,16	198:6 200:15	211:20 212:7,13	254:6 256:19,21
42:17 45:18 247:7	155:6 156:5	202:5 203:12	214:19 215:2	257:15 258:1,14
248:5	157:22 158:13	206:2 209:10	216:5,16 221:15	260:18,19 262:2,9
terminology 56:19	159:7,11 169:7	211:1 216:11	224:20 225:16	Thanks 17:20
terms 24:4 32:21	176:1 182:13	217:6 222:2	226:11 230:15,20	45:13 52:22 79:2
34:22 56:11,16	183:1 184:11,18	227:19 231:1,16	231:5,7 232:20	143:13 145:14
62:5 74:1 81:12	184:20 185:2	251:22	237:3,4,10 243:3	198:19 251:16
122:18 125:1	186:1,3,3,20	testing 4:11 13:20	248:1 252:9,17	258:19
135:21 145:10	187:6,7,11,14,16	14:21 19:6,9	256:13 259:5,16	theirs 151:20
159:16 172:19	190:6,7,9 191:14	20:18 22:17,20	259:18,19,22	theoretical 117:9
182:5	194:19 195:19,22	29:12 33:1,6,9,13	260:4,6 261:4	147:12
test 20:18 21:14	196:3,17 199:19	33:14,18 34:10,13	tests 71:14 74:16	theory 118:7
22:7,22 27:9	199:20,21 200:3,8	34:16,22,22 36:2	87:18 92:11,14,15	thermal 109:11,20
28:11 29:21 30:6	202:10,12 205:4	36:16 37:1 38:5	95:8,9,10,14	109:22 111:8,12
30:14 32:7,10	205:13 206:9,20	41:5 42:2,4 46:21	107:12 109:17	111:15
33:21 36:4 37:7	209:14,18,22	49:19 52:5,17	127:22 133:9,17	thick 174:16
37:13 38:14 39:1	210:19 213:15	60:22 65:10 66:1	148:1 152:16	thing 31:8 40:1
41:13,14 46:20	214:21 215:4,17	67:1 70:10 72:15	174:1,3 185:13	56:19 72:20 74:10
47:12,14 52:8,15	216:10,12,13,18	82:11 87:15 88:20	189:2 190:3	77:5 94:15 96:13
55:21 56:1 57:15	216:19,20 217:1,2	89:9 95:8,13	196:14,18 204:21	99:11 116:22
57:17,18,19,21	217:7,8,12,15,20	96:22 97:1,4,13	213:18 227:13,16	127:5,6 128:11
58:15 67:19,20	218:4 220:9 221:2	98:20 105:2,16	247:6 251:18,20	137:18 150:10
68:1 71:12,13	221:8,10 222:1,5	106:4 112:19	253:4 261:3	161:2 162:22
78:1 81:3,7,8 86:2	224:17 225:3,5,10	113:3 116:12,18	text 21:7,13 60:6	171:8 174:8
87:11 89:20 91:9	225:12 226:4,9,12	117:4 119:11,13	96:7 242:9 256:1	187:15 194:11

201:7 204:16	149:2 153:22	133:12 163:16	86:2 87:21 102:6	53:20 126:16
205:8 206:5 208:5	154:7,13 156:12	169:19 214:3	151:2 176:20	174:8 203:2 230:2
208:13 211:3,17	156:15 157:8	236:16 244:10,10	177:9 187:22	touched 139:3,5
217:12 230:21	160:4 162:13	259:13	211:3 222:19	243:20
236:8 237:2 244:2	171:9,15 172:17	three-phase 45:8	226:20 229:14	tough 175:2 259:13
245:17 246:18	172:19 173:6	thresholds 69:4	249:16 250:2	to-facility 196:8
250:1 261:14	175:6 180:2	throw 190:22	253:13 262:2	to-order 231:3
things 16:10 36:7	182:18 183:3	191:10 192:1	today's 10:15	traditional 114:2
40:15 54:12,17	187:15 195:8	throwing 236:15	121:17	traditionally
71:2 80:7 81:14	196:9 197:9	259:6	told 26:13 238:22	113:11 155:14
81:20 82:9,9,13	198:11 204:1	ticket 15:22	tolerance 80:1 83:3	trained 94:17
83:17 84:10 86:22	209:6 219:8 220:8	tied 122:19	85:6,10,12,16	Trane 2:10 6:21,22
87:2,6,8 120:6,20	221:22 223:5,16	tight 87:12	87:3 97:1,18	44:7 107:6 162:21
124:9 126:7 127:7	224:22 229:10	tightening 97:19	100:6,9,17,21	173:4
143:3 159:1	230:3 232:14	tightly 152:21	101:4 124:8	transfer 140:19
161:13 183:5	235:3,11 236:3,19	time 5:14 6:5 11:2	127:13,17 128:2,3	transformer
187:19 189:7	237:1,12 238:11	13:4 15:18,18	128:9 186:13	143:21 259:20
190:11 197:13,14	238:13 239:15	18:1,9 25:10,11	201:5 211:16,22	transformers 23:8
198:17 206:3	240:8,12 242:8,14	25:17 29:20 36:5	tolerances 23:20	51:10 102:4 105:3
207:14 215:22	243:10 244:10	46:20 49:20 71:17	27:11 59:1,1	105:6 124:2
217:22 218:13	245:12,16 247:3	82:21 83:4,19	63:10 76:11 79:7	247:20 259:14
222:2 246:6	248:12 250:16	103:18 119:17	79:10,14 83:13	translate 135:20
think 14:5 16:4	260:13 261:14,18	122:2,4,12 127:15	88:9,10,14,15	transparency
20:7 25:22 26:13	thinking 17:12	134:9 142:12	96:10 97:12 98:20	225:17
30:19 31:7,18	120:6 125:3 156:9	143:9 166:13,22	101:15 150:14	treat 30:12
32:16 34:20 35:3	160:8 175:9	169:7,12,18	169:4,5 176:7	treated 96:14
35:21 36:14,18	235:12	179:18 182:12	201:21 212:1	treating 32:3
37:3 38:15 42:20	third 80:22 87:10	184:9 196:17	Tom 121:4	trees 82:5
49:15 50:14 54:17	95:12 123:13	212:6 214:4	tomorrow 12:3	tremendous 195:4
55:5,8,15 66:10	218:14 250:12	232:10,17 238:8	tons 44:14 73:19	tried 57:22 64:13
69:19 73:10 74:13	third-party 95:10	240:17 245:6	113:11 209:8	112:14,15
74:15 78:16 80:16	160:8,10 176:2	248:8 258:4,22	tool 126:3,11,14	trigger 210:22
81:2 83:6,12,17	177:4 195:19	259:9 260:16	157:14 158:8,22	triggered 245:1
83:19 84:3,4	215:13,14 237:9	261:3	tools 19:1 157:8,10	trillions 14:12
87:11,12 94:14	249:2	timeframe 25:16	163:1	trip 262:8
95:18 99:13 104:9	Thirty 262:4	timeline 257:8	top 82:14 108:6	trivial 150:10
105:3 106:7	thirty-five 44:13	times 218:1 236:11	111:9 117:7	trouble 15:13
110:17 112:6	thought 20:1 34:5	236:16 237:8	219:22	160:18 175:19
113:22 114:8	62:10 147:14	244:14 260:13	topic 51:1 183:15	true 2:10 7:14
115:6 122:11,15	186:10 206:17	261:2	230:12 260:13	40:20 85:18 105:4
124:16,18 125:10	207:21 221:4	timing 143:16	topics 23:22	168:18 173:16
126:18 127:4,10	thousand 67:2	timing-wise 138:14	total 85:7,14 97:18	194:18
127:12 128:9	thousands 14:11	title 59:11 96:4	100:7,9 101:1	try 5:13 82:5 85:2
135:22 136:21	235:21	today 9:21 10:14	135:8 200:20	103:9 110:18
137:4,19 139:15	three 28:1,11 72:5	15:11,21 24:20	202:20 253:22	135:20 145:19
139:17 140:17	79:10 80:3 103:22	25:7,8 26:15 27:2	254:4	156:16 188:10
144:15 146:6	124:11 133:5,11	33:5 38:12 52:15	totally 22:8 41:2	197:12 203:15

212:10 256:17 trying 15:13 21:21 22:3,8 34:4 73:12 105:21 112:18 115:1,6 116:3 118:9 131:7 138:21 147:7 177:10 199:9 210:5 Tucker 2:17 9:5,5 TUESDAY 1:8 tune 127:21 turn 11:3 52:19 63:18 154:19 174:11 198:22 215:16 238:19 turns 235:14 tweak 111:12 217:4 tweaking 93:18 tweaks 27:15,16,17 twenty 127:22 twice 152:7,10 two 28:5,11 33:4 67:10,20 68:1 74:3 75:17 79:10 107:20 130:9 133:6,9 143:3 147:18 148:2,12 148:18 149:3 154:5,15,16,22 155:7 159:9 161:4 161:6 163:16,19 163:19,20 167:8 169:2 174:1 180:22 193:15 200:7 206:11 240:13 252:9,17 255:3 258:21 260:12 two-tenths 48:2 type 20:19 21:8 38:2 44:18 46:17 51:19 52:2 59:9 59:22 73:11 74:10 79:12 94:2 98:17 99:15,18 138:19 168:3 169:1,11	177:11 244:2 types 23:16 36:7 43:14 51:3,15 55:22 56:3,3 133:15 179:18 246:10 typically 55:8 159:7 201:17 T-distribution 203:2 T-value 18:8 <hr/> U <hr/> Uh-huh 28:3 48:17 183:18 UL 177:3 198:14 unable 260:3 unbelievable 151:11 uncalled 178:17 uncertainty 86:15 145:13 unclear 123:6 uncontrollable 215:13 uncontrolled 215:9 underlying 30:14 32:5 33:1 54:16 102:16 133:17 166:19 182:11 undermining 104:7 underneath 14:9 understand 9:14 21:22 25:22 26:5 89:18 110:13 114:5,7 123:3 128:15 129:14 139:15 147:14,19 174:7,9 205:16 210:5 219:6 226:1 248:5 255:13 258:3 understanding 108:15 112:22 136:11 138:7 188:11 understood 91:9	147:14 undertake 230:18 Unfortunately 40:22 Unico 3:22 19:17 75:22 199:5 unidentified 215:8 215:12 unique 44:5 54:6 66:16,21 173:1 unit 27:3 37:7,11 67:21 68:4,5 77:10,13,19,22 78:1 79:17 81:2,5 86:8 115:11 121:17 123:5 139:19,20 140:10 145:8 152:7,14 161:5 184:11,20 185:7 188:16 189:10,12 190:16 190:22 191:1,6,9 191:10,12,16 192:4,6 193:7,7 193:15,17,21,22 195:9,20 198:13 199:18 200:19 201:19 202:5 204:5,8,21 206:18 210:3,20 211:1 213:15 214:20 218:2 226:10 227:2 228:12,13 229:22 230:22 231:1,10 232:9 248:15,16,17,19 249:5,10 252:1 unitary 80:13 232:9 UNITED 1:1 units 26:16,16 28:1 28:5 48:2,19 53:21 67:20 68:12 71:12 72:6 91:9 101:18 104:15 105:2 111:3 113:4 130:9 154:16	157:21 163:9 169:6 190:18,19 191:4,20 192:1 201:6 206:20 207:19,22 209:10 229:15,18 259:5,8 260:1 unit-to-unit 86:7 unmute 5:20 128:19 143:5 258:17 unmuted 100:2 128:20 unrealistic 259:2 untested 29:1 65:8 unusual 152:3 156:10 upcoming 183:15 243:7 updated 182:8 updating 183:7 upfront 74:16 upgrade 173:10 upside 90:11 113:22 upwards 38:22 up-to-date 42:6 142:19 use 17:6,16 19:4,7 21:17 23:6,12,17 24:5,7,10 25:3 28:2 29:19 41:20 49:11 51:3,8 54:18 56:12 61:20 62:10,12 64:18 65:8,13 72:1,6 76:16 89:11 92:3 97:18 104:17 110:2,5,22 111:11 111:12,15,21 112:3 115:9 116:9 118:2 133:20 134:8 155:11 156:19 157:14 158:22 159:1 161:5 181:2 182:19 184:16	206:1 212:12 215:14 234:7,13 241:22 242:1 useful 78:16,18 uses 109:8 usually 43:12,15 114:14 134:7 239:8 utilize 60:12 U.S 6:9 229:20 <hr/> V <hr/> vague 211:4 valid 72:13 121:16 126:3,13 169:12 186:3,3 217:20 validate 37:14 108:17 112:5 127:20 142:12 196:16 251:20 validates 91:21 validating 92:1 108:16 validation 4:14 170:13 180:11 182:5 220:17 validity 29:21 174:5 199:17,18 value 89:8,10,13,13 200:18,22 values 85:17 variability 81:12 87:1 94:2 variable 157:4 variables 153:7,11 variable-speed 140:3 227:11,13 variation 80:9,13 82:10,15 86:7 201:2,13,14,15 202:7,12 216:14 variations 80:7 variety 21:18 23:21 172:18 190:10 various 69:21 76:15 97:11 250:19
---	---	--	---	---

vary 79:12	68:22	113:20 119:22	39:14 41:7 49:18	62:12 93:10 94:1
vast 152:17	views 251:3	123:5 126:4,6	64:6 70:9 77:21	116:5
vending 51:6	violate 179:10	129:13 132:21	78:1 89:13 91:9	widen 101:4
vendors 87:4	violating 179:9	139:7 141:1,16	92:6 101:12 106:8	wider 36:20 55:13
verification 32:12	voltage 87:9 144:4	145:17 150:4	112:10 115:16,17	wide-range 65:3
35:1 95:19 125:22	voltages 200:5,7	154:9 156:14	121:20 132:16	Wilkins 2:18 7:4,4
126:1,20 153:13	volts 81:13 144:4	157:6,20 163:13	141:5 153:3 158:2	68:16,16 80:10,10
160:15 166:12,16	144:19	163:14 169:7	160:3,9 162:14	93:4,4 95:1 124:6
167:3,4,22 168:8	volume 33:7,15,21	180:8 181:21,22	164:3,6 169:8,8	124:7 139:13,13
169:2,16 172:11	57:5 102:8,11,13	188:9 197:1	171:16 173:9	willful 234:10
175:10,12 177:1	107:17 118:16	203:21 204:15	176:20 181:12	235:8,12
180:10,12 181:2,5	121:18 122:3,4,19	205:22 213:13,16	187:21,21 188:5	window 196:17
181:21 182:16	122:22 123:11,14	213:17 215:6	195:13 197:13	wire 141:21
197:5,18	123:18 124:10,17	219:5,13,16 223:1	208:3 212:10	wise 57:8
verifications 177:6	124:19 125:2	239:3 241:15,17	216:5 220:21	wish 18:1 22:15
verified 170:15	131:8 134:10	250:2	229:19 258:6	90:14
171:13	137:12 145:1,2,11	wanted 14:13 18:7	261:22	wondered 62:9
verify 168:14	146:1,6 148:20	32:19 100:3 108:7	ways 75:5 124:21	wondering 19:21
197:20	158:14 174:4	111:4,12,15 129:1	140:16 169:2	76:5
VerSHAW 2:18	volumes 54:18	143:8	webinar 5:11,14,19	word 97:18 180:9
6:20,20 33:2,2,17	voluntary 171:4	wanting 55:4 113:2	10:11 11:7 15:12	215:7
34:1,4 36:8 50:20	VRF 59:18 94:20	wants 11:7 153:16	website 98:3,8	wording 191:15
73:7,10,12 79:21	227:9	168:22	229:11,20 254:9	242:18
79:22 80:18,18	VRFs 59:22 227:19	warm 200:5	weeks 109:16	words 64:16 72:4
86:9,10 95:6,6,15		Washington 1:14	weighting 167:7	104:2 119:13
137:2,2 139:9	W	wasn't 18:9 139:10	welcome 5:6,9 11:3	171:11 184:20
158:5,5 160:7,12	wait 5:19 60:21	221:10 243:16	55:14 61:3 75:5	185:4 241:20
186:16,18 187:2	73:3 156:4 189:3	256:2	78:4 166:3 232:15	work 80:19 81:16
187:20 188:4	195:1	waste 68:3	232:21 236:7	86:11,17 118:4,7
195:16,16 206:6,6	waiting 13:11	watch 194:22	262:5	120:3 135:3
206:17 207:16,21	121:3 196:22	216:13,18	well-defined 46:2	136:15 156:16
208:3,16,17,20	waiver 240:14,15	water 11:15 23:7	82:7 142:4	180:5 191:2 195:5
209:1,12,19,21	240:19 241:22	42:16 43:5,9 45:5	well-known 42:15	195:13 232:18
210:6,15 235:5,6	242:14	48:21 51:9,22	went 46:16 75:13	233:9 249:22
235:17 243:6,6,22	waivers 240:17	53:19 63:20,20	75:13 164:8,9	workable 45:22
248:22 249:1,13	241:19	64:9 136:19 249:5	176:7 186:6 237:3	47:18
version 105:15,16	walk 57:14	250:7,8	weren't 102:21	worked 134:21
120:8 248:1 254:8	walk-in 51:6 57:14	water-cooled 45:4	189:11	173:17 238:22
versus 35:7 39:7	57:16 60:13	163:2	wet 81:21	240:15
43:6,6,7,7 55:4	walk-ins 161:21	water-source	We're 125:6 188:2	working 39:19 65:6
94:4 125:21,22	want 5:17 10:6	113:14 163:3,4	We've 214:4	69:21 86:12
259:12,19 260:7	13:3 15:9 18:17	water/steam	whatnot 198:2	105:11 132:15,17
vertical 117:17	32:2 39:17 44:1	148:15	wheel 16:15	135:1 176:21
VICP 170:12,19	62:20 63:15 73:1	way 5:18 7:19 10:4	wheels 80:6	181:12 201:4
171:11 175:10	73:3 74:18 89:22	10:9 12:3,10	whichever 88:11	221:22
VICPs 170:10	91:14 102:1 111:1	14:18 22:4,10	123:13	works 31:6 65:15
view 41:19 68:20	112:2,18 113:19	34:6 35:1 39:3,4	wide 21:17 61:20	110:18 222:4

world 143:21	169:19,20 178:3	101 4:8 101:5	91:13 92:21 165:2	91:11 92:8,17
worms 151:10,16	214:4 236:17	103 4:10	196:1 200:4	96:3 99:7 130:7
worried 35:21	239:9 244:10,13	11 3:7 220:3,10	260:11	196:1 210:1 256:8
worries 216:14	yield 17:5	11-trillion-plus	2nd 262:5	260:11
worth 155:1		249:19	2x2 158:9	30 15:17 38:22 39:7
worthwhile 17:11	Z	112 22:7 97:7	2.5 234:19	108:6 185:2
wouldn't 61:10	Zealand 68:11	105:11	2:40 262:10	209:10 213:14
111:21 133:19	zero 2:14 3:19 7:12	12 3:8 30:9 144:10	20 4:3 29:6 38:12	214:8,9,12 232:7
185:11 191:12	18:3 50:7 65:4	12:01 164:8	67:13 108:5 111:4	232:14 259:1,12
196:3 219:8	86:20 119:2	120 259:3,12 261:1	117:14,18,21,21	30-some 40:10
223:22 242:13	159:14 160:6	122/40 144:4,19	119:4 129:3,7,11	300 39:7
243:10	162:12 180:1	1230 242:20	129:14,22 130:3,4	300,000 53:18
Wow 26:10	196:19 260:21	13 3:10 137:8,10	173:21 174:3	32041 20:22
write 158:10	Zone 2:14 3:19	139:19,22 206:20	200:21 201:12	32046 183:13
181:16	7:13 18:4 50:8	209:4	200,000s 53:17	32055 37:5
writes 250:14	65:5 86:20 119:2	13s 137:11	2004 105:15	32056 71:11 146:17
writing 160:5	159:14 162:12	135 44:10 125:13	2007 135:1	38 200:4
258:11	180:1 260:21	14 3:11 137:10	2009 58:12 121:12	
written 25:4 27:2		139:19	2012 1:9	4
30:18 101:13	1	1400 174:1,4	2013 12:2,12 258:7	4 195:19
149:22 162:14	1 47:7,12 79:11	15 3:13 29:6 82:11	2015 145:3,9	4th 84:9 255:19
181:16 187:21	100:20 116:18	107:12 204:5	2016 144:9,22	41 21:4
188:5 201:13	165:1 182:10	208:10	21 117:22 118:1	429 58:19 70:21
203:1 206:10	236:1	15-minute 75:7	129:10 130:11	89:3 177:11,16
208:4 211:3	1st 12:2,12 257:18	15-ton 74:1	174:4,4	206:16 254:8
wrong 121:5	258:7	150-10 97:9	22 137:8	429.41 254:9
217:14 221:19	1.02 81:8	16 3:14,16 78:15	229 4:14	429.7(C)(2) 37:6
237:5	1.5 82:10	165 4:11	23 4:4	429.70 58:22
wrote 75:2	1:07 164:9 166:2	17 3:17	231 4:16	429.70(c) 186:18,19
	10 3:5 29:6 46:14	18 3:19,20 12:16	233 4:15,17	429.75 146:17
X	67:13 79:12 80:1	18:18 140:1	236 4:15,17	429.75(i) 96:7
X 124:11 140:7	82:15 83:15,16	206:19 209:5	24 4:7	431 46:3 58:12,18
207:14	85:7 86:15 87:5	18-month 258:9	24,000 70:5	59:5,12 70:6,14
X-1 95:9	87:12 99:7 100:8	181 4:14	24,014 46:19	97:14,17 100:9
	100:12,15,22	182 4:16	240 44:14,14	142:9 177:15
Y	186:17 196:13	183 4:14	25 14:9 27:6 102:9	201:14 204:1
year 13:12 23:15	201:7 220:5,7,9	184 4:16	105:2 115:19	431.12 253:18
41:9 53:17 60:18	227:13	185 46:20	130:8 144:3,18	431.442 253:17
66:22 67:11 68:12	10th 117:2	19 3:22	25-ton 74:1	431.445(b) 255:21
102:11 116:10	10-year 153:11	1950 139:2,9	250 15:21	431.445(c)(2) 256:7
121:13,14,14	10:13 75:13	1990s 96:19	26 199:11	
123:20,20 144:14	10:30 75:10	1992 96:16 134:20	28 4:4 199:13	5
146:7 206:11	10:34 75:14	1999 45:21 131:5	29 4:7	5 1:9 3:2 71:11
yearly 122:11	100 14:7 41:3 82:22	132:5,16 135:2		72:17 79:11,16,18
years 29:7 39:20	114:5 117:13,13		3	80:4 82:12 83:3,9
41:17 46:21 65:7	117:20 129:2,6,6		3 72:17 82:10 83:7	83:10,14,16 87:11
69:20 81:14 83:19	129:22 130:5,11	2	87:12 89:16,20	87:13 91:10,14
87:16 124:11,11	1000 1:13	2 44:20 81:3 87:18		92:15,16 99:6

101:12 127:19	9 220:4,7,10		
150:16 151:19	9-10 53:21		
185:18,19 186:9	9:00 1:14		
186:13,20,21	9:01 5:2		
190:20 191:1,4,5	90 253:5 261:5		
191:11,13,22	90-day 232:10		
196:10,11,15	90.1 244:22,22		
204:3 209:7	90.1-2010 245:4,5		
210:21 211:6,10	90.9 100:14 101:4		
212:1,2,12,14	91.7 100:11 101:3		
5/minus 191:13	92.4 100:16		
50 67:14 68:12	95 18:7 80:2 82:19		
103:15 111:4	128:11 144:4,19		
178:3 194:2	203:1 253:4		
500 47:8,13 66:19	97 82:20		
51 4:4	98 81:7		
52 4:7	98.91 144:7		
	99 80:2 82:22 144:9		
6			
6 3:4 190:21 191:9			
192:6 195:21			
6-ton 115:10			
60-plus 233:5			
63 44:14 113:11			
63-ton 113:16			
65 44:10 125:12,13			
125:13,13			
65,000 44:10 45:7			
69-ton 115:10			
7			
7 86:16 195:21			
200:1,9 213:1			
7th 58:12			
700 173:21			
72 46:8			
7200 144:4,19			
75 82:21			
760,000 125:12,13			
79 4:8,10 118:2			
130:12			
8			
8 86:7 187:14 205:6			
206:9 213:1			
8E-089 1:12			
9			

C E R T I F I C A T E

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In the matter of: Alternate Efficiency
Determination Methods

Before: US DOE

Date: 06-05-12

Place: Washington, DC

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